

# Clouds and Aerosols

- (1) How do aerosols, clouds and precipitation interact?
- (2) Why is it relevant?
- (3) How do we model and observe these interactions?

Johannes **Quaas**<sup>1</sup> and Ulrike **Lohmann**<sup>2</sup>

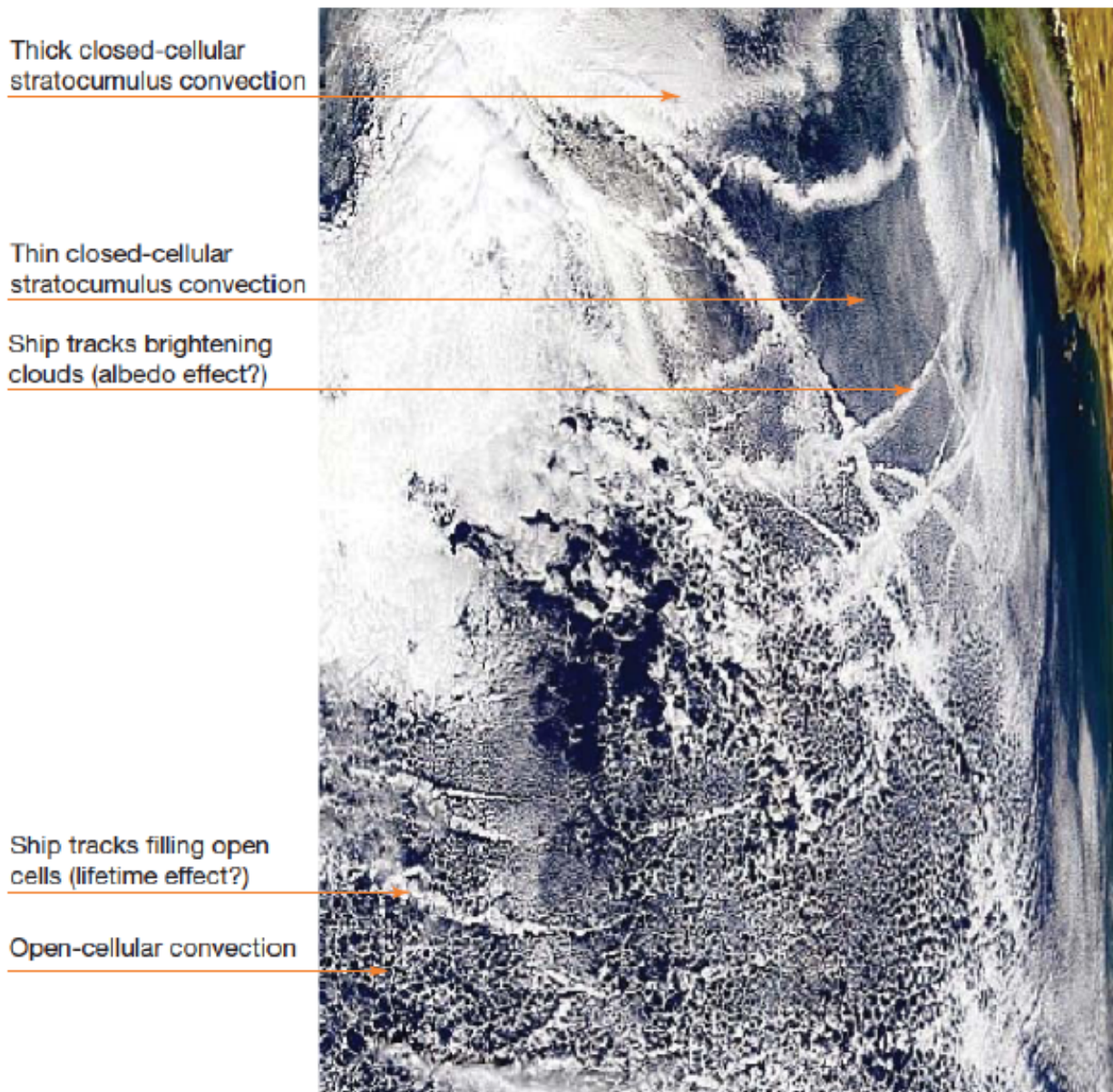
1 Institute for Meteorology · Universität Leipzig  
[johannes.quaas@uni-leipzig.de](mailto:johannes.quaas@uni-leipzig.de) · [www.uni-leipzig.de/~quaas](http://www.uni-leipzig.de/~quaas)

2 Institute for Atmospheric and Climate Science · Eidgenössische Technische Hochschule Zürich  
[ulrike.lohmann@env.ethz.ch](mailto:ulrike.lohmann@env.ethz.ch) · <http://www.iac.ethz.ch/people/ulohmann>

## 5. Searching for the aerosol indirect effect

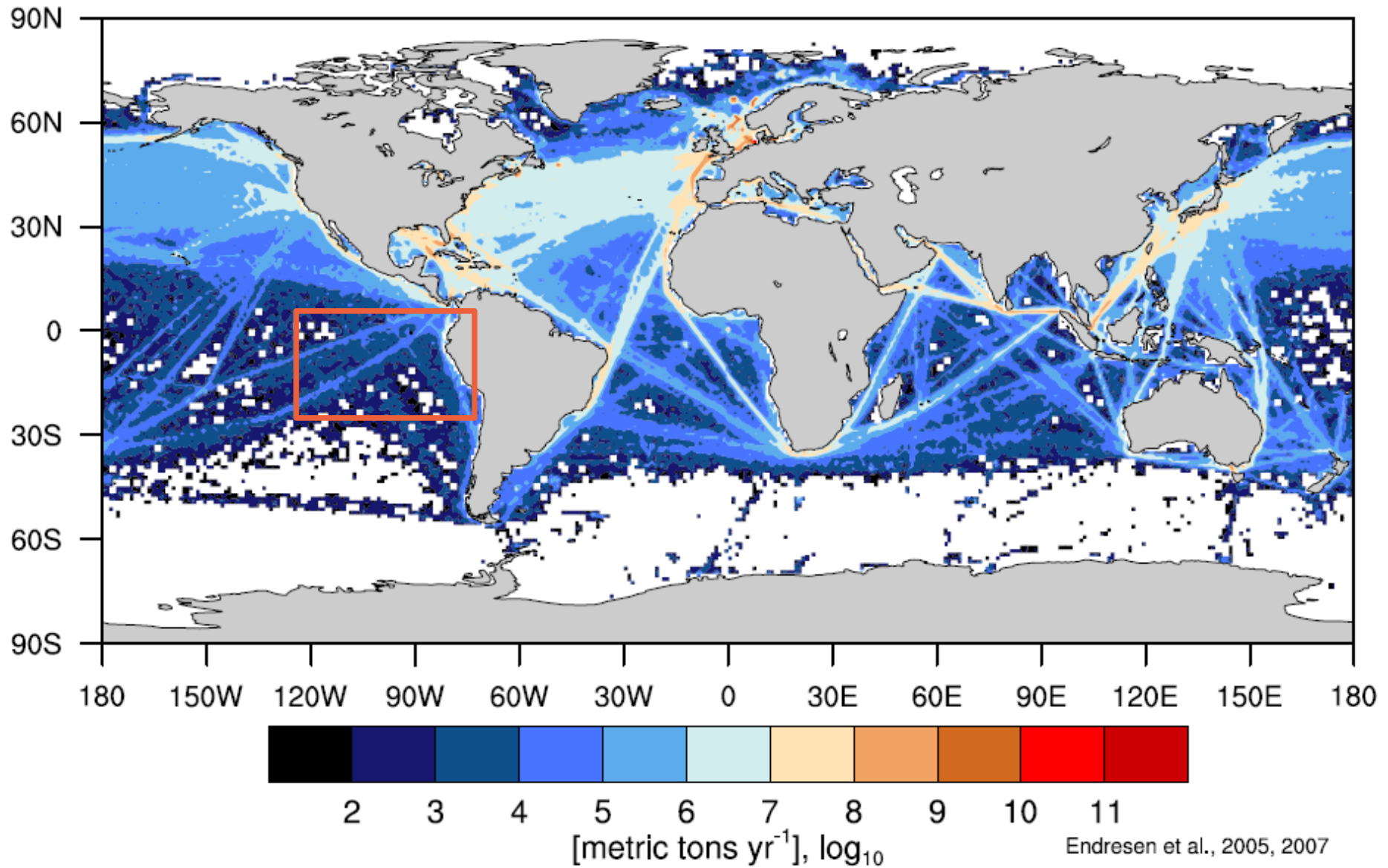
## 5. Searching for the aerosol indirect effect

### 5.1 Ship tracks



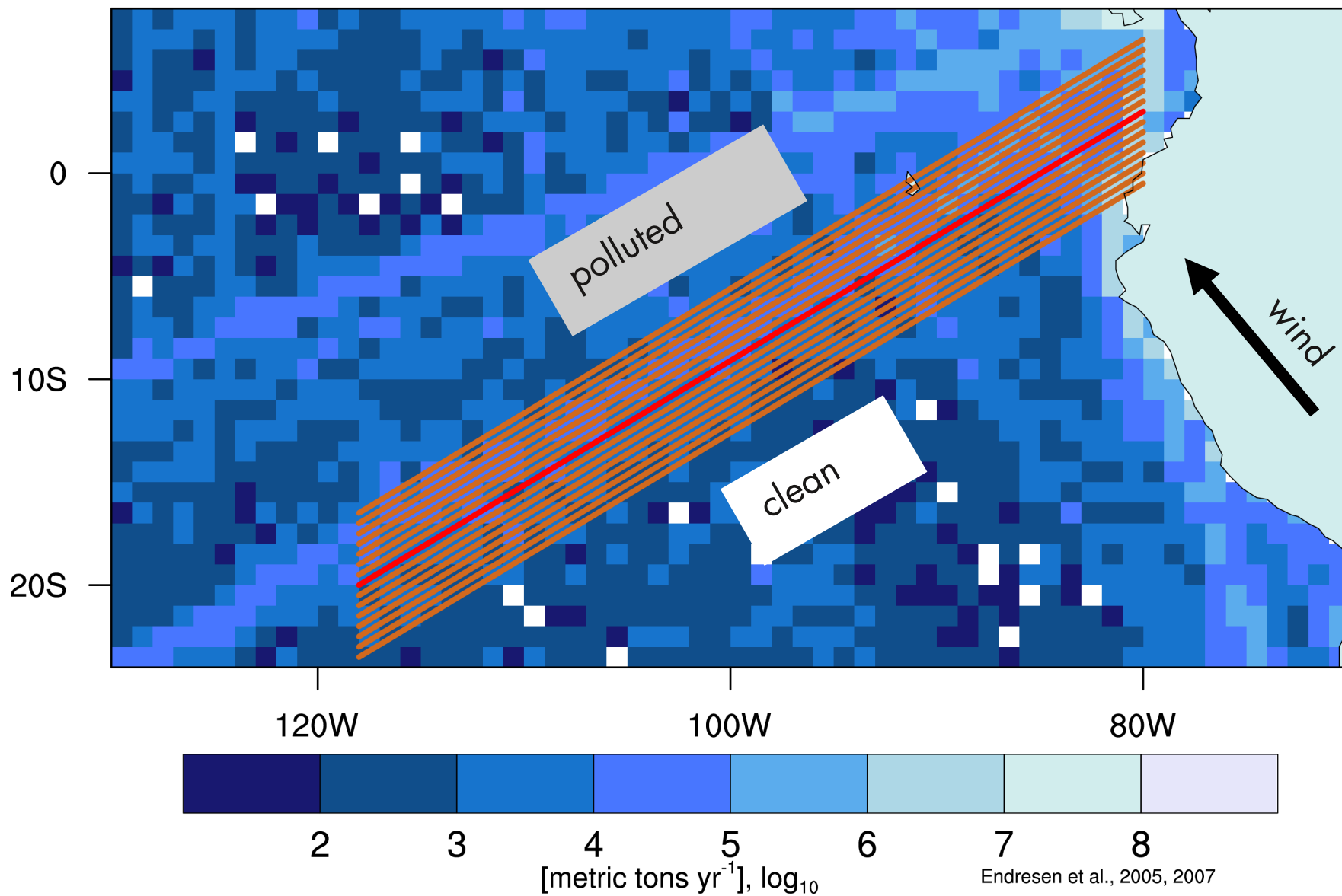
## 5.2 Ship tracks at a large scale

### SO<sub>2</sub> emissions from ships





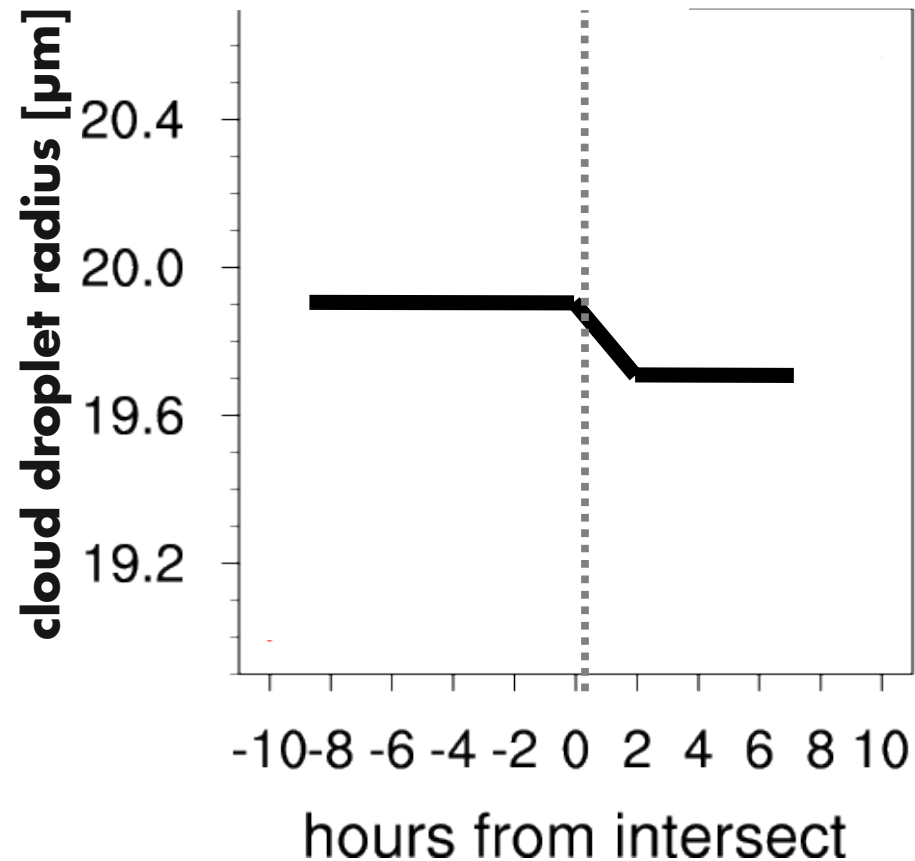
## 5.2 Ship tracks at a large scale



colour code: SO<sub>2</sub> ship emissions (log scale)

## .2 Ship tracks at a large scale

Indirect effect: **cloud droplet radius decrease?**

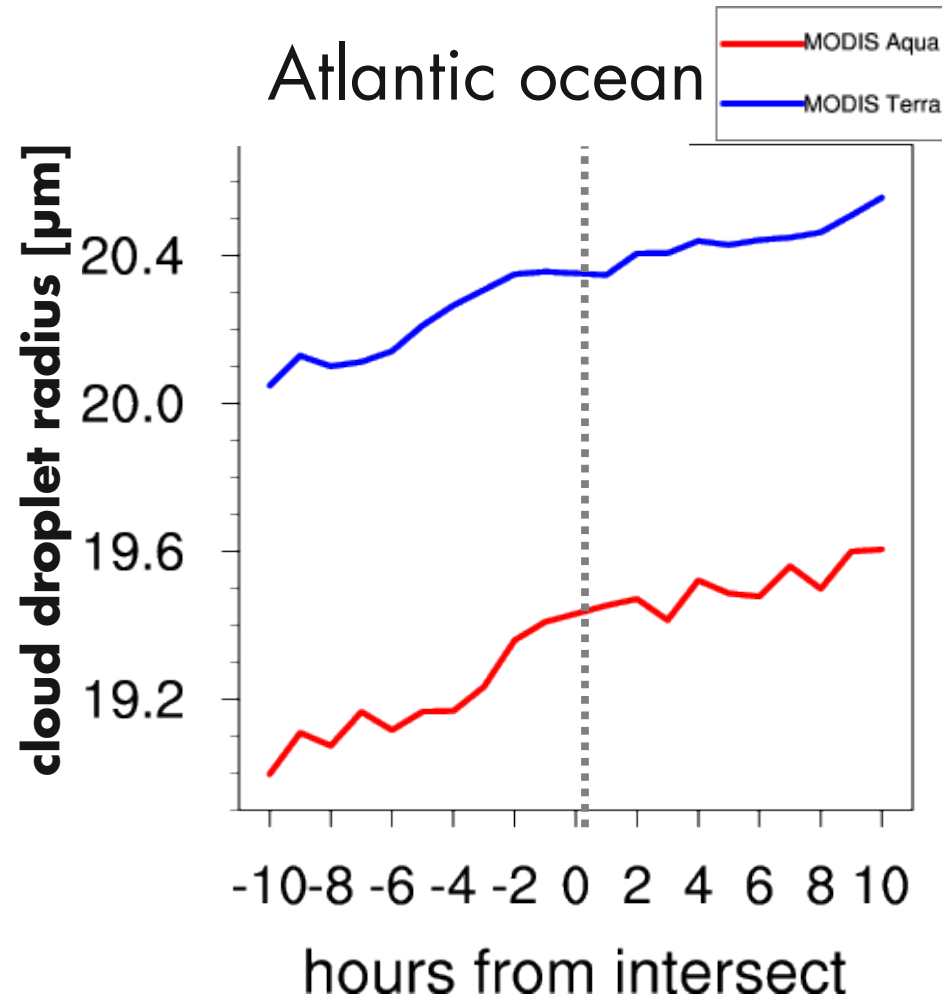


Expected idealised indirect effect result:

→ Cloud droplet radius decreases due to pollution

## 5.2 Ship tracks at a large scale

Indirect effect: **cloud droplet radius decrease?**

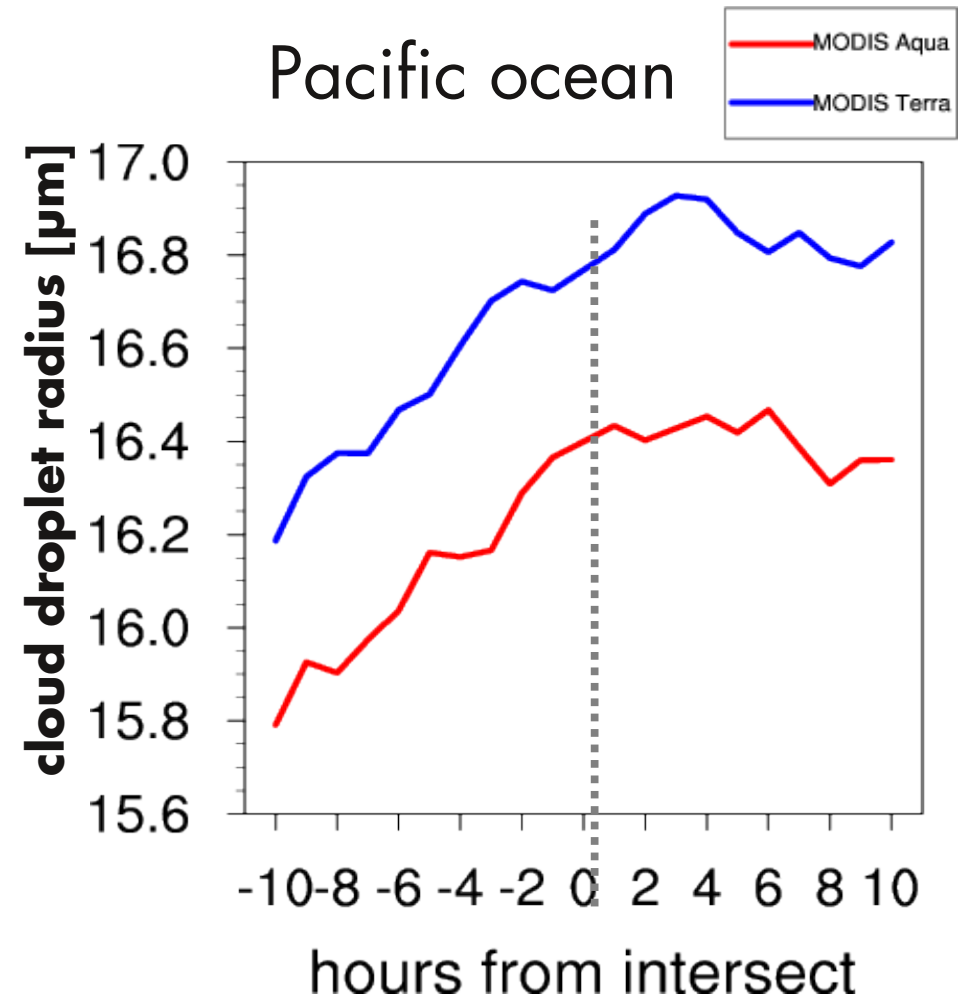
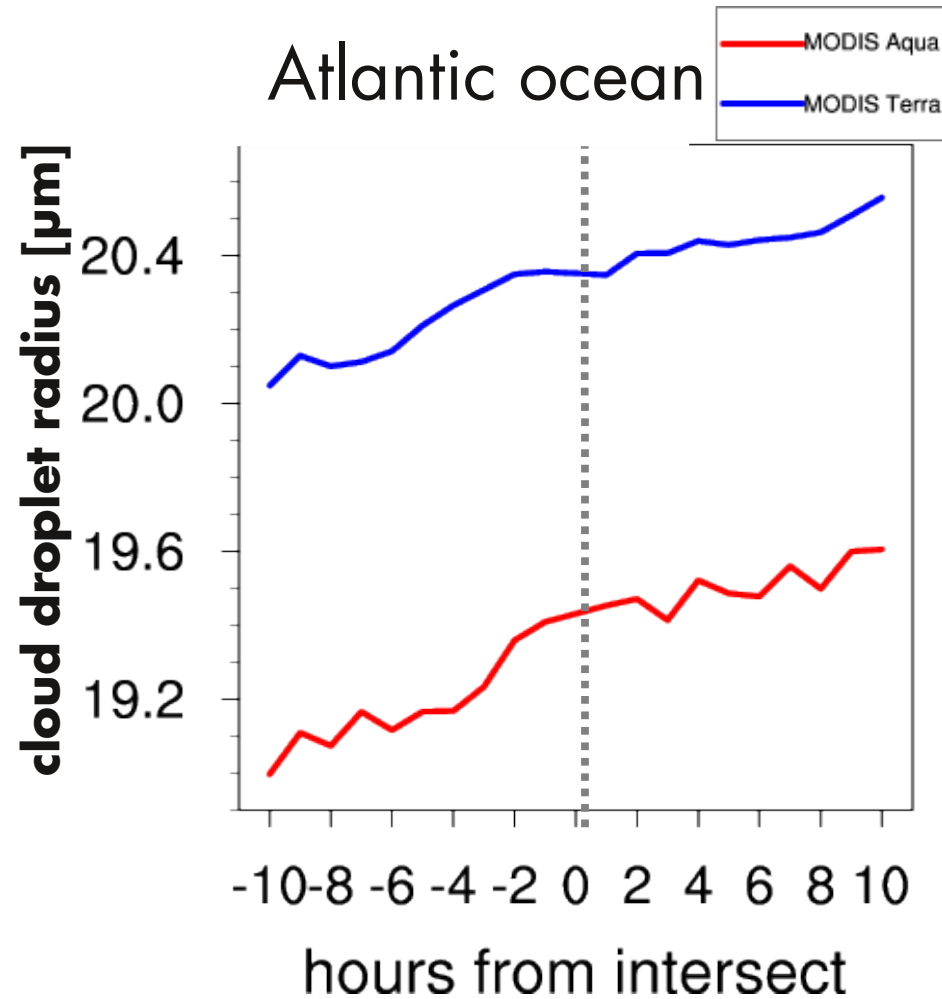


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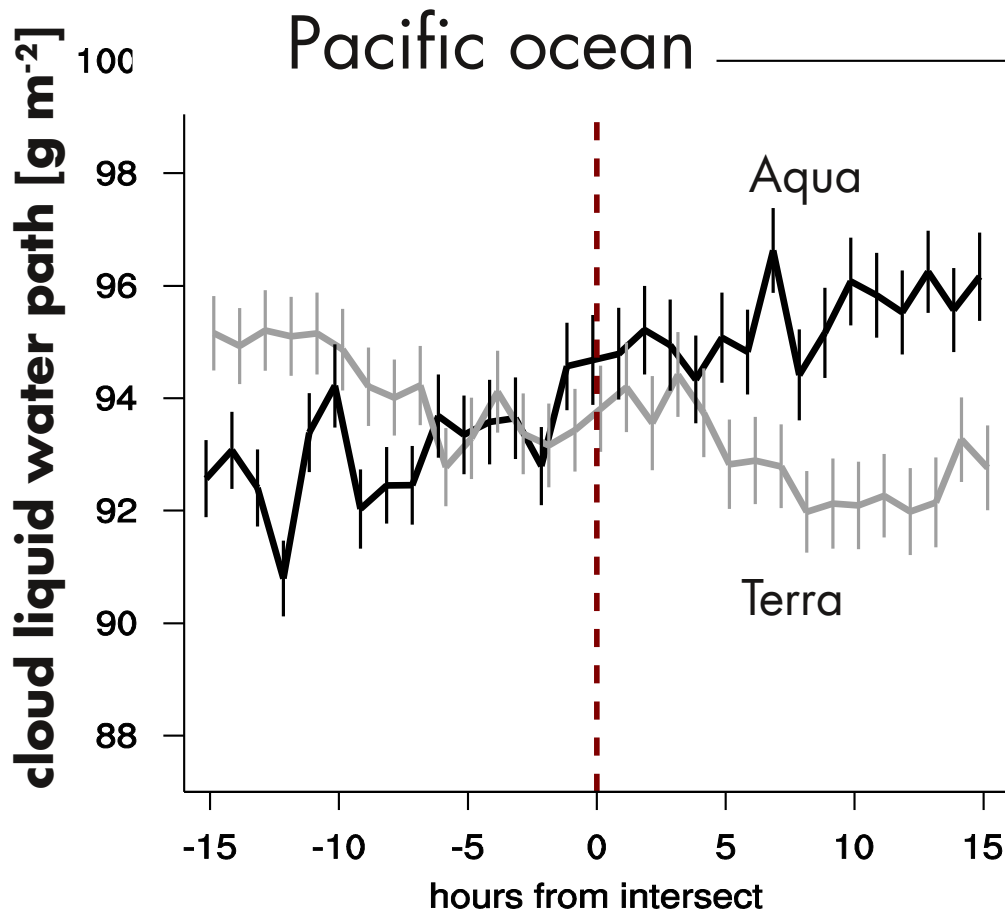
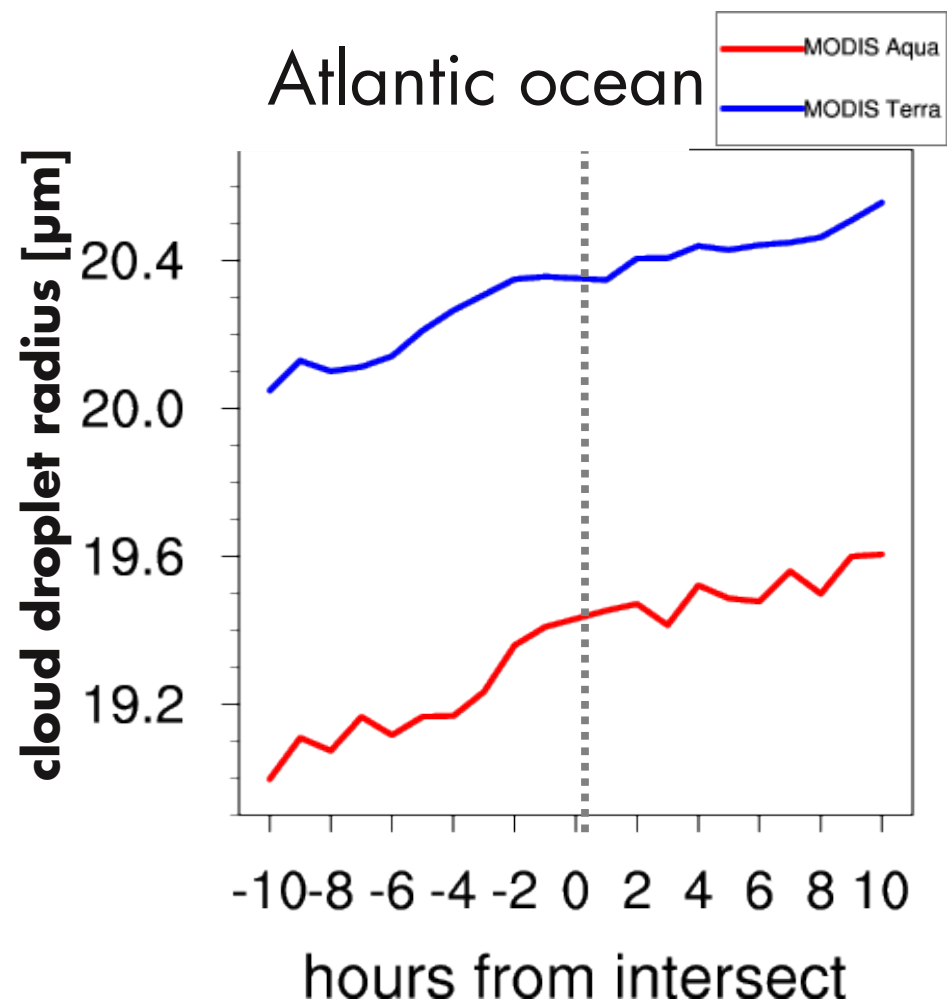
Indirect effect: **cloud droplet radius decrease?**





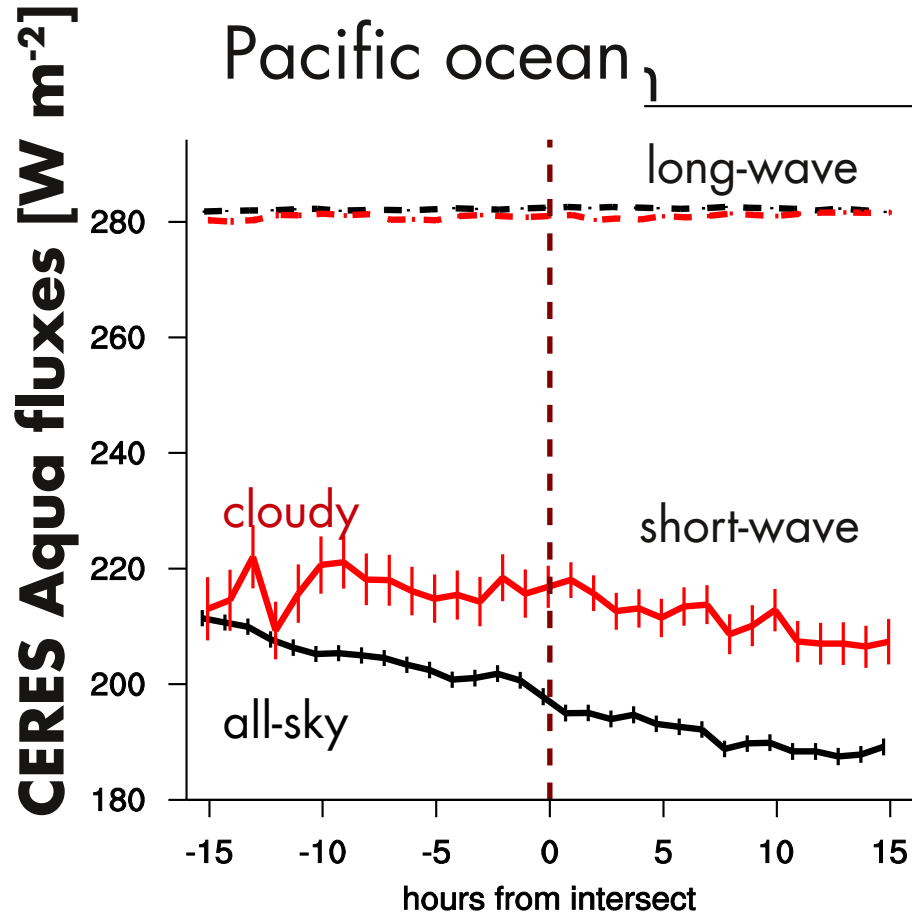
## 5.2 Ship tracks at a large scale

Indirect effect: **cloud droplet radius decrease?**      **cloud liquid water path increase?**

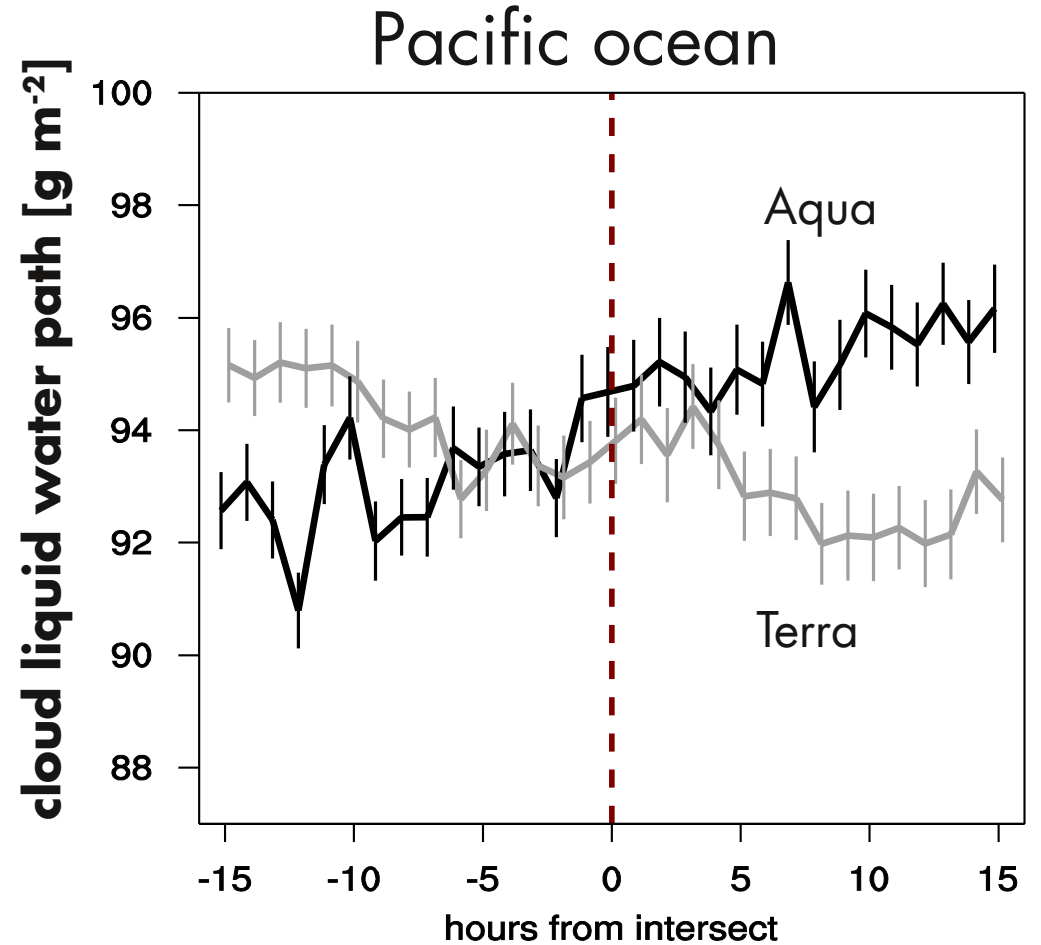


## 5.2 Ship tracks at a large scale

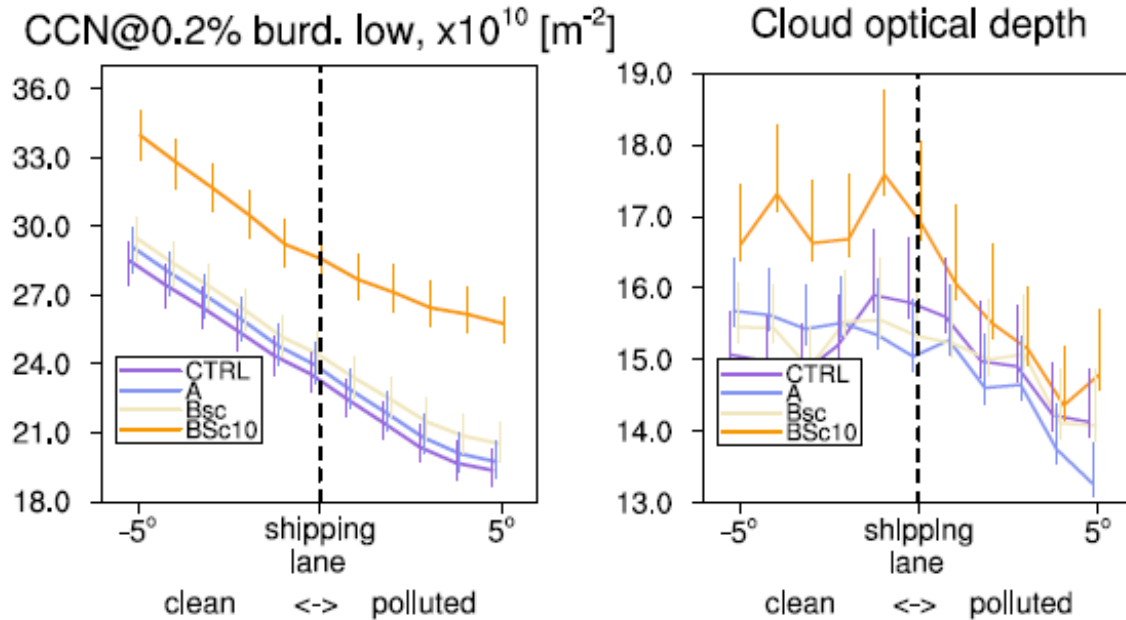
Radiation flux changes?



cloud liquid water path increase?

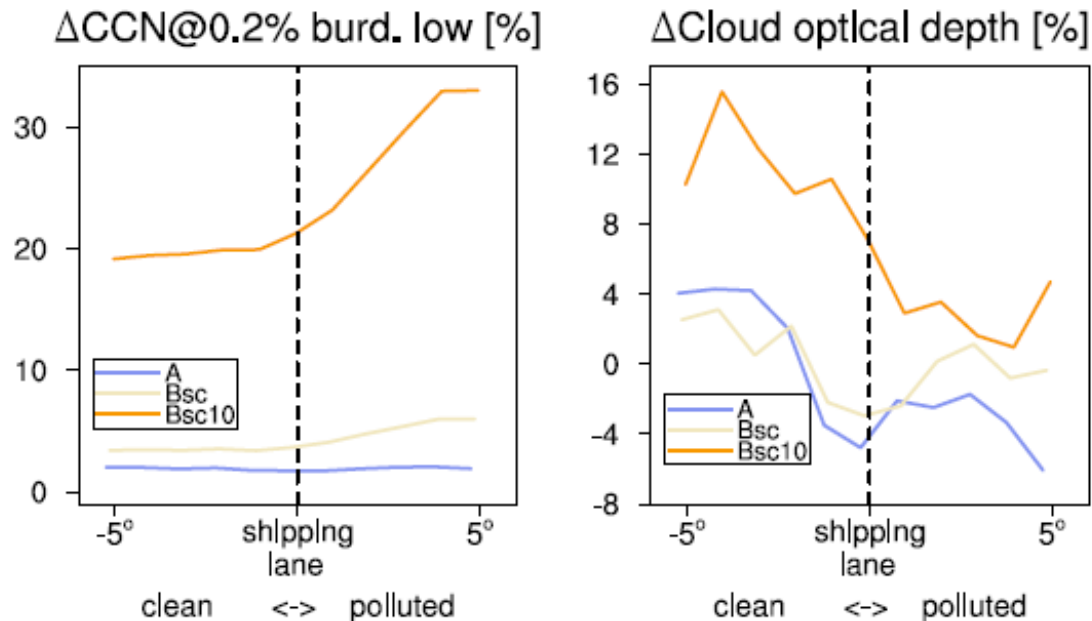


## 5.2 Ship tracks at a large scale



### → **Caveat:**

in model simulations no clear signal either  
(despite global mean forcing up to  $-1.9 \text{ Wm}^{-2}$  due to ship emissions alone)



## 5.3 Hemispherical contrast

Satellite observations over oceans

	Northern hemisphere	Southern hemisphere
Fine-mode aerosol optical depth	0.094	0.061

→ hemispherical contrast in **aerosol**



## 5.3 Hemispherical contrast

Satellite observations over oceans

	Northern hemisphere	Southern hemisphere
Fine-mode aerosol optical depth	0.094	0.061
Droplet effective radius [ $\mu\text{m}$ ]	12.1	13.0

→ hemispherical contrast in aerosol **and cloud droplet radii**

## 5.3 Hemispherical contrast

Satellite observations over oceans

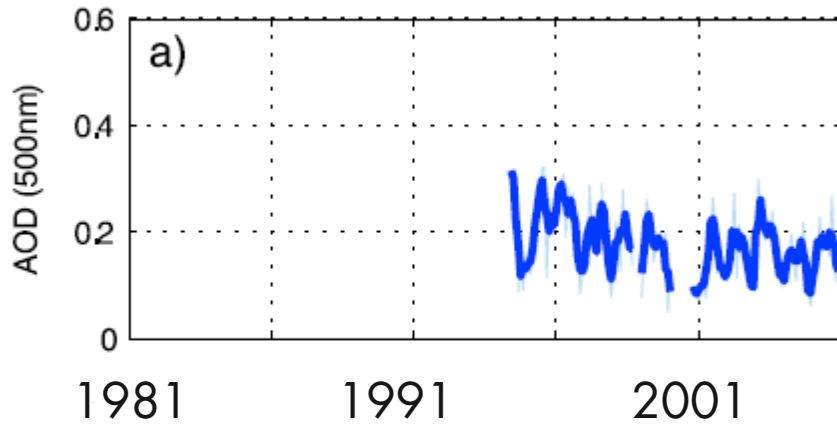
	Northern hemisphere	Southern hemisphere
Fine-mode aerosol optical depth	0.094	0.061
Droplet effective radius [ $\mu\text{m}$ ]	12.1	13.0
Cloud optical depth	12.6	12.1

→ hemispherical contrast in aerosol and droplet effective radii

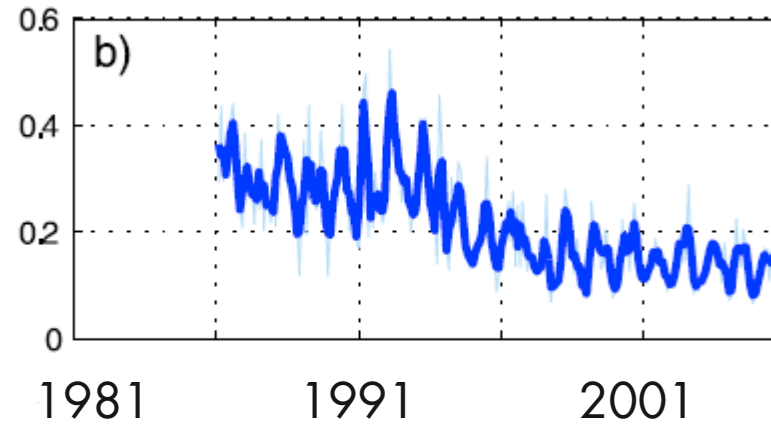
→ **not in cloud optical depth** (slightly larger liquid water path in SH)

## 5.4 Solar dimming and brightening

Switzerland



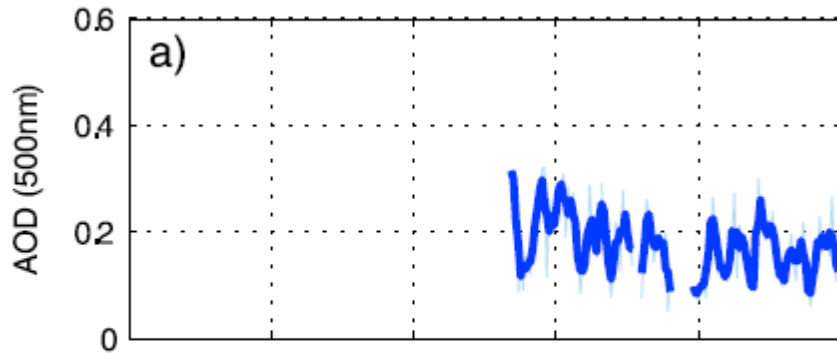
North Germany



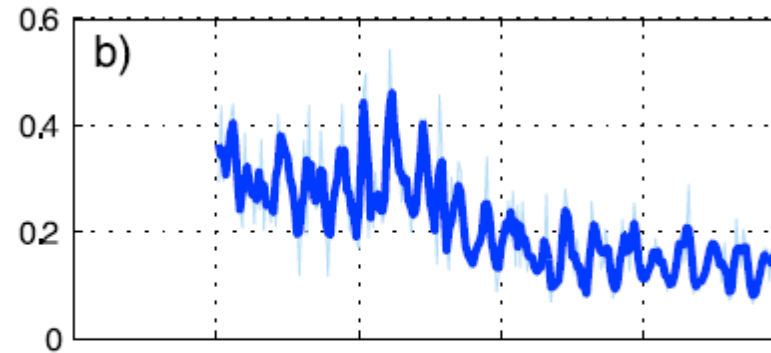
**Aerosol  
optical depth**

## 5.4 Solar dimming and brightening

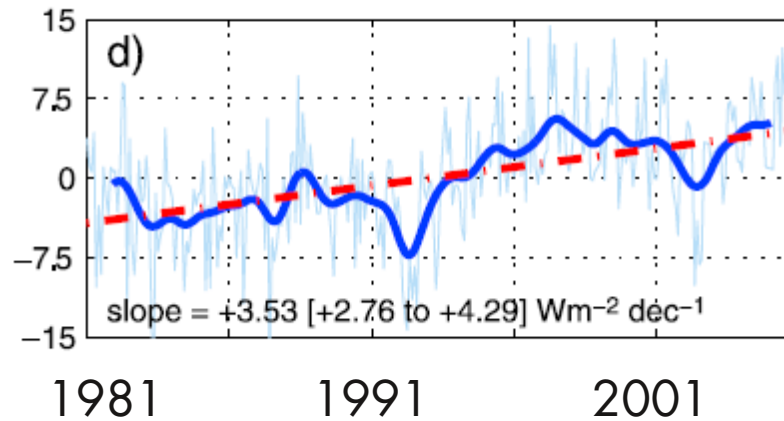
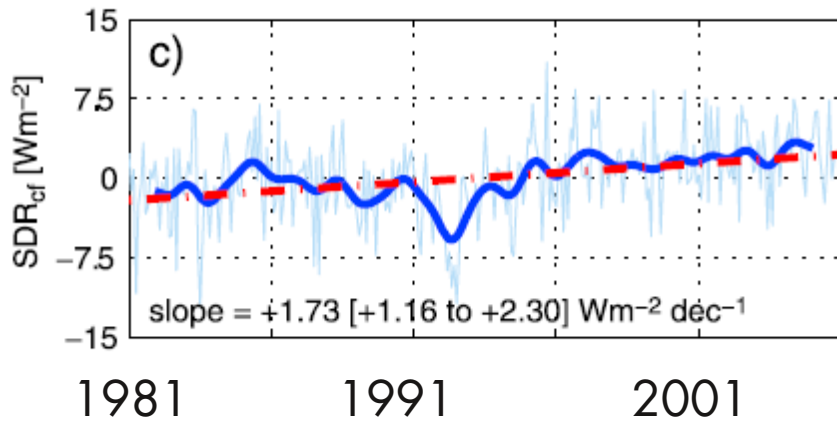
Switzerland



North Germany



**Aerosol  
optical depth**

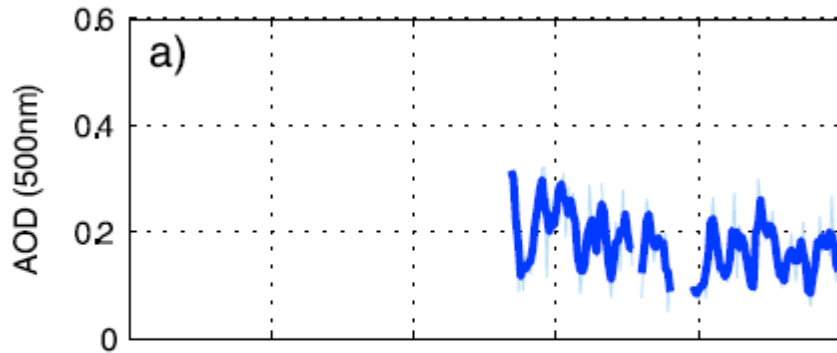


**Clear-sky  
surface solar  
radiation**

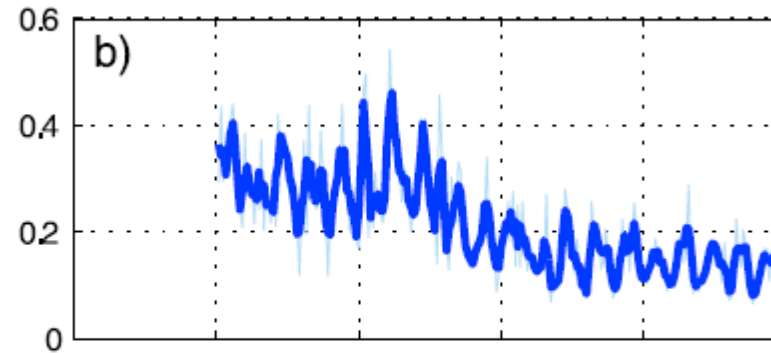


## 5.4 Solar dimming and brightening

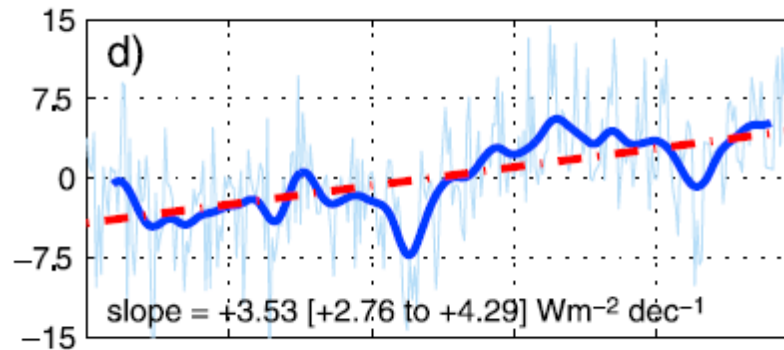
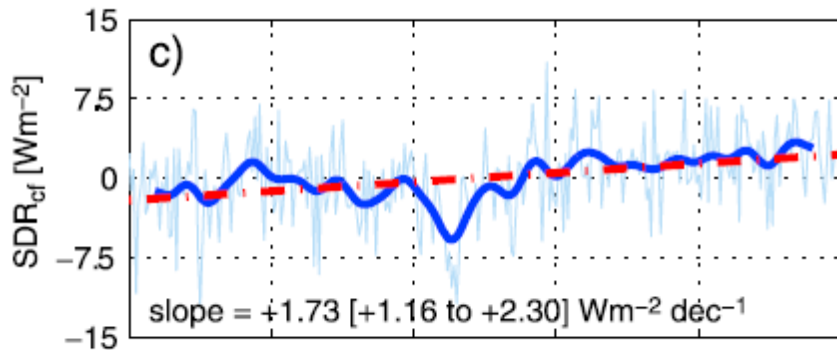
Switzerland



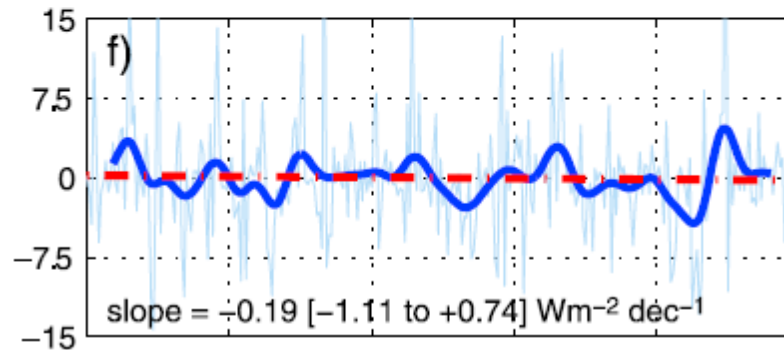
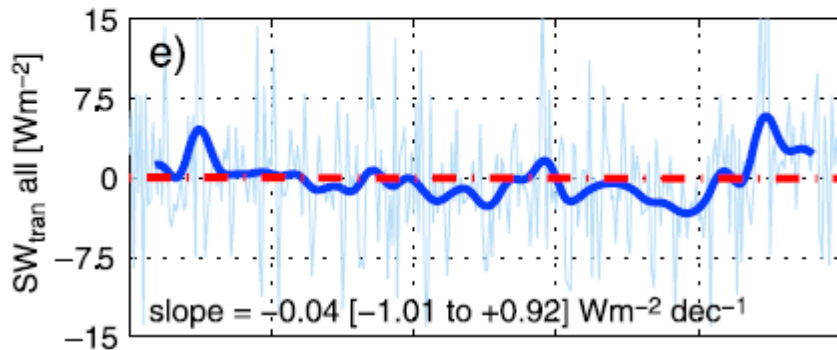
North Germany



**Aerosol  
optical depth**



**Clear-sky  
surface solar  
radiation**

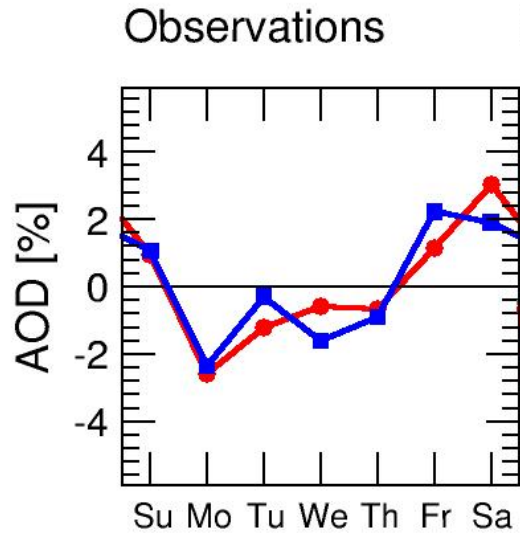


**All-sky  
surface solar  
radiation**

1981 1991 2001

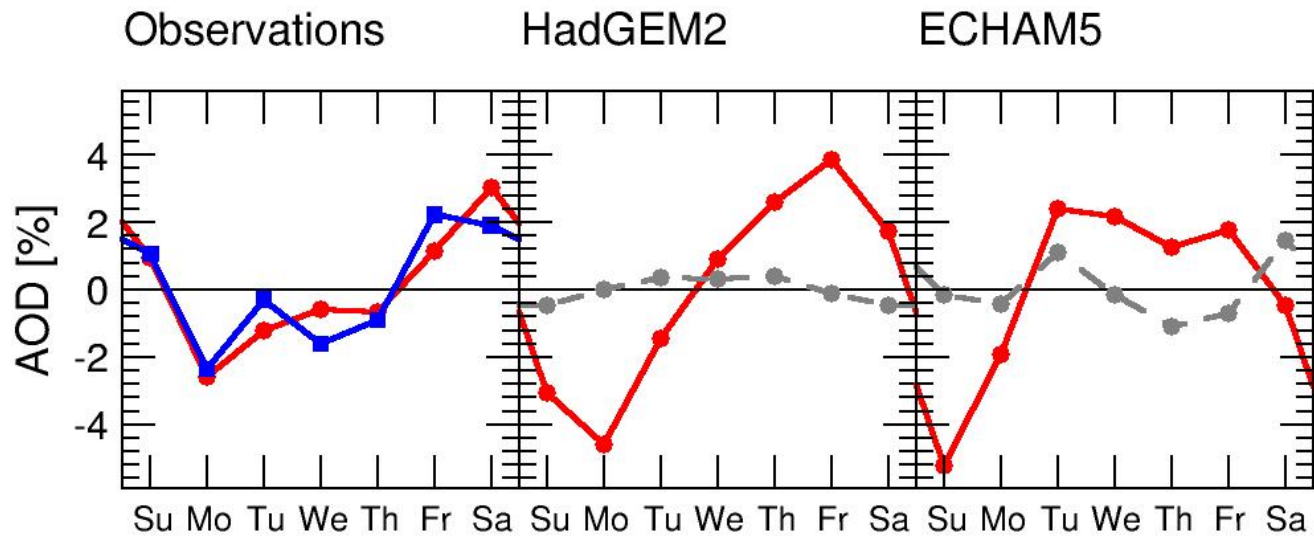
1981 1991 2001

## .5 Weekly cycle



**MODIS Terra**  
**MODIS Aqua**

## 5.5 Weekly cycle

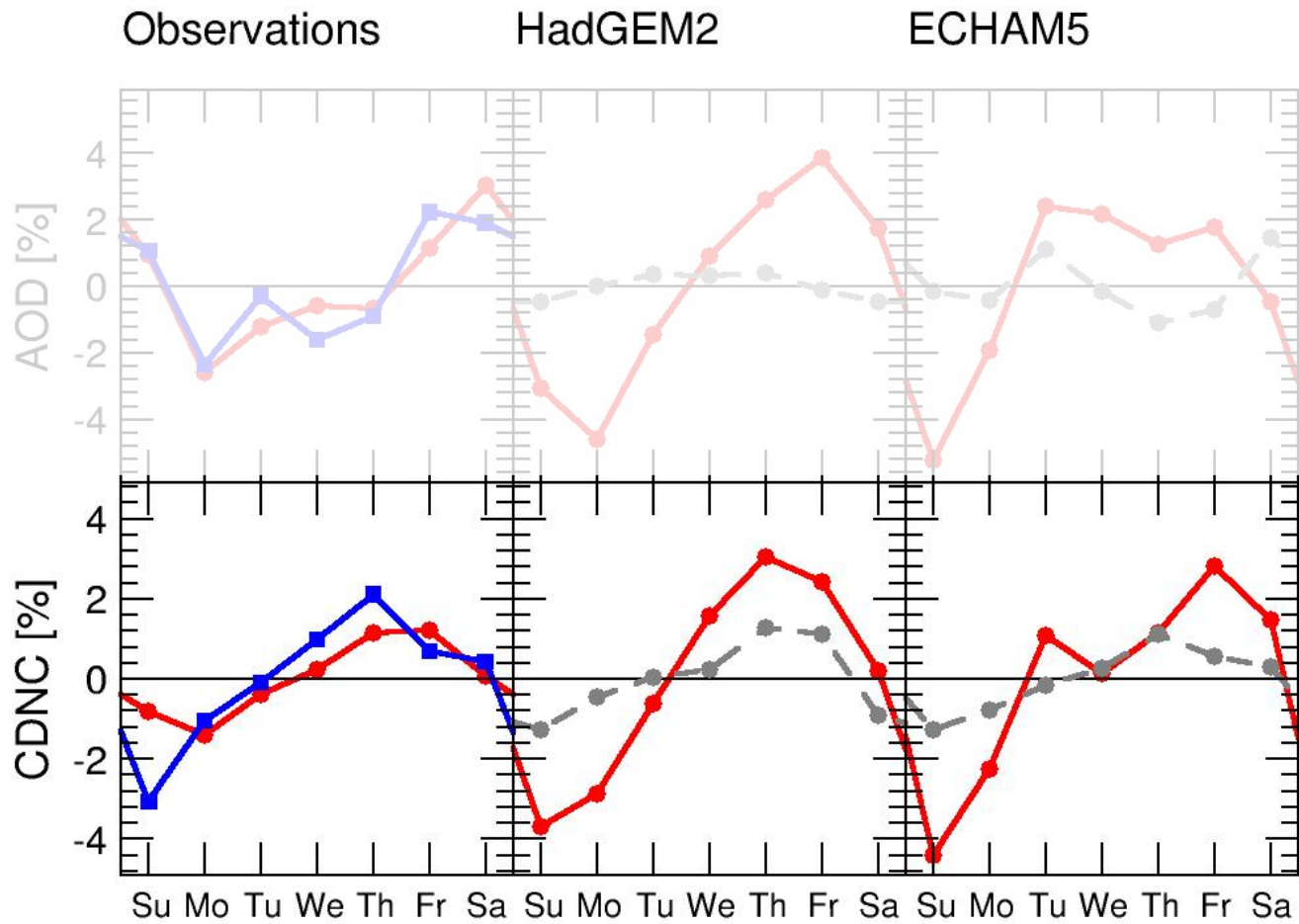


**MODIS Terra**  
**MODIS Aqua**

**Model experiment**  
**Model control**

Aerosol optical depth

## 5.5 Weekly cycle



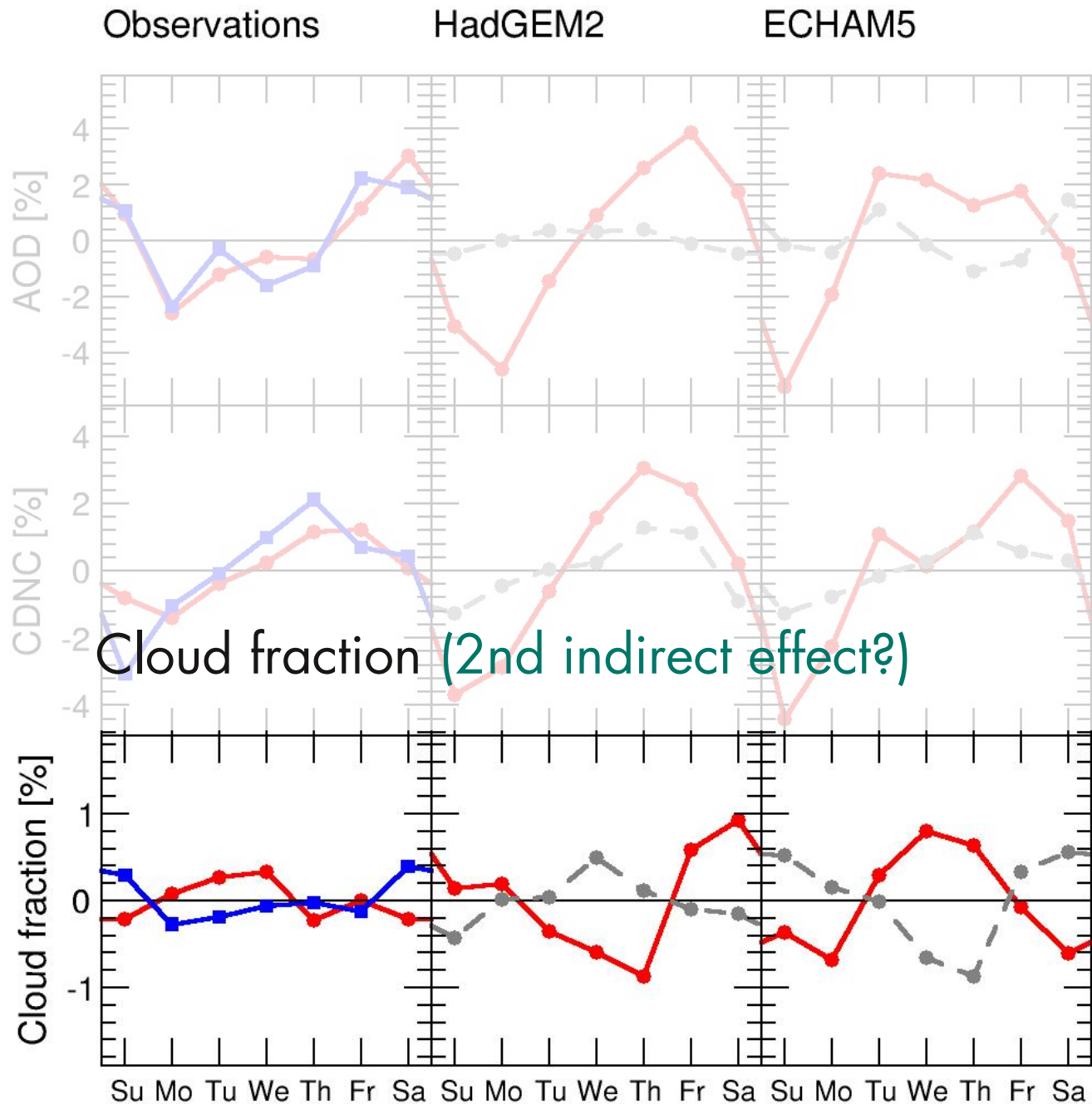
**MODIS Terra**  
**MODIS Aqua**

**Model experiment**  
**Model control**

Cloud droplet number concentration  
(1st indirect aerosol effect)



## 5.5 Weekly cycle

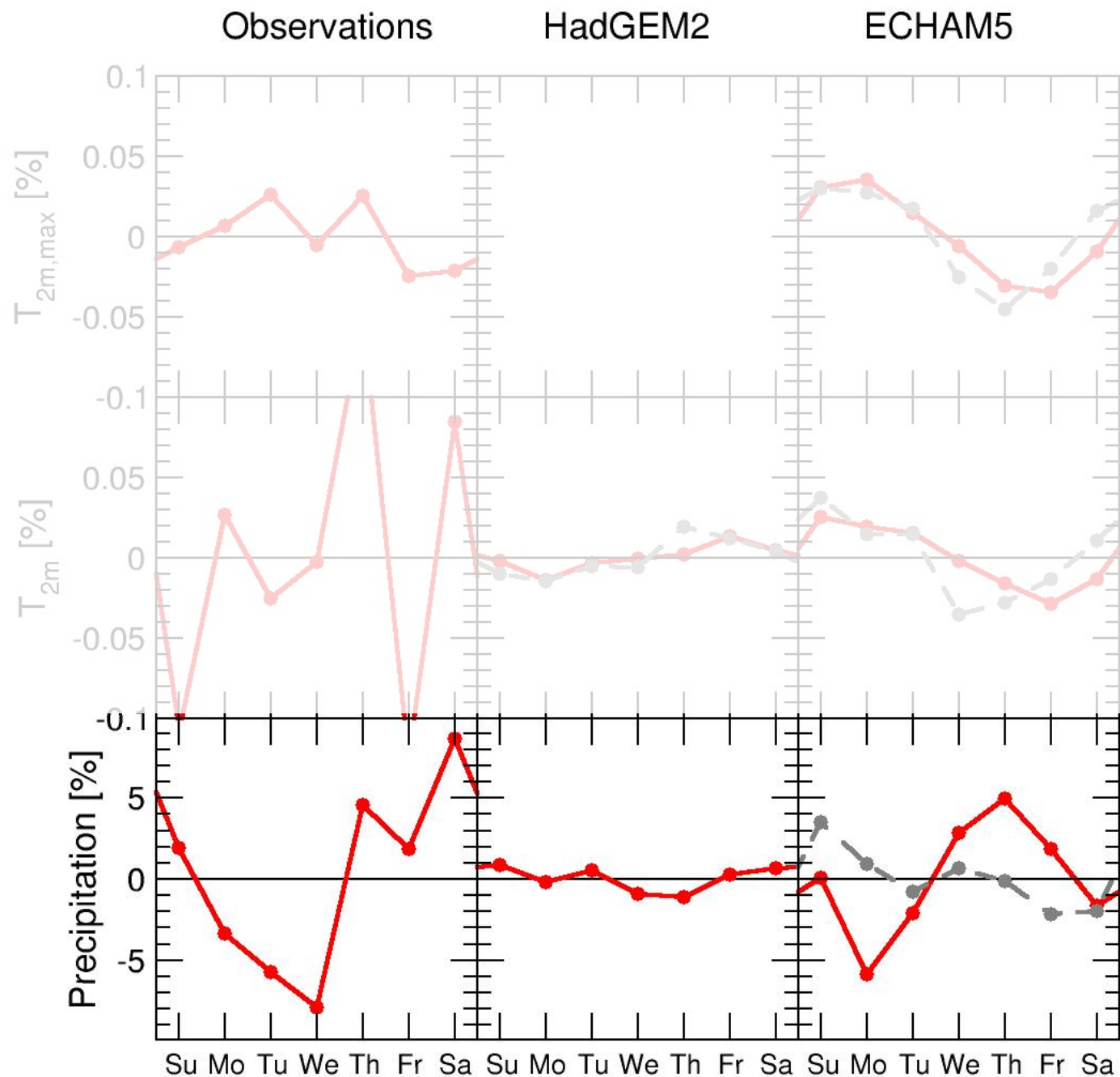


**MODIS Terra**  
**MODIS Aqua**

**Model experiment**  
**Model control**

Cloud fraction (2nd indirect effect?)

## 5.5 Weekly cycle



**MODIS Terra**

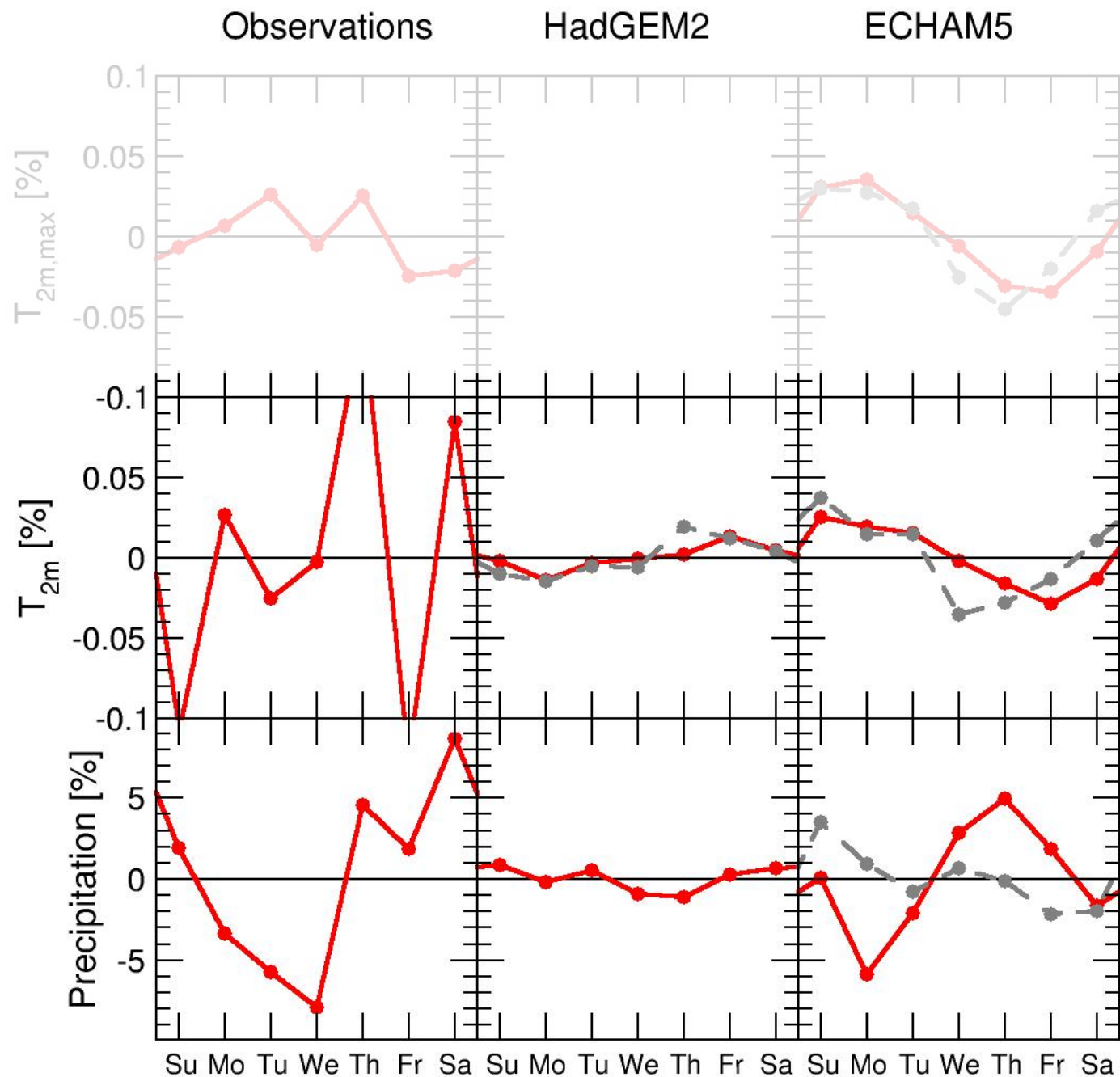
**MODIS Aqua**

**Model experiment**

**Model control**

Precipitation

## 5.5 Weekly cycle



**MODIS Terra**

**MODIS Aqua**

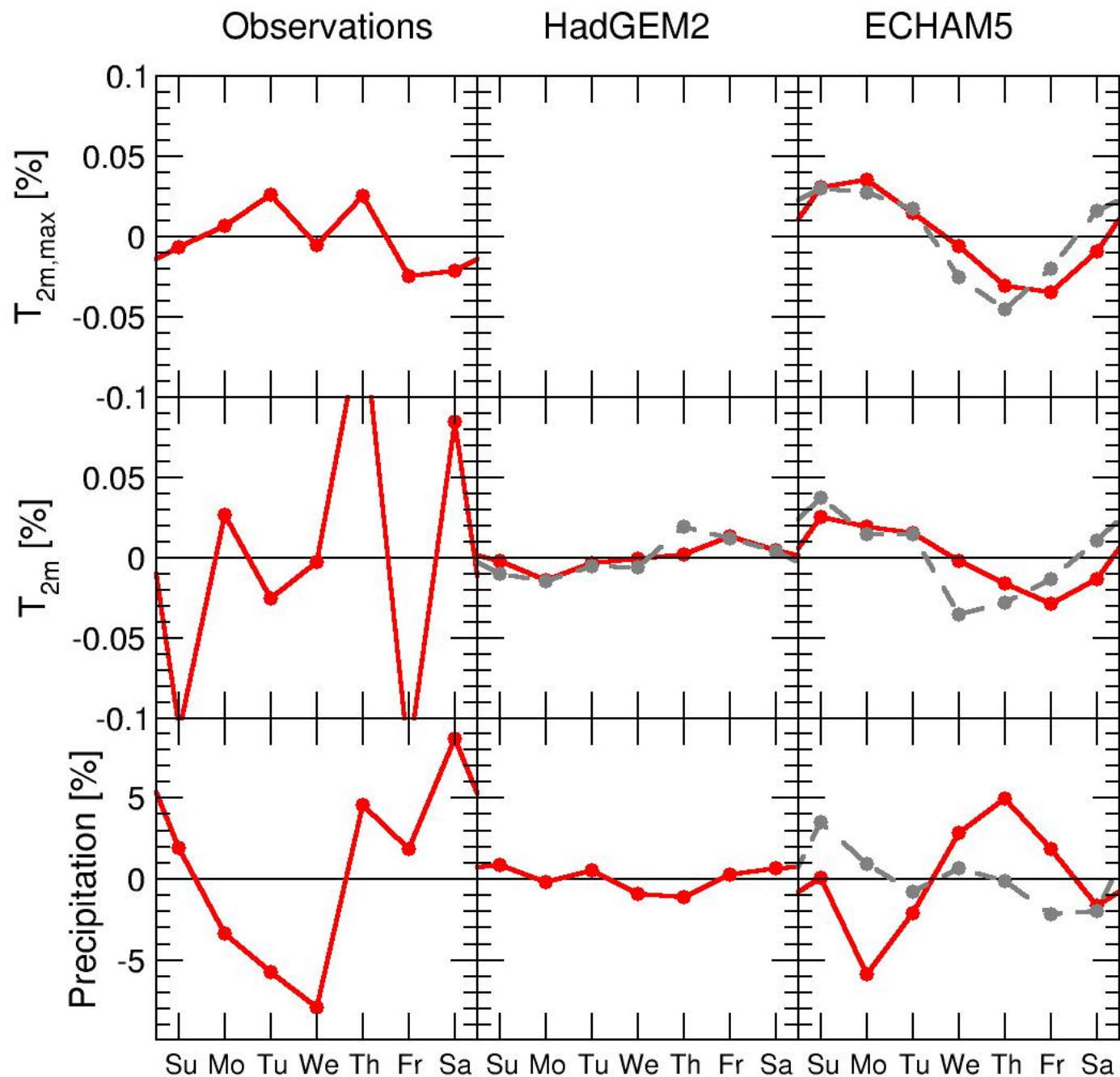
**Model experiment**

**Model control**

Temperature

Precipitation

## 5.5 Weekly cycle



**MODIS Terra**

**MODIS Aqua**

**Model experiment**

Model control

Max. Temperature

Temperature

Precipitation

## 5.6 Weather modification



## 5.7 Aerosol forcing – cloud radiative effect

Global-mean cloud radiative effect (solar)  $\sim 50 \text{ Wm}^{-2}$

Global-mean aerosol indirect radiative forcing (solar)  $\sim -2 \text{ to } 0 \text{ Wm}^{-2}$

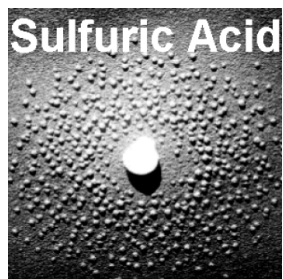
→ search for maximum 4% effect



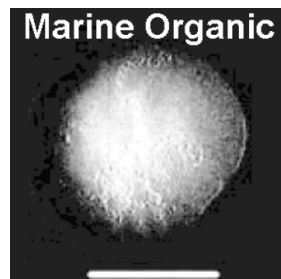
## 6. Modelling in general circulation models

### 6.1 Aerosol modelling

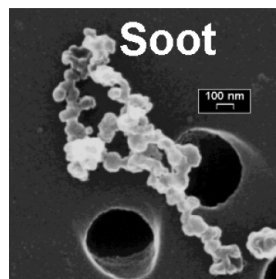
Sulfate



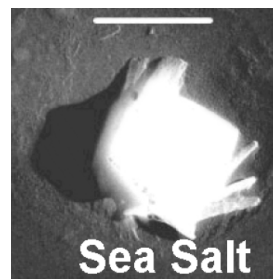
Organic Matter



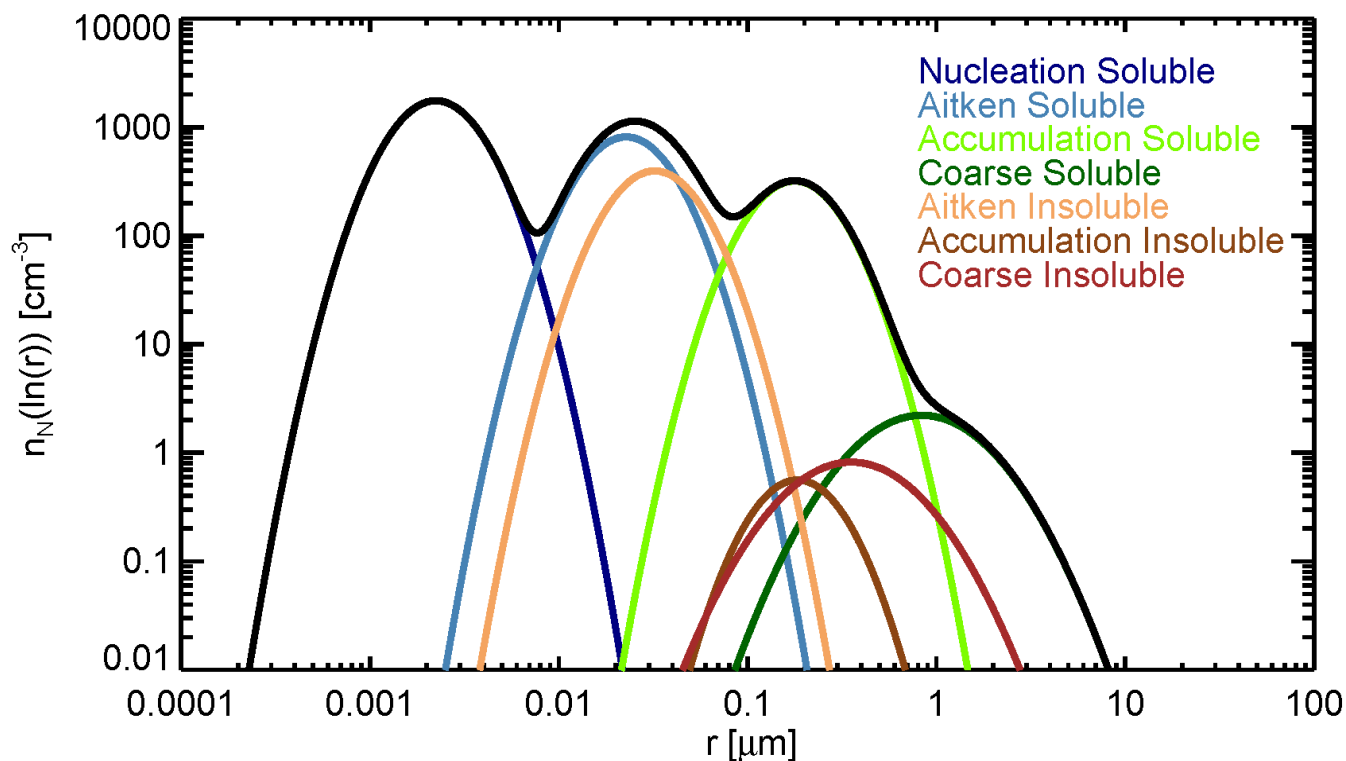
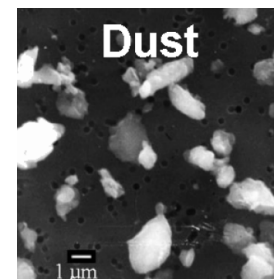
Black Carbon



Sea Salt



Mineral Dust



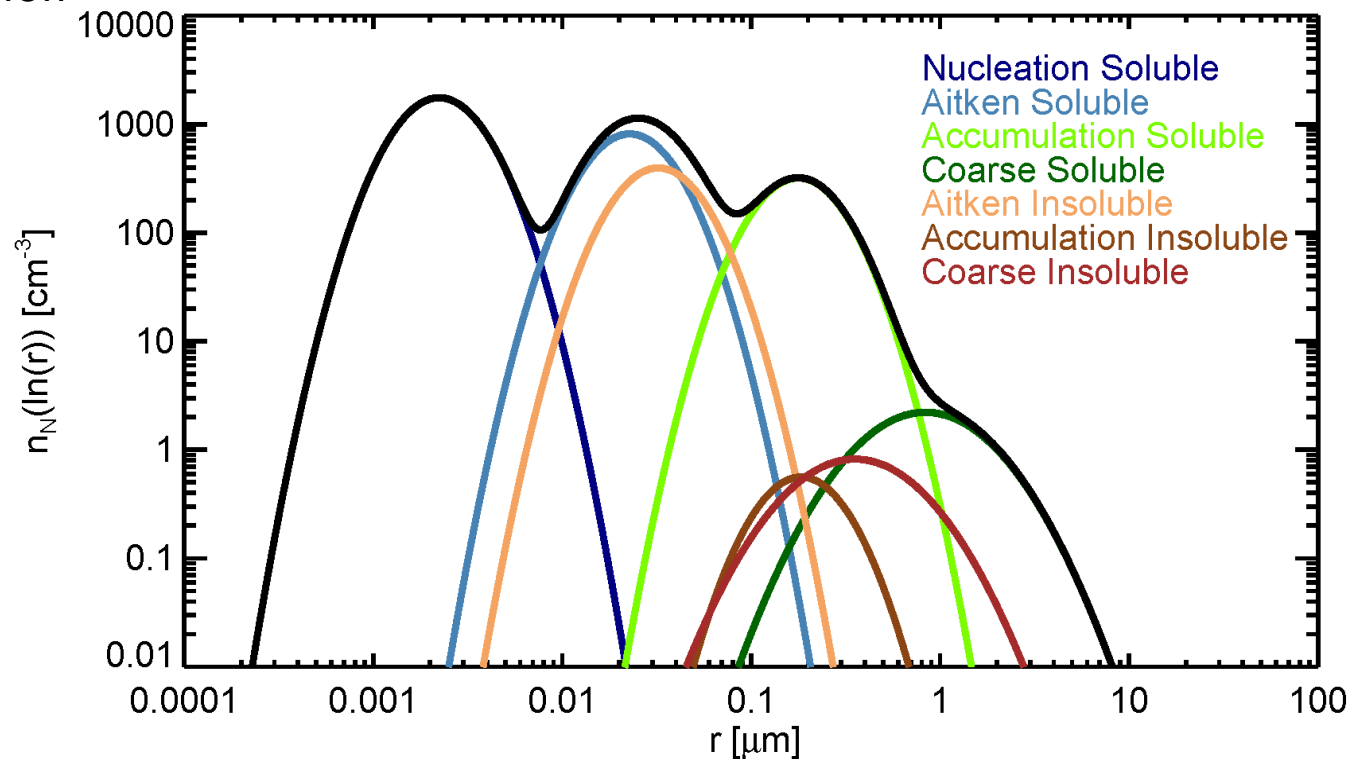
Log-normal modes of internally mixed soluble and insoluble particles  
Standard deviation fixed



## 6.1 Aerosol modelling

Interactions:

- Simple sulfur and secondary organic chemistry
- Neutral and charged nucleation of sulfate particles
- Condensation of sulfate on existing particles
- Coagulation
- Nucleation of sulfate particles
- Inter-modal transfer
- Kappa approach for humidification
- Cloud processing
- Dry deposition
- Wet scavenging



## **6.1 Aerosol modelling**

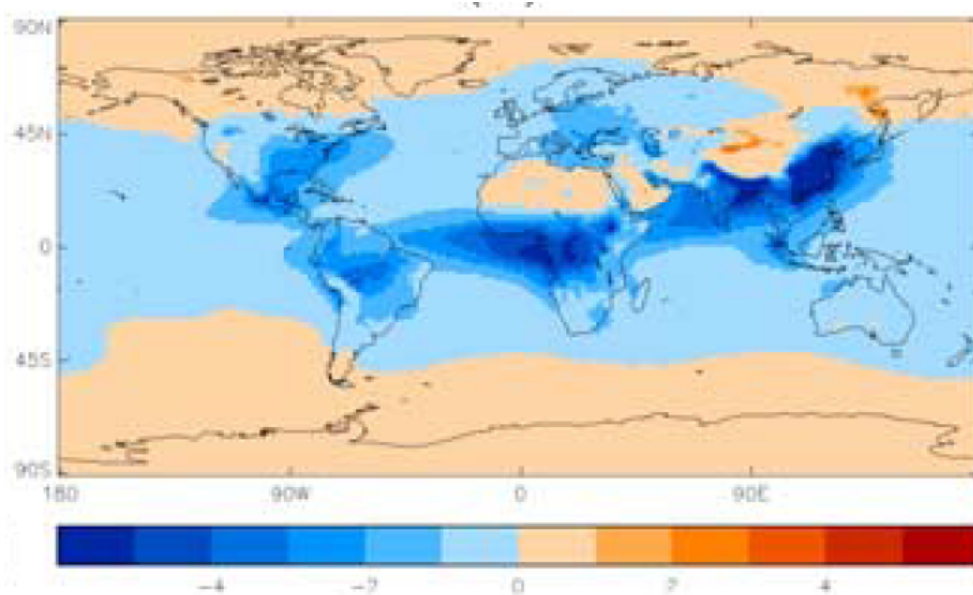
Feedbacks within a model

- (i) Transport
- (ii) Wet deposition
- (iii) Cloud condensation nuclei / ice nuclei
- (iv) Air chemistry (oxidants, nitrogen cycle)
- (v) Ocean biogeochemistry (DMS)
- (vi) Vegetation (secondary organic aerosols, SOA)

## 6.2 Reference atmosphere for radiative forcing

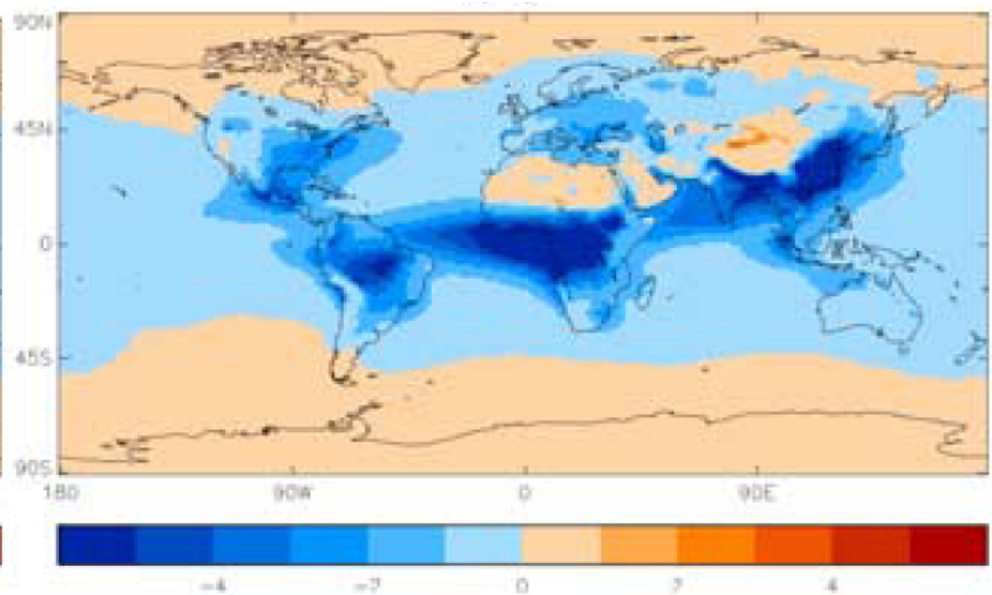
- year 1850 (even 1750) anthropogenic aerosols not zero
- if not all natural aerosols are considered, the forcing is overestimated (logarithmic)
- if not full variability of aerosols considered forcing overestimated (logarithmic)

present-day vs. 1860



G -0.63 O: -0.51 L: -0.91

present-day vs. natural



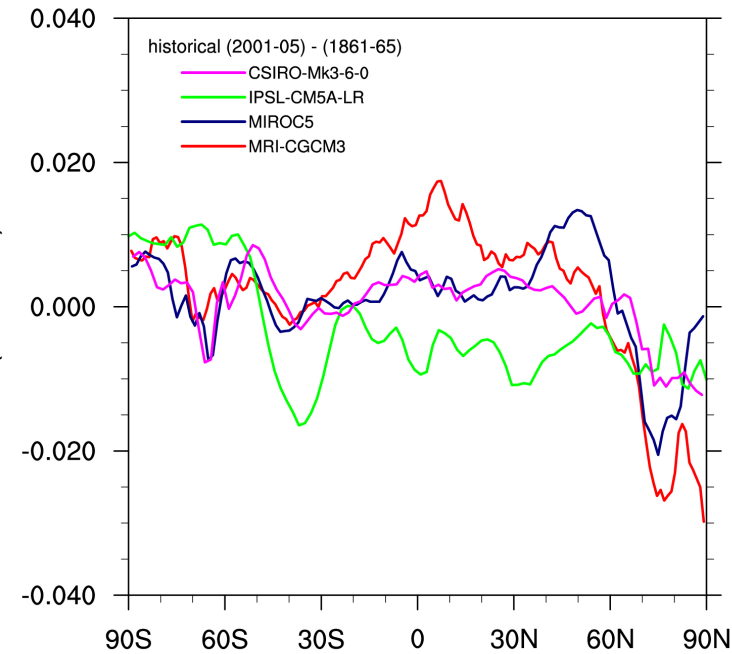
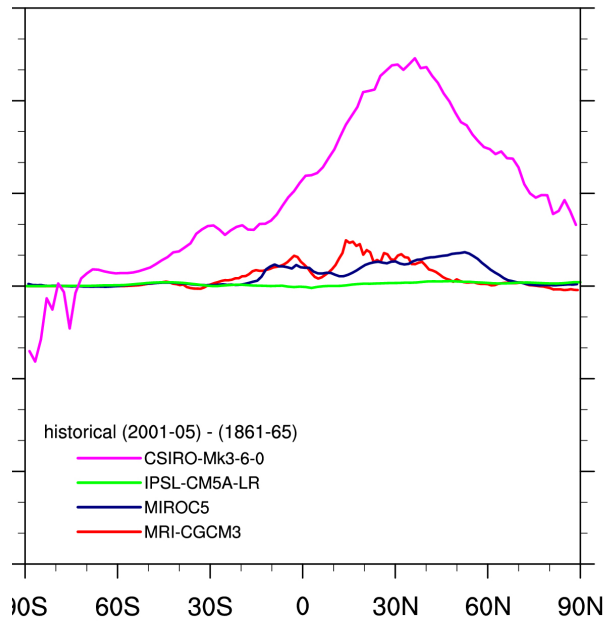
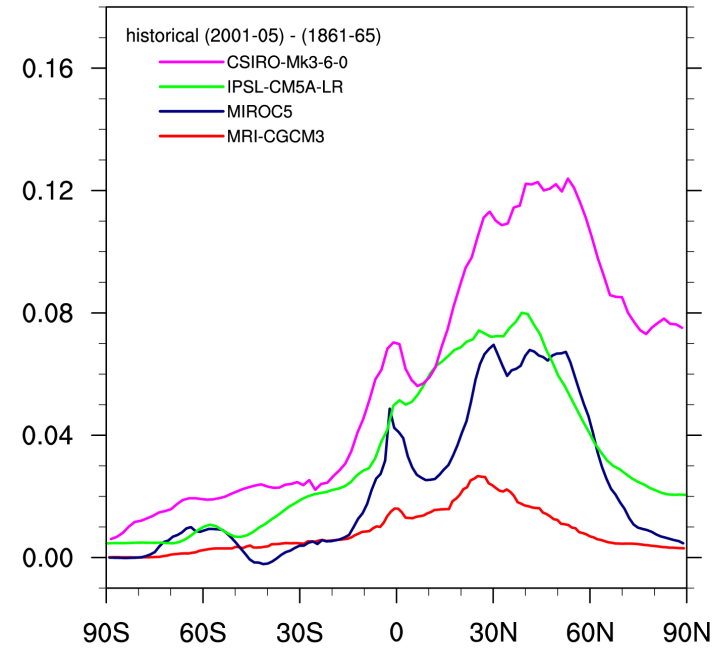
G -0.81 O: -0.64 L: -1.23

## 6.2 Reference atmosphere for radiative forcing

### Aerosol concentration

### Droplet concentration

### Planetary albedo



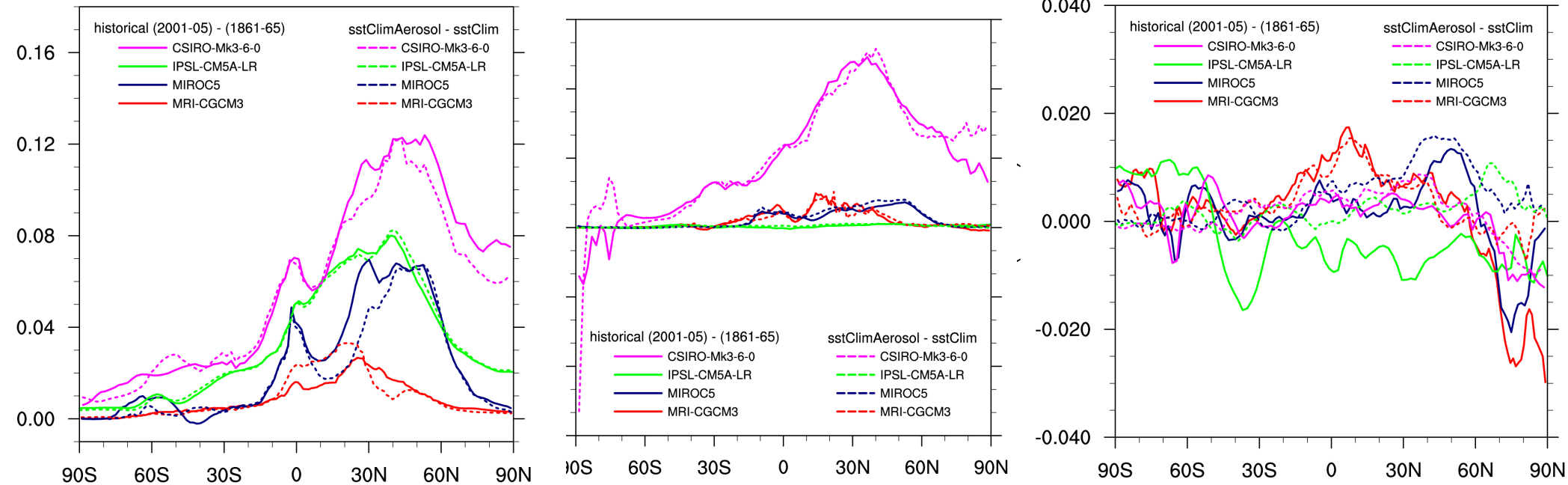
Results from the historical simulations 2001-05 vs. 1881-85 from CMIP5

## 6.2 Reference atmosphere for radiative forcing

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### Droplet concentration

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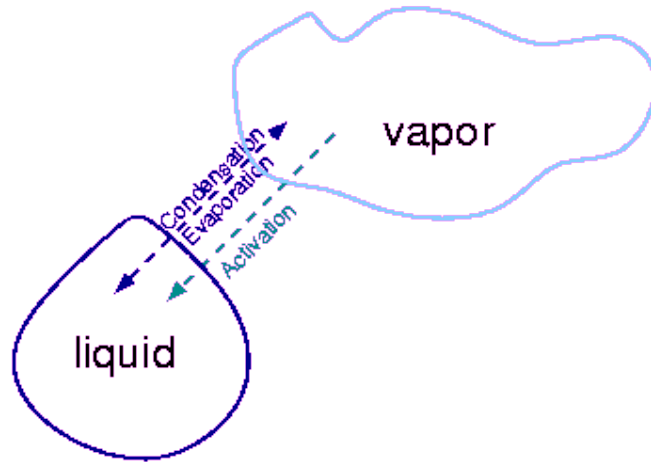


Results from the historical simulations 2001-05 vs. 1881-85 from CMIP5 vs. Results from idealised SSTClimAerosol simulations (dotted)

## 6.3 Cloud microphysical modelling

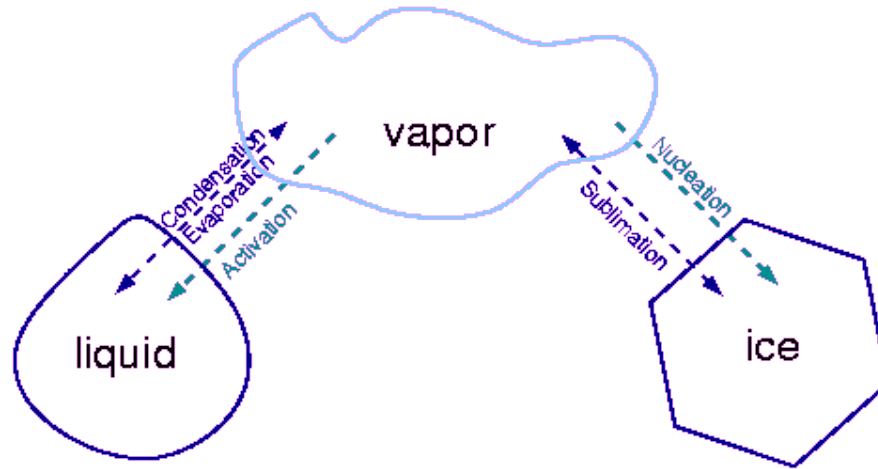


## 6.3 Cloud microphysical modelling



- droplet activation
- diffusion growth  
(condensation / evaporation)

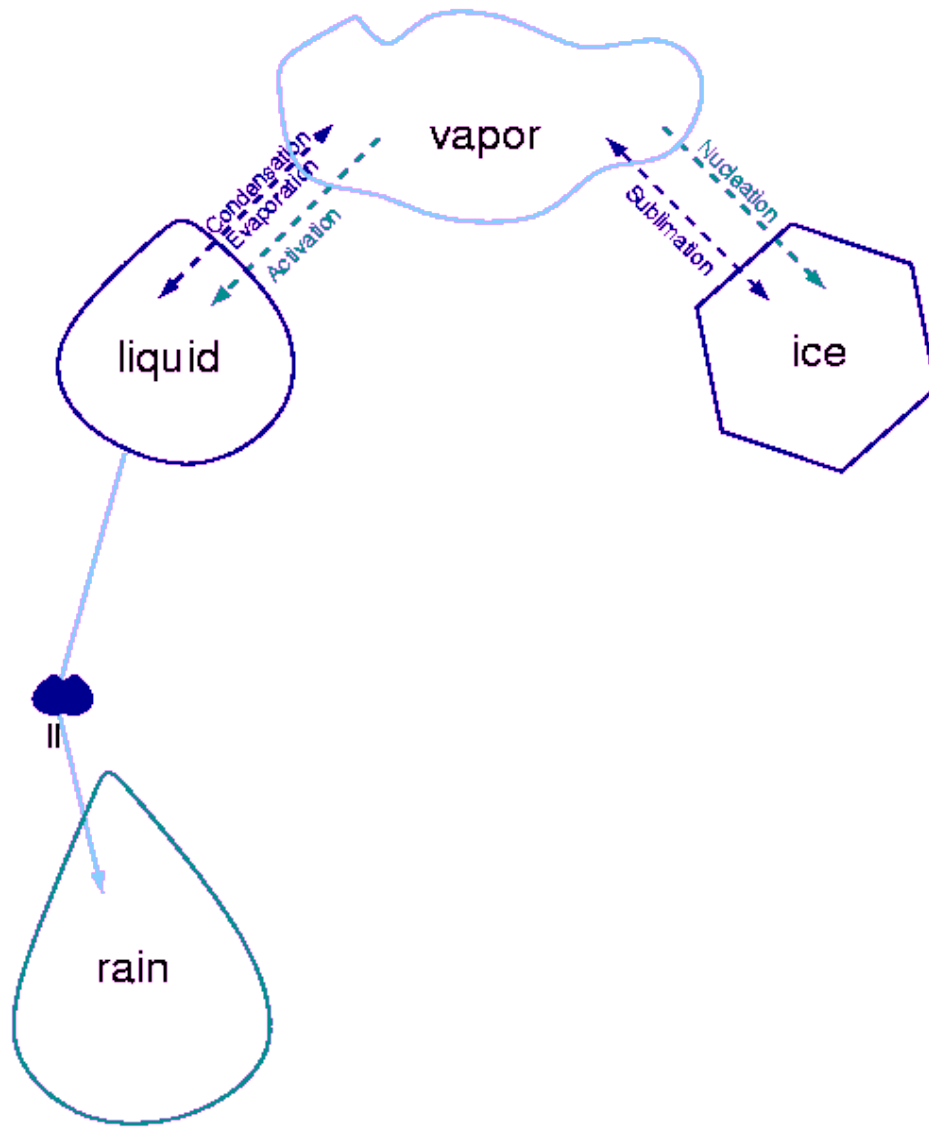
## 6.3 Cloud microphysical modelling



- nucleation
- diffusion growth (sublimation)

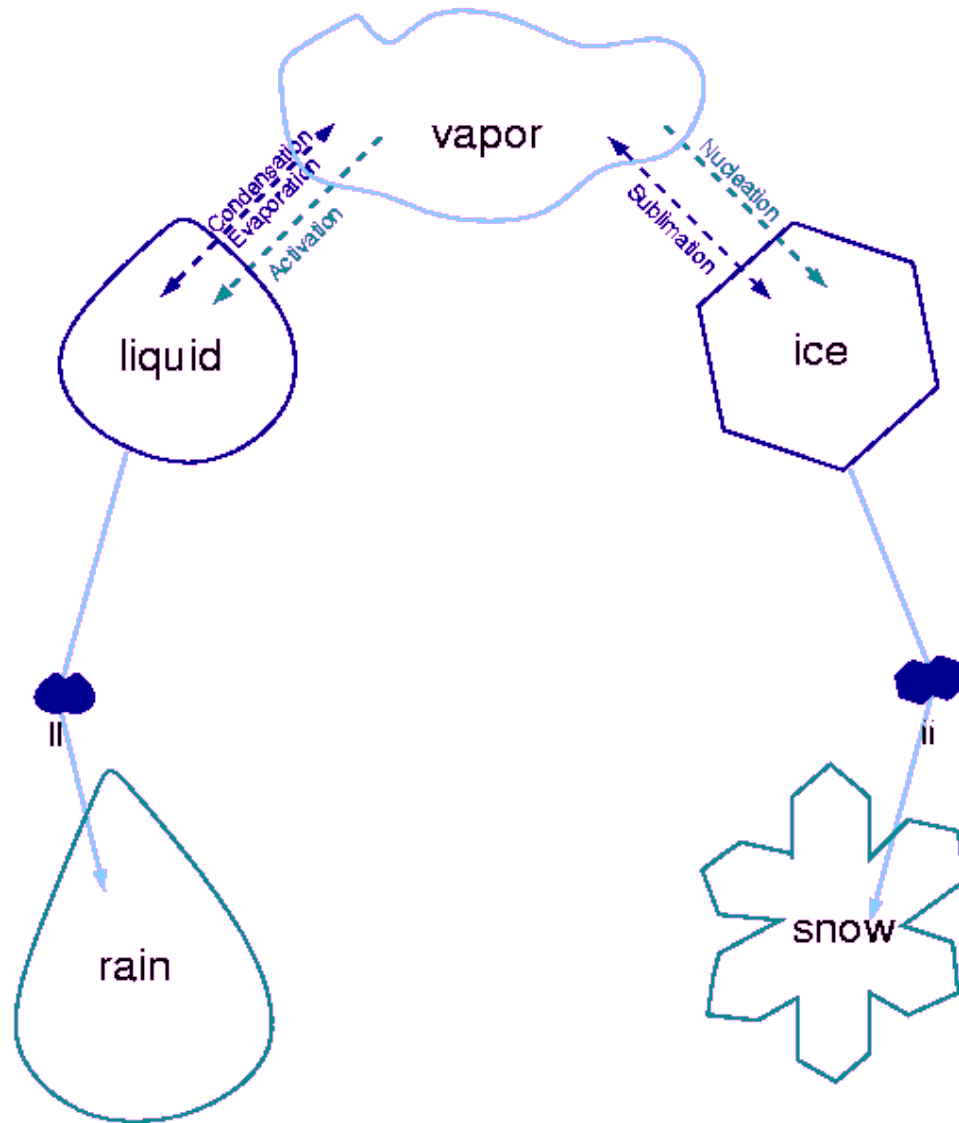


## 6.3 Cloud microphysical modelling



„autoconversion“ -  
initial collision/coalescence

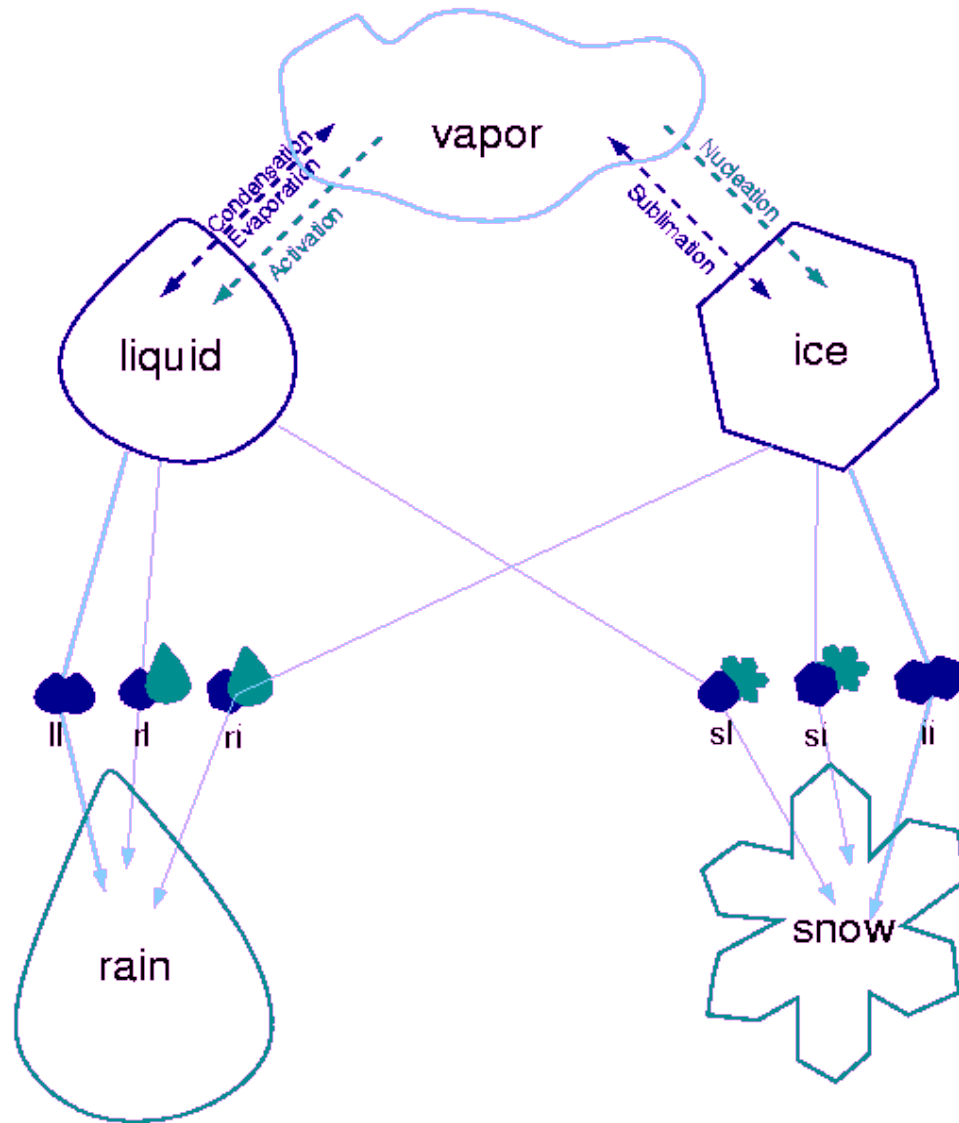
## 6.3 Cloud microphysical modelling



aggregation

- initial formation of snowflakes

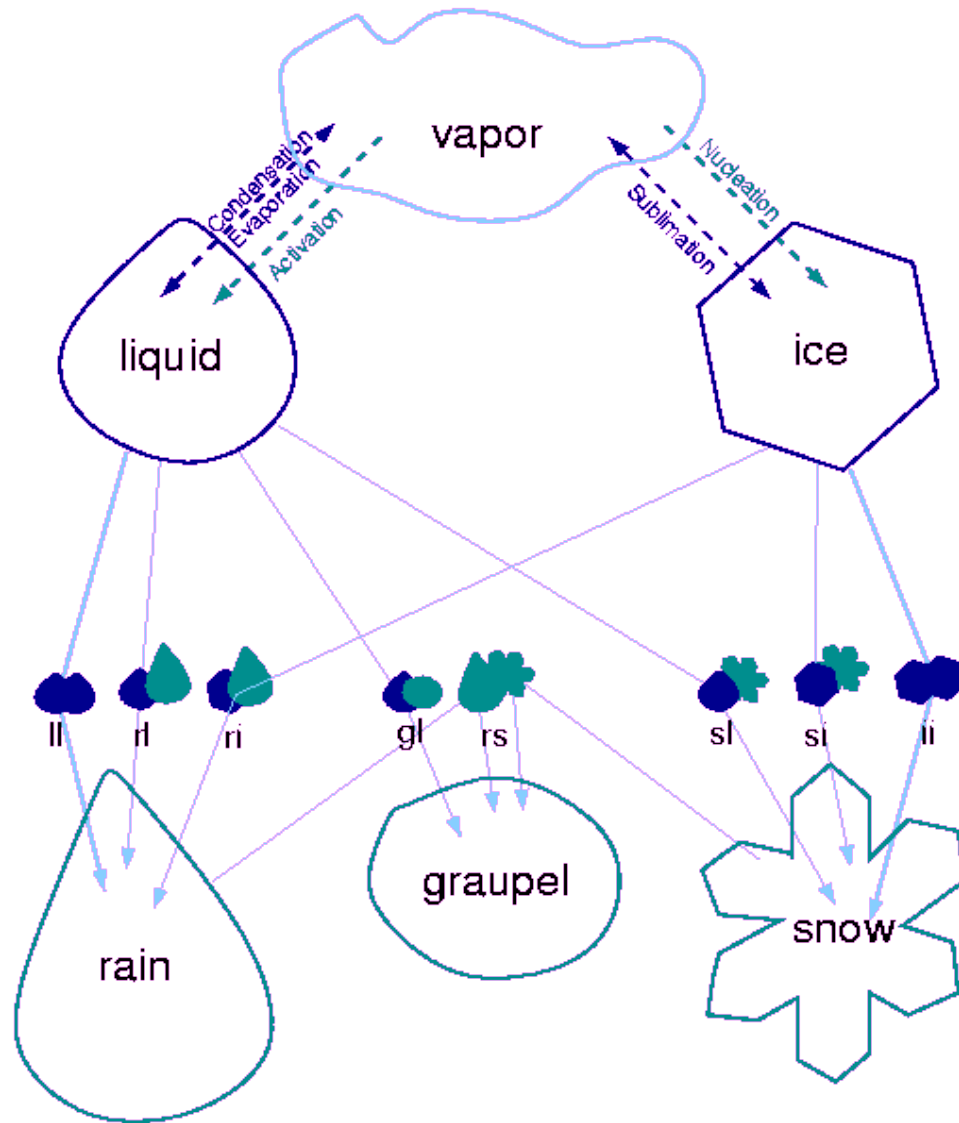
## 6.3 Cloud microphysical modelling



accretion

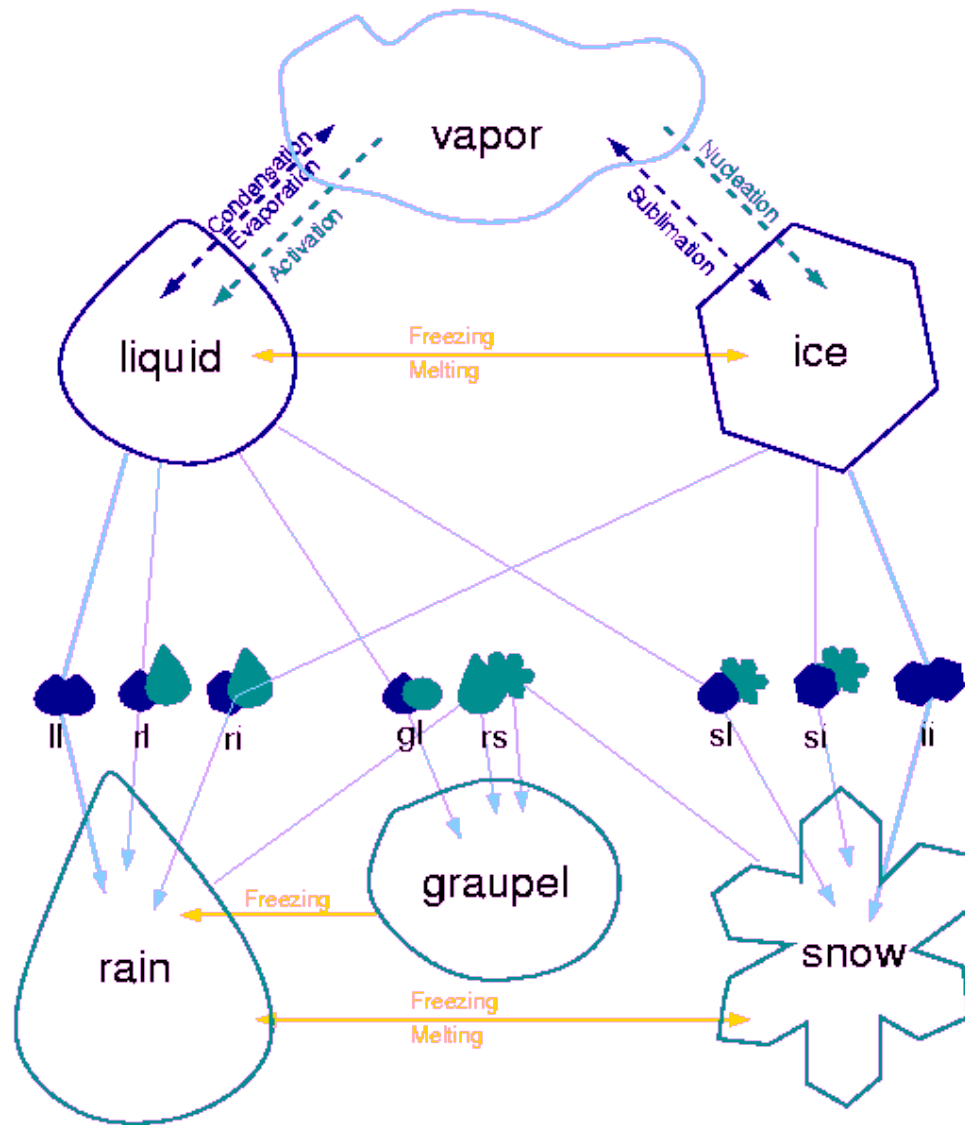
- collision/coalescence processes

## 6.3 Cloud microphysical modelling



riming  
collision/coalescence

## 6.3 Cloud microphysical modelling

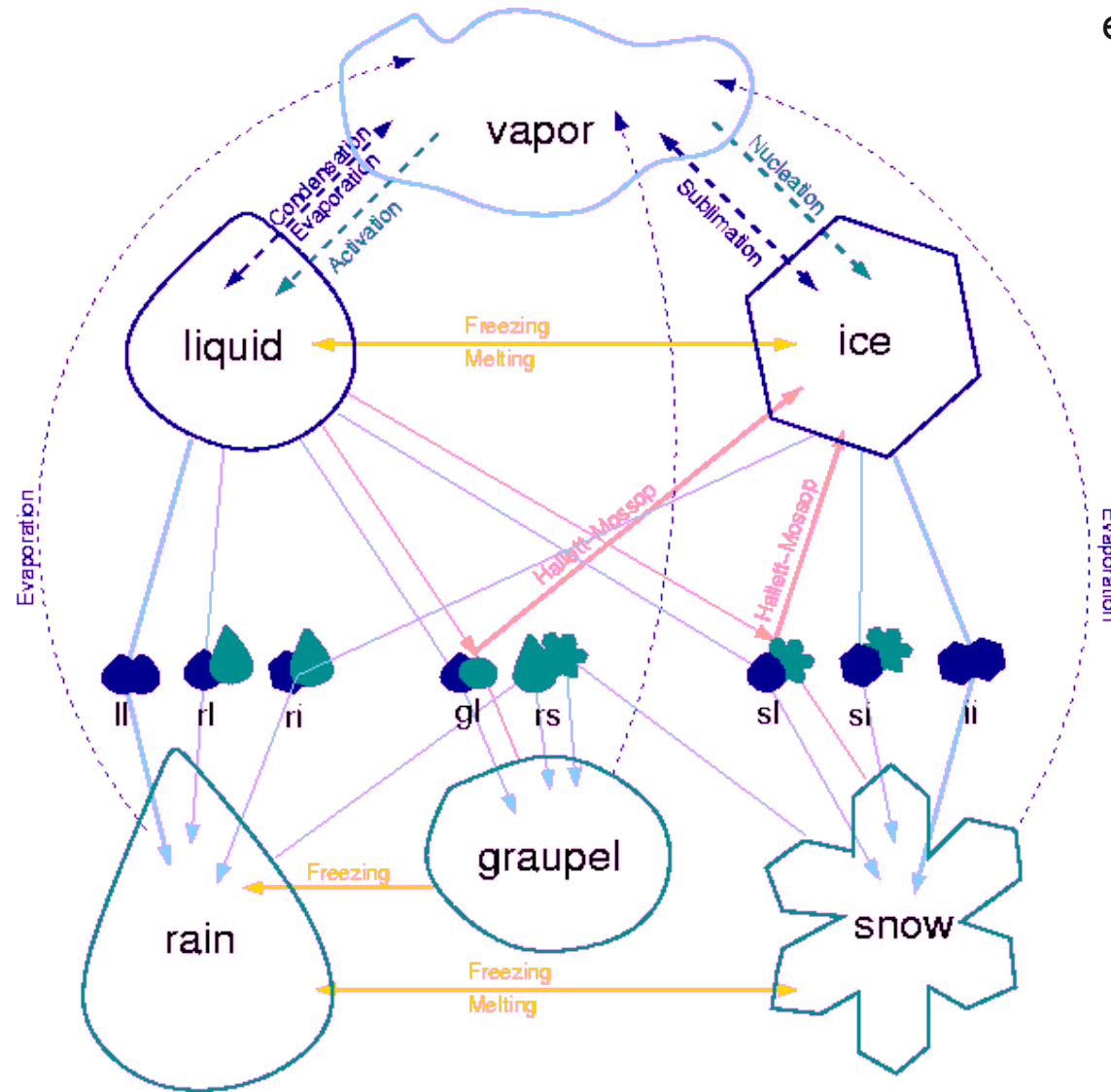


melting  
freezing



## 6.3 Cloud microphysical modelling

evaporation of precipitation



## 6.3 Cloud microphysical modelling

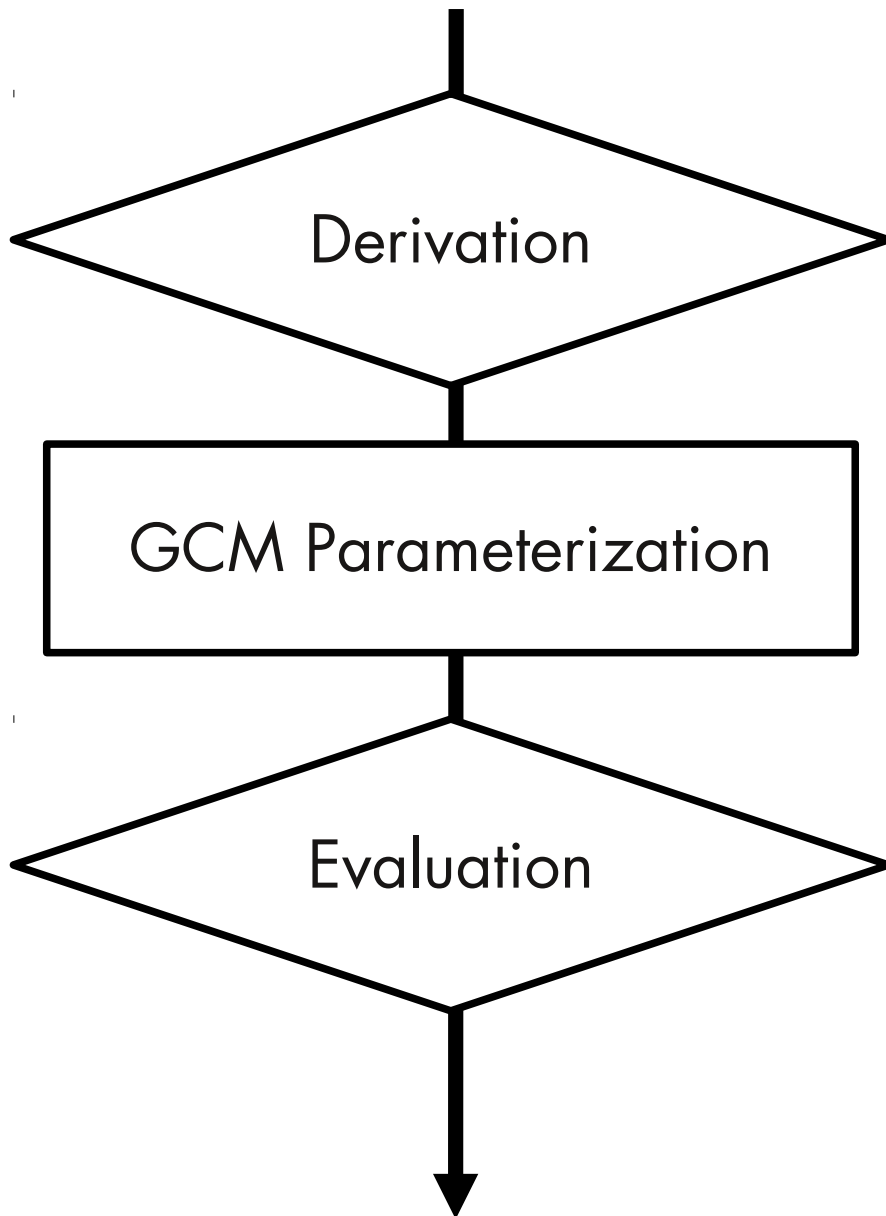
Representation in large-scale models

- (i) **bulk** vs. bin scheme (resolve or not size distributions)
- (ii) one vs. **two** moments (just masses or also numbers)
- (iii) **vapour, liquid, ice**, rain, snow, graupel, hail, ...

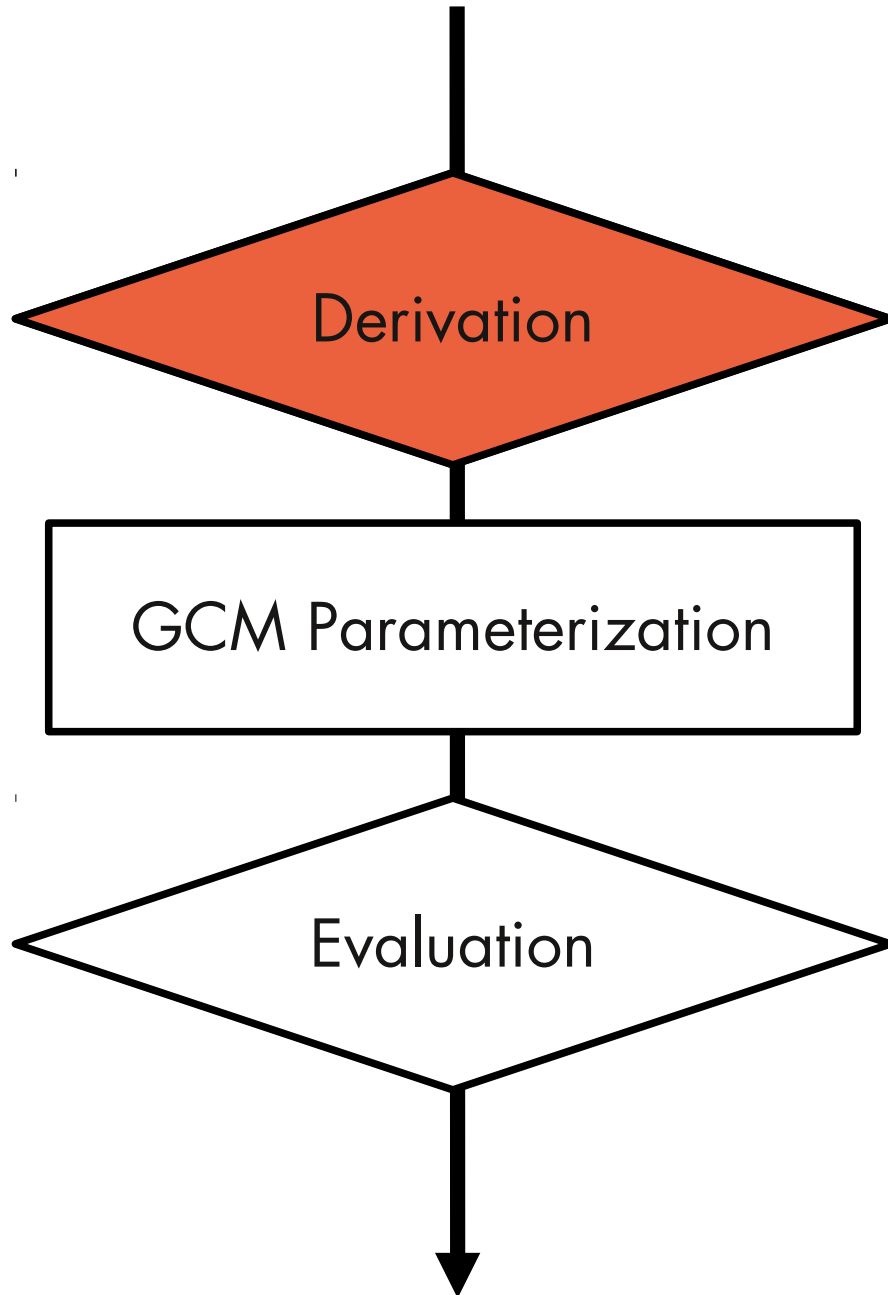


## 7. Evaluation of parameterisations

### 7.1 Implementation of a new process

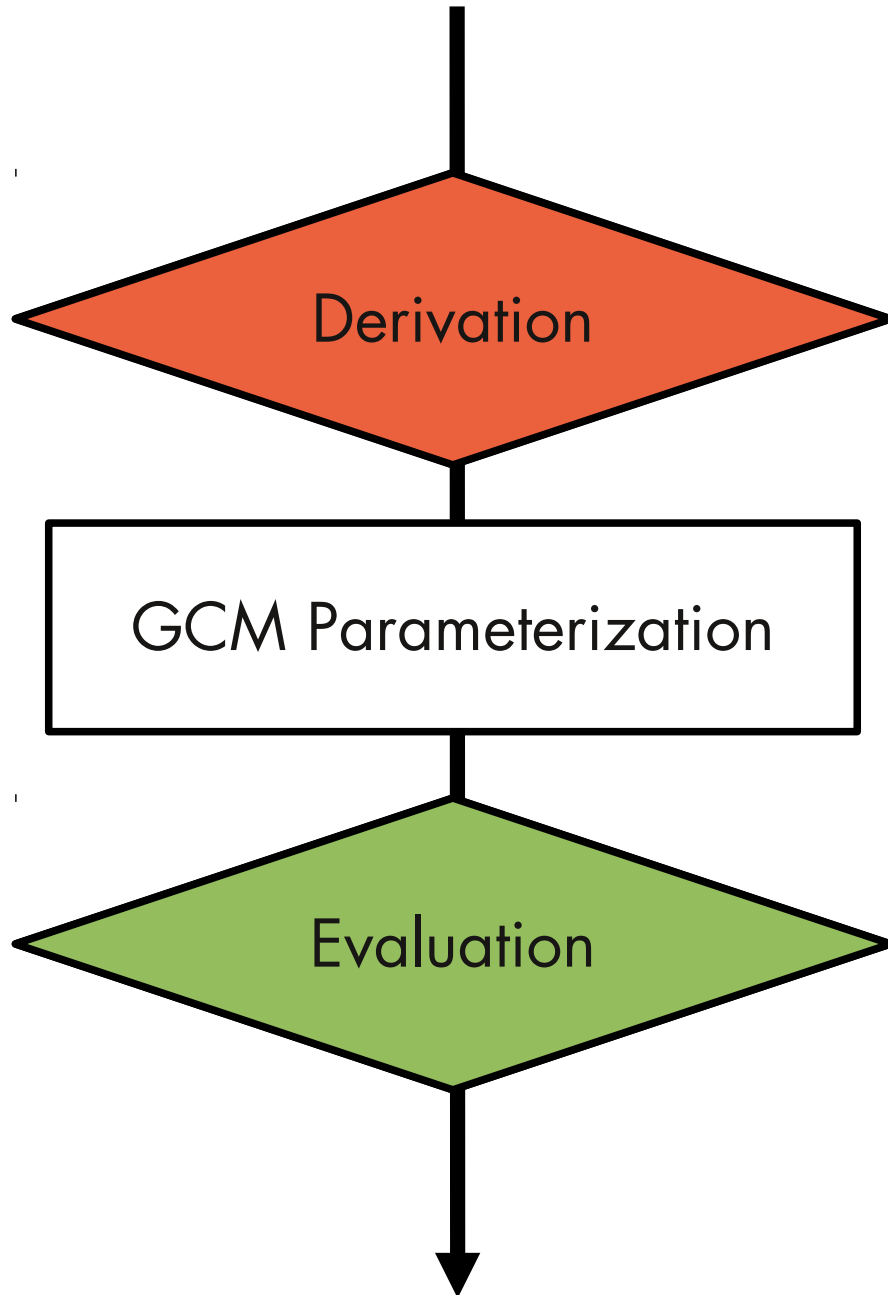


## 7.1 Implementation of a new process



1. First principles
2. Laboratory studies
3. Dedicated field campaigns
4. High-resolved models

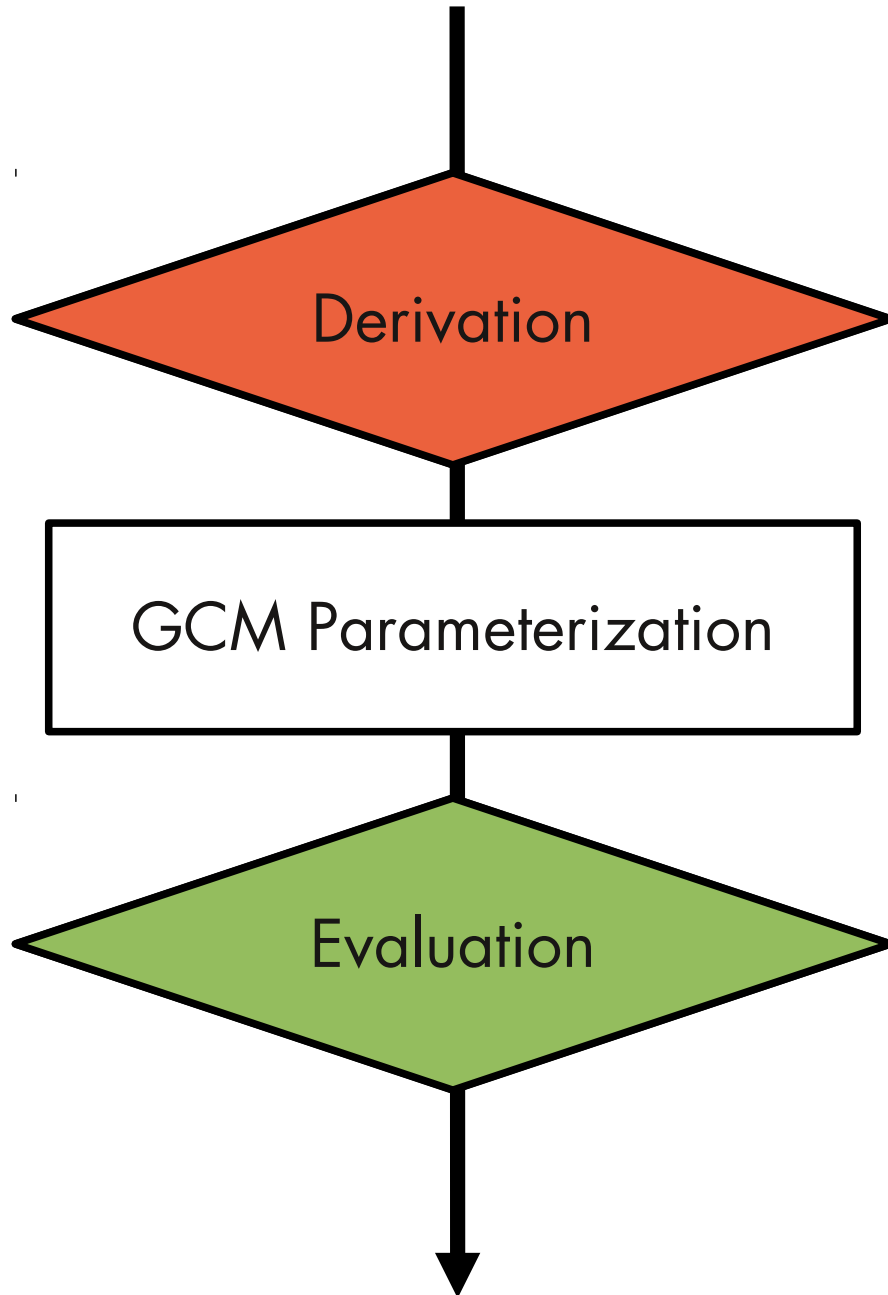
## 7.1 Implementation of a new process



1. First principles
2. Laboratory studies
3. Dedicated field campaigns
4. High-resolved models

1. Constraints from satellite-derived statistics
2. Constraints from data assimilation

## 7.1 Implementation of a new process



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## 7.2 Evaluation metric

Definition: **Metric**

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**...quantifying the ability of models to simulate particular phenomena**

**...scalar that can be used to gauge how well a model simulates the aspect of climate analysed**

**...agreement or disagreement between model and observations**

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Definition: **Metric**

**...quantifying the ability of models to simulate particular phenomena**

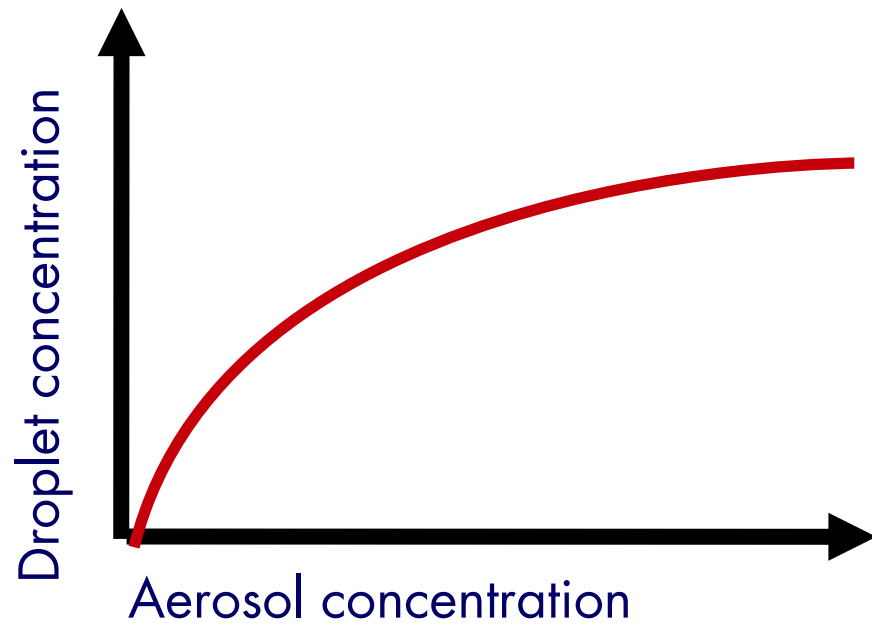
**...scalar that can be used to gauge how well a model simulates the aspect of climate analysed**

**...agreement or disagreement between model and observations**

**WMO Working group on Coupled Modelling (WCGM)**

## 7.2 Evaluation metric

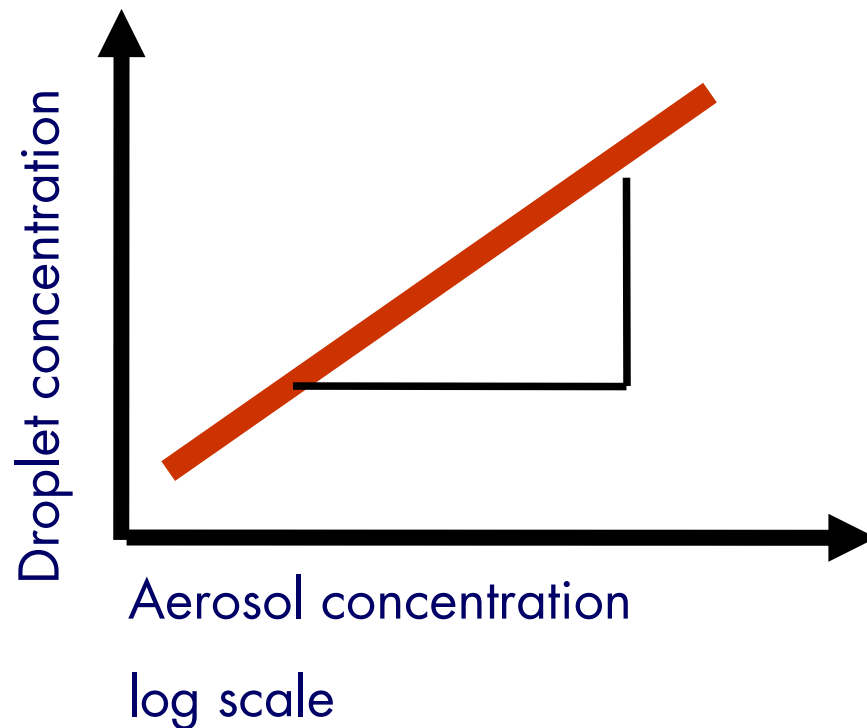
### Effect of anthropogenic aerosols on droplet concentration





## 7.2 Evaluation metric

### Effect of anthropogenic aerosols on droplet concentration

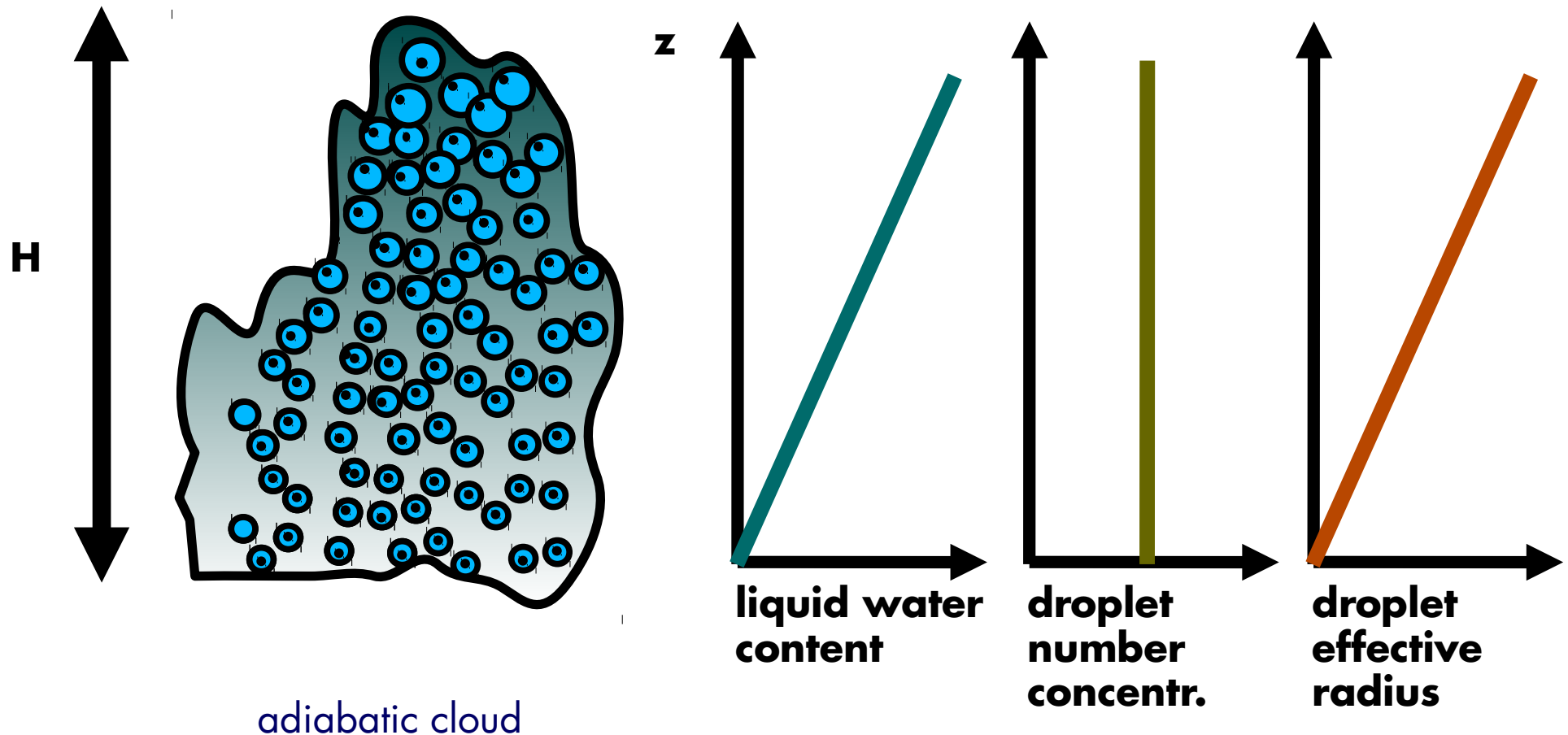


Slope of regression as metric for effect:

$$\frac{d \ln N_d}{d \ln \alpha} = \frac{\Delta N/N}{\Delta \alpha/\alpha}$$

$\alpha$  – aerosol concentration

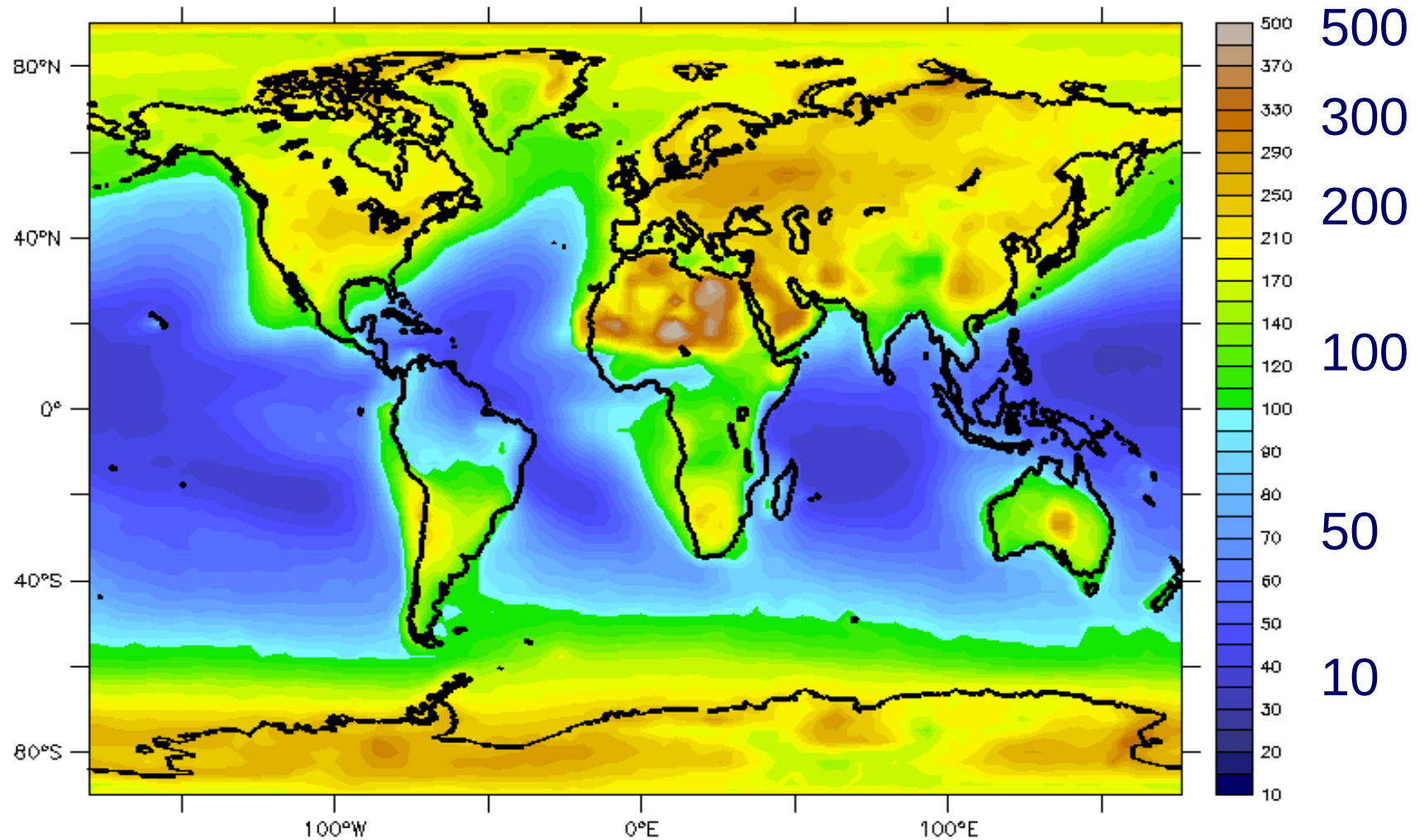
## 7.2 Evaluation metric



$$N_d = \gamma \tau_c^{1/2} r_e^{-5/2}$$

## 7.2 Evaluation metric

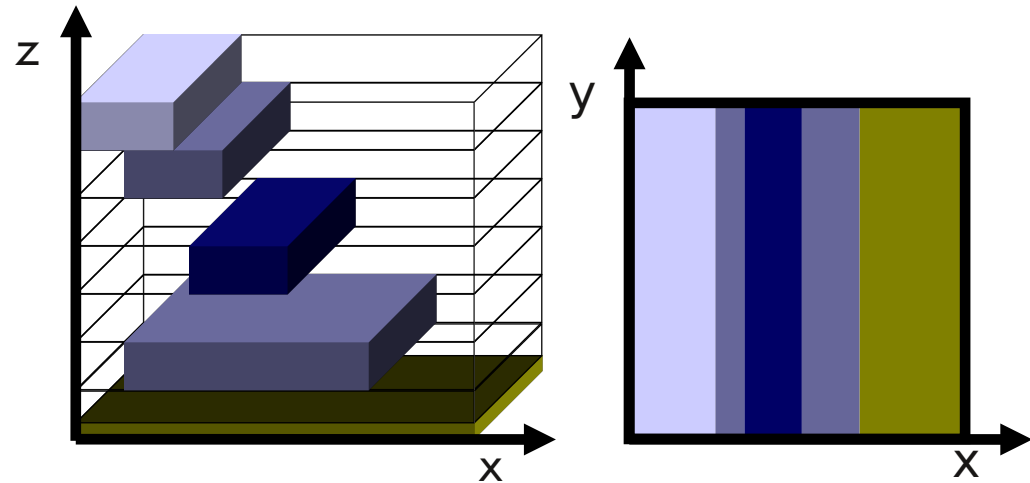
Adiabatic cloud droplet number concentration (CDNC) [ $\text{cm}^{-3}$ ]



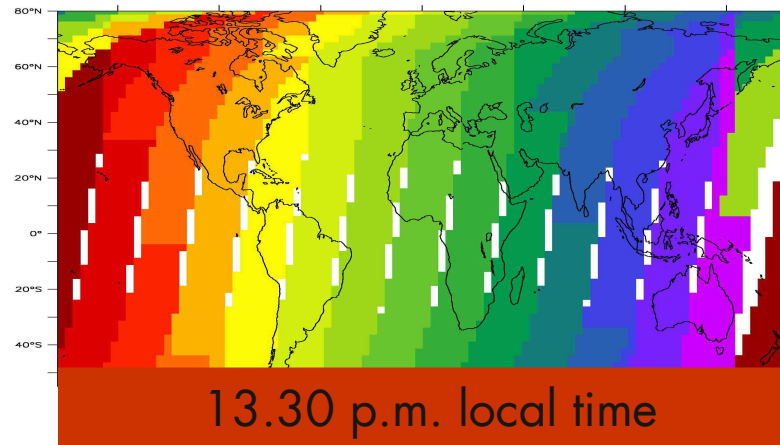
MODIS for 03/2000 – 02/2005

## 7.2 Evaluation metric

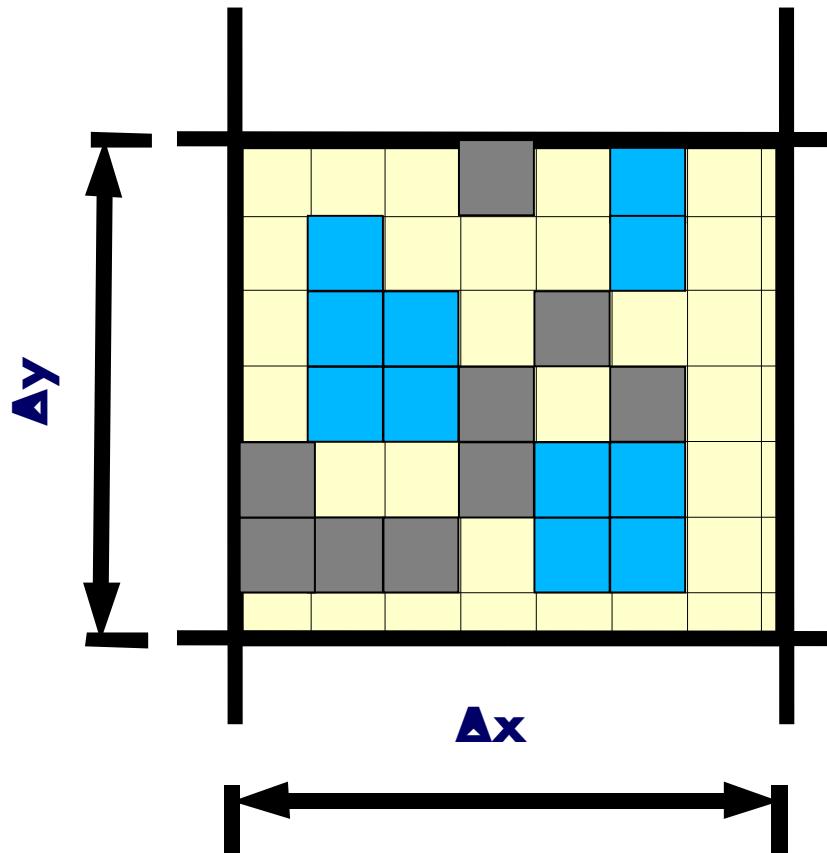
- 2D cloud top quantities from 3D cloud field using overlap assumption






- Sampling of daily fields at satellite overpass time
- Visible clouds only ( $\tau_c > 0.3$ )



## 7.2 Evaluation metric



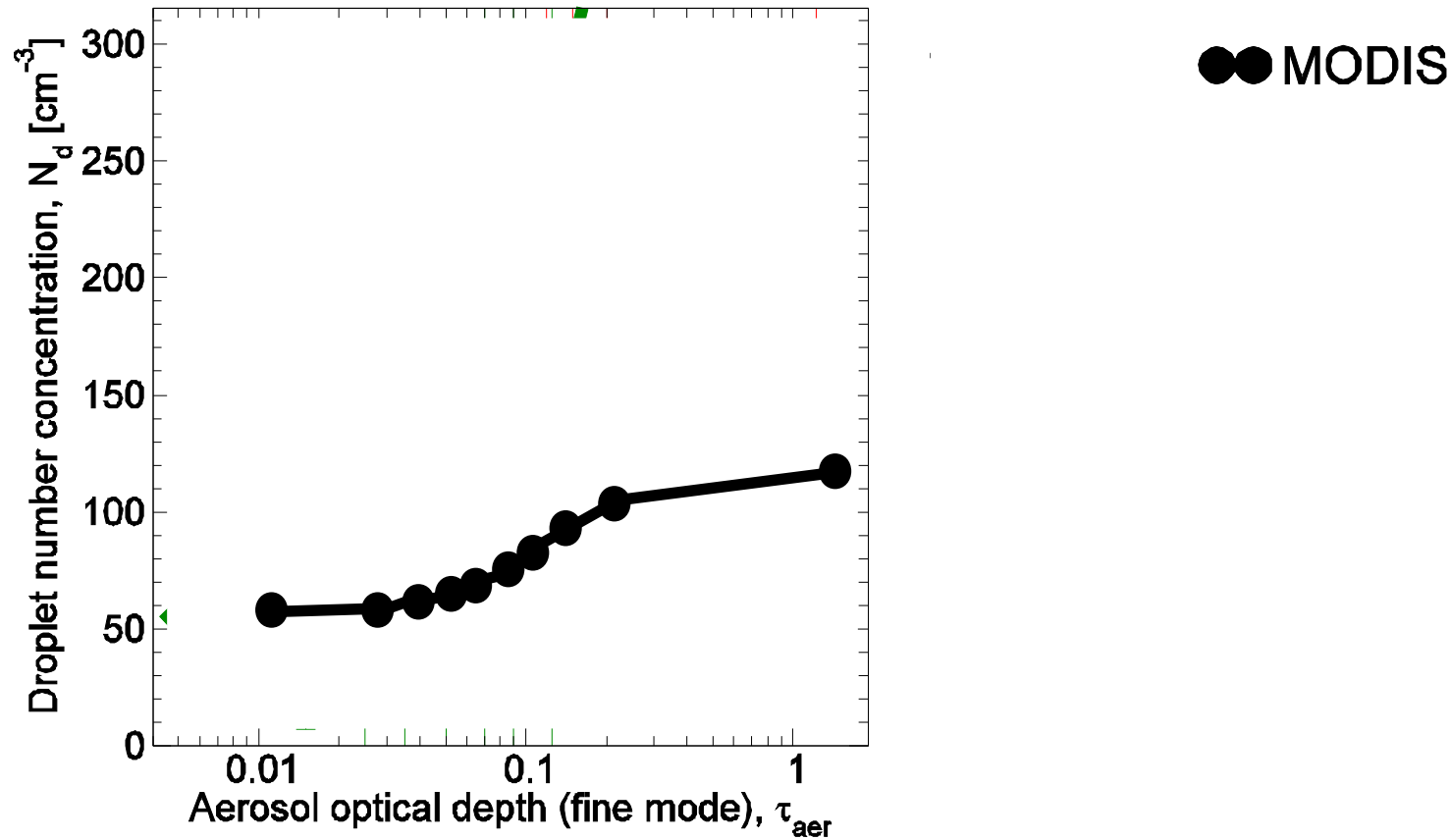
-  Aerosol measurements
-  Cloud measurements
-  No retrieval

### Method adopted:

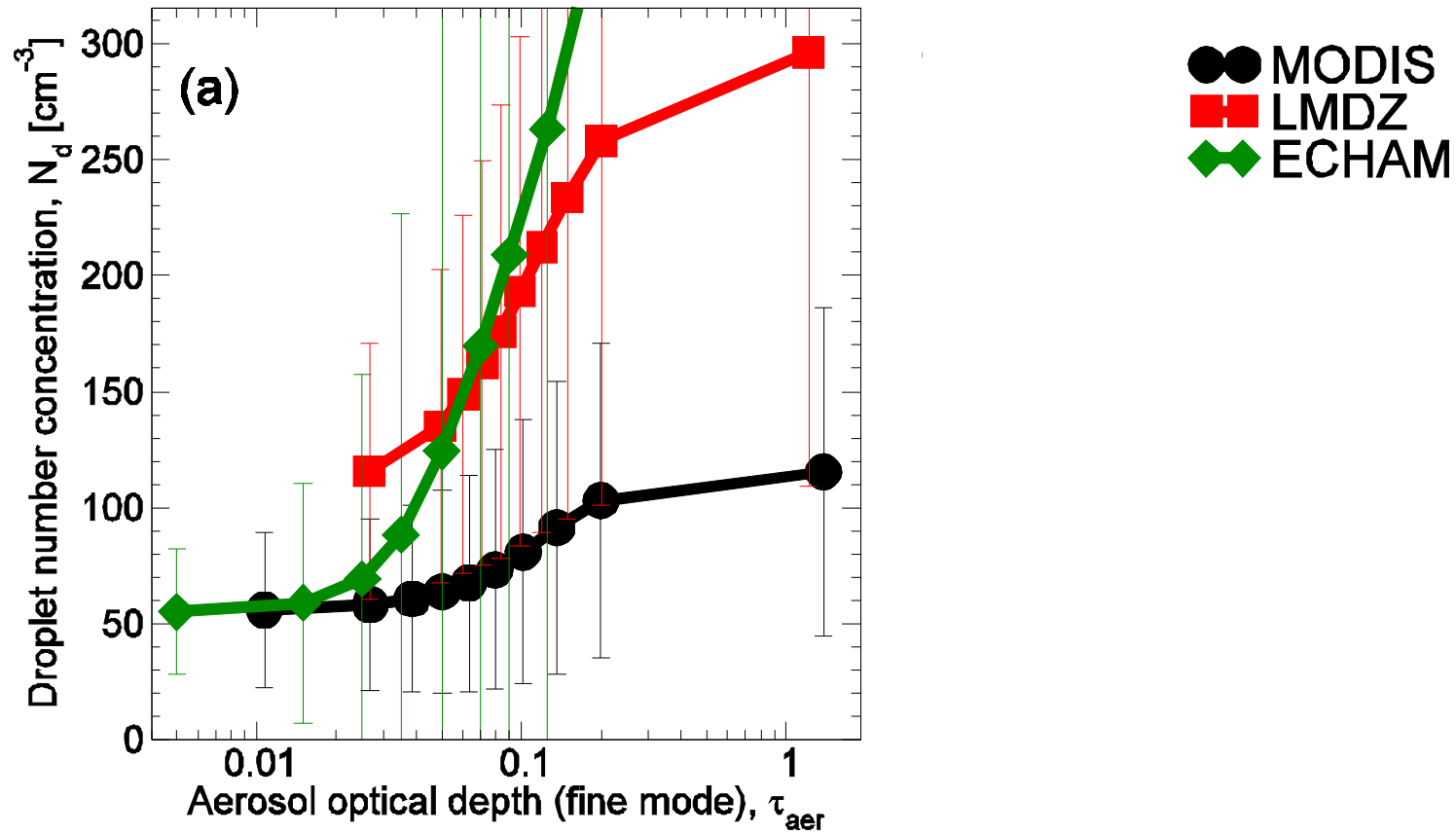
relate aerosol and cloud quantities within a model gridbox (daily values)

$\Delta x / \Delta y$  : model resolution  
here:  $2.5^\circ \times 2.5^\circ$

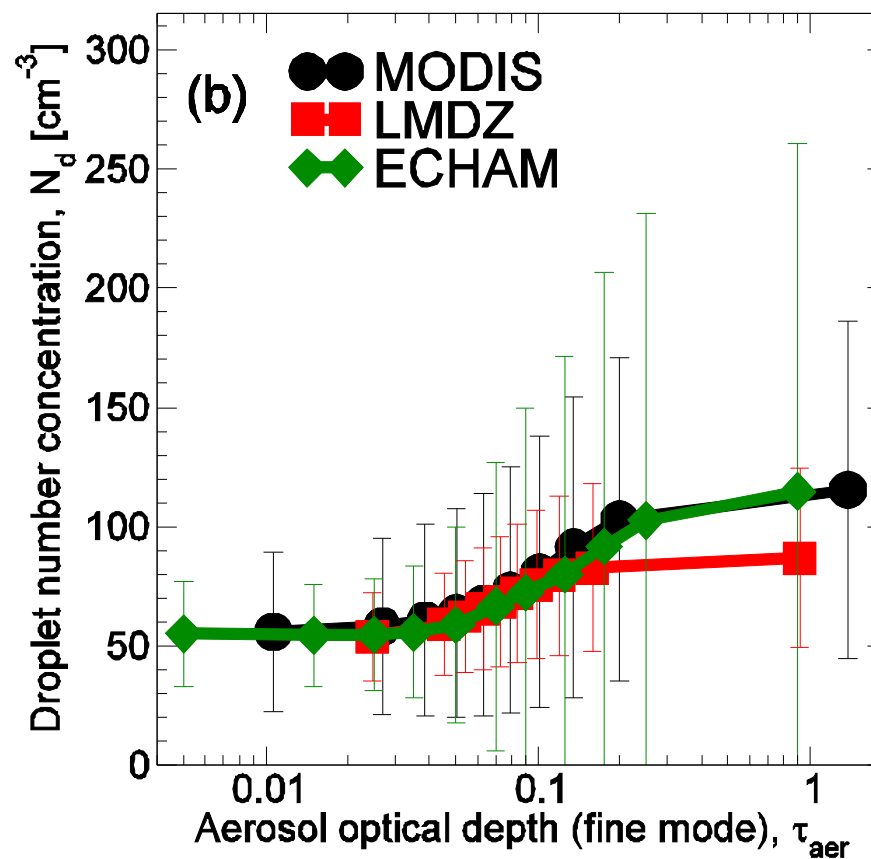
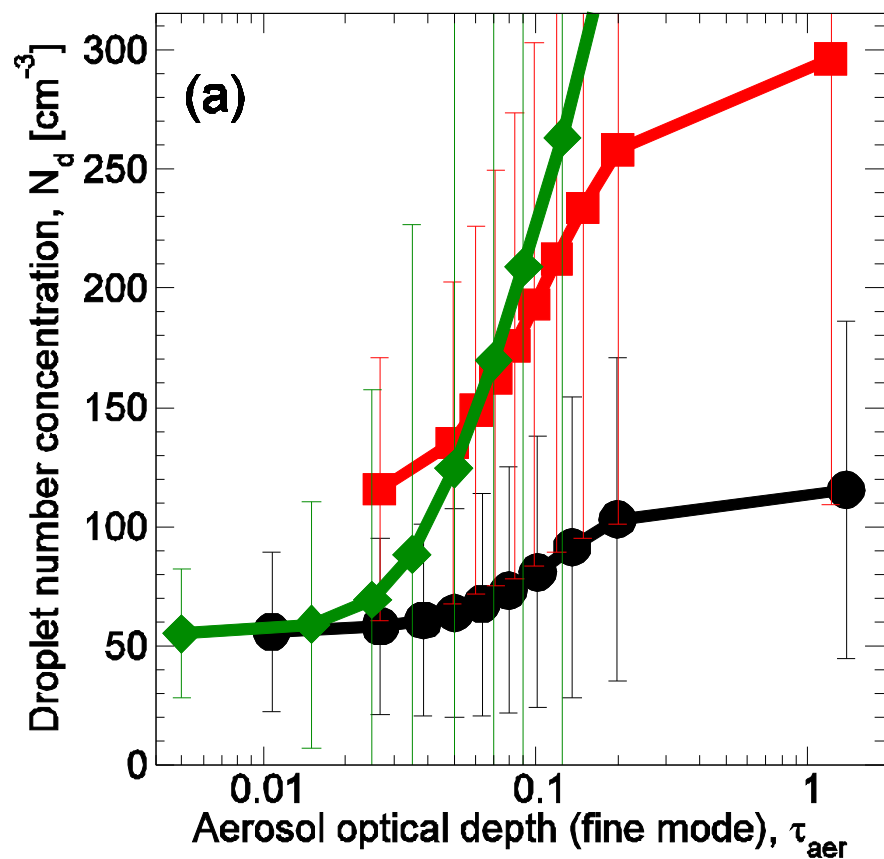
## 7.3 Evaluation of diagnostic cloud droplet number concentration



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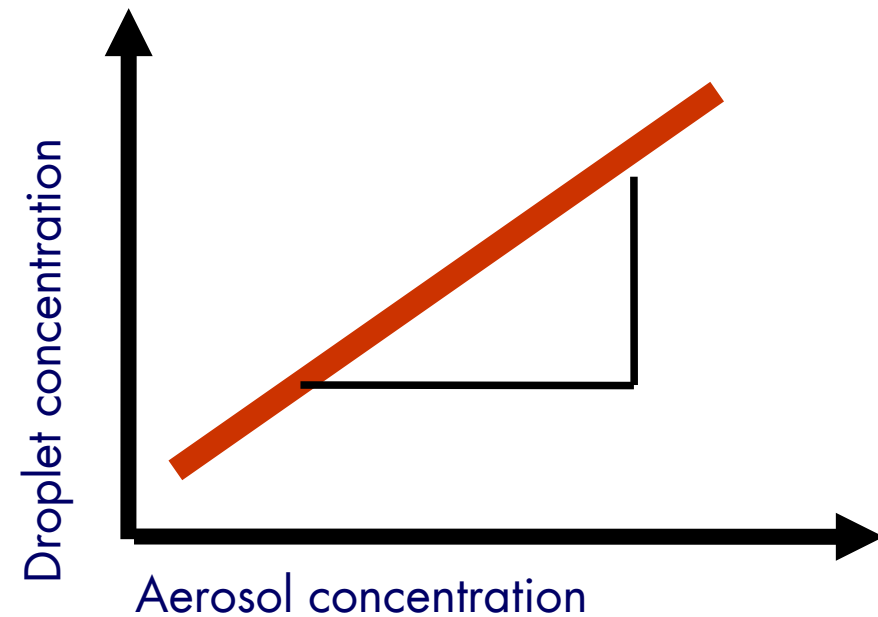
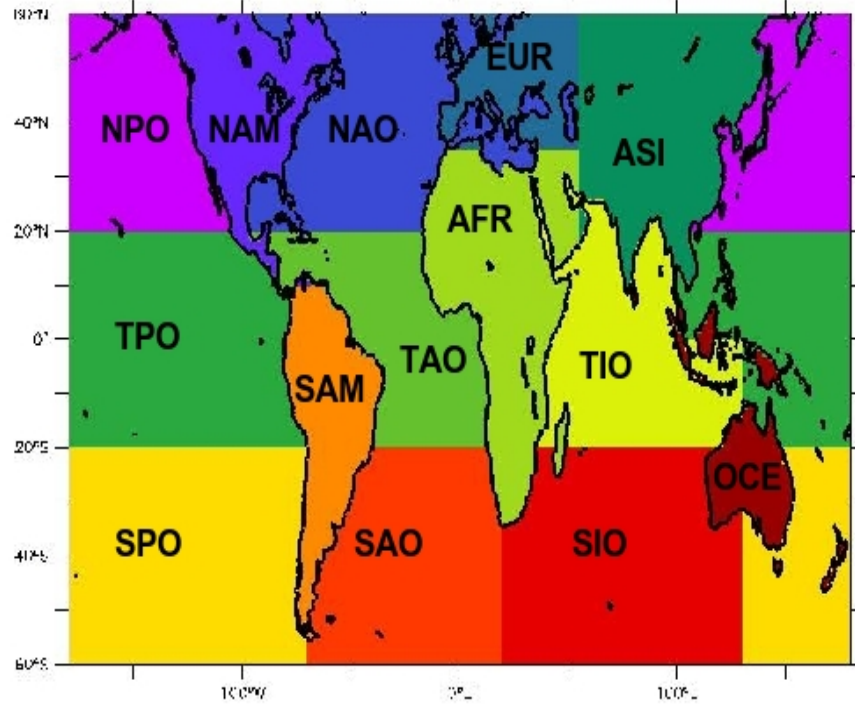


## 7.3 Evaluation of diagnostic cloud droplet number concentration





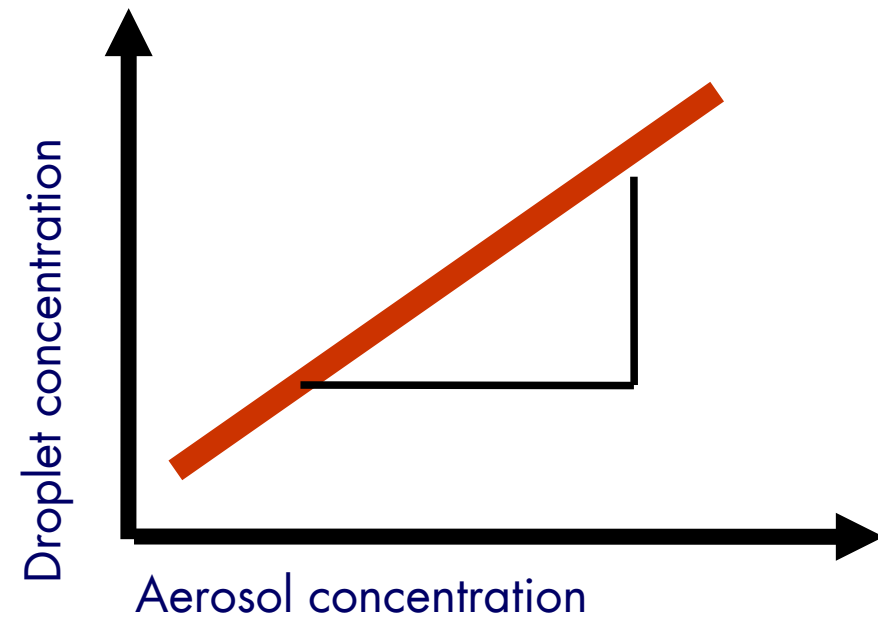
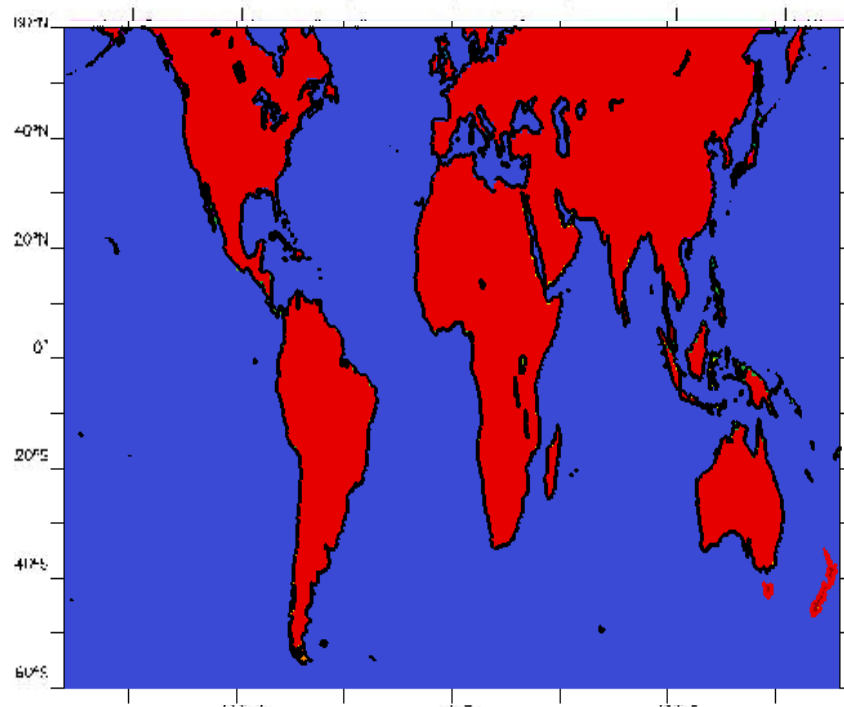
## 7.4 Model intercomparison and evaluation



Analyse separately

- 14 different regions
- 4 seasons (MAM, JJA, SON, DJF)

## 7.4 Model intercomparison and evaluation



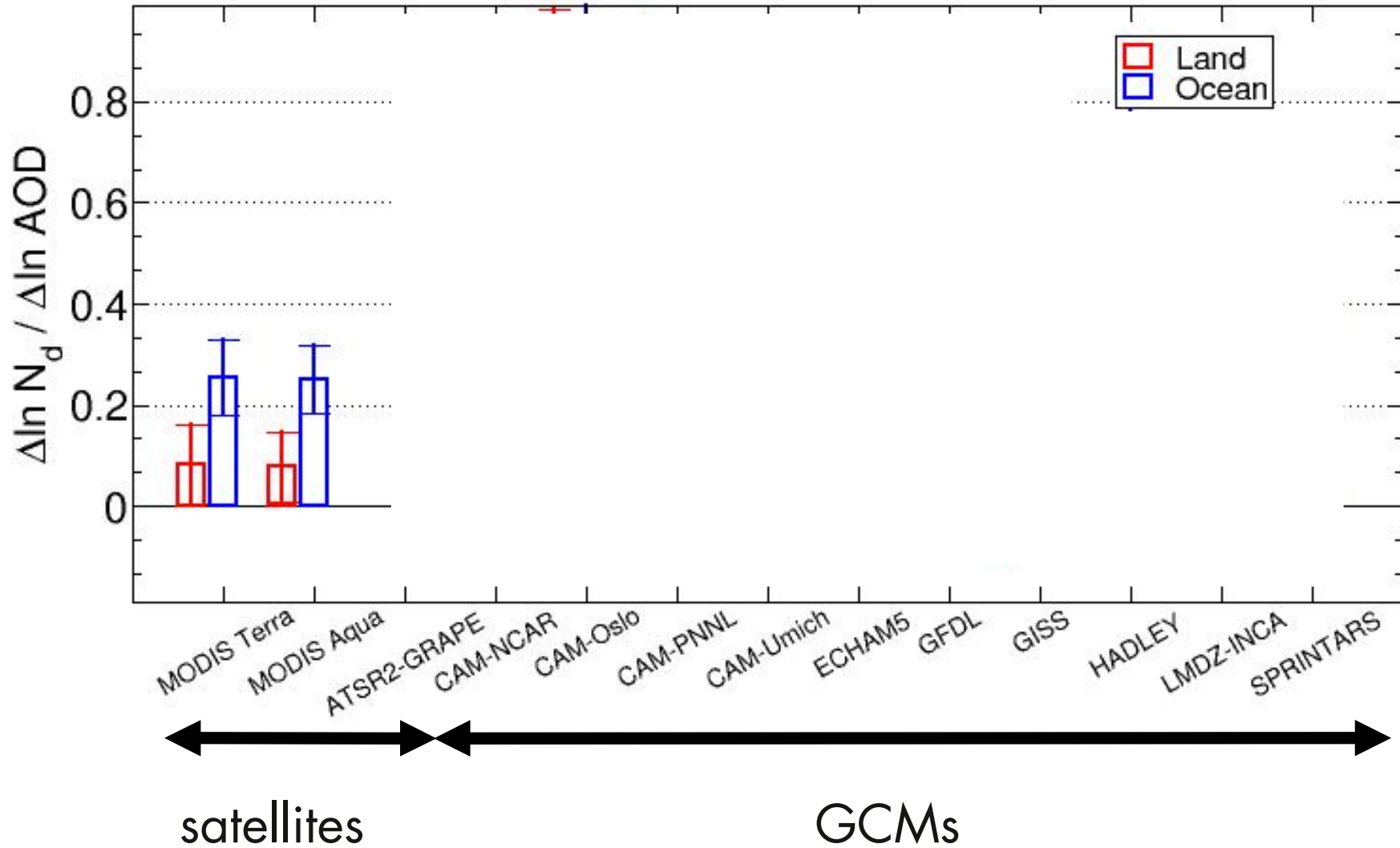
Analyse separately

- 14 different regions
- 4 seasons (MAM, JJA, SON, DJF)

... but show here the summary for **land** and **ocean**.

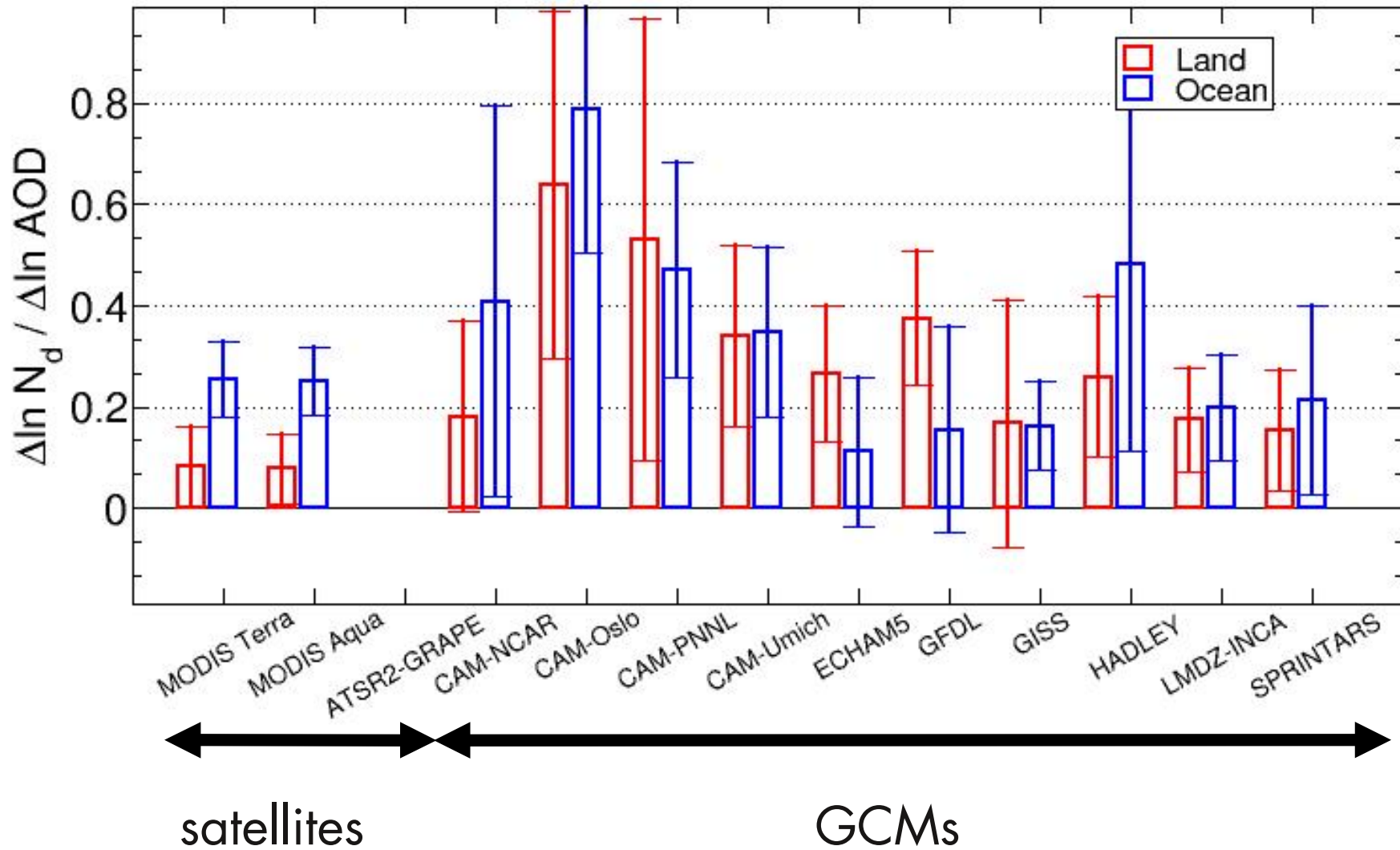
## 7.4 Model intercomparison and evaluation

→ relationship of droplet number concentration and aerosol optical depth



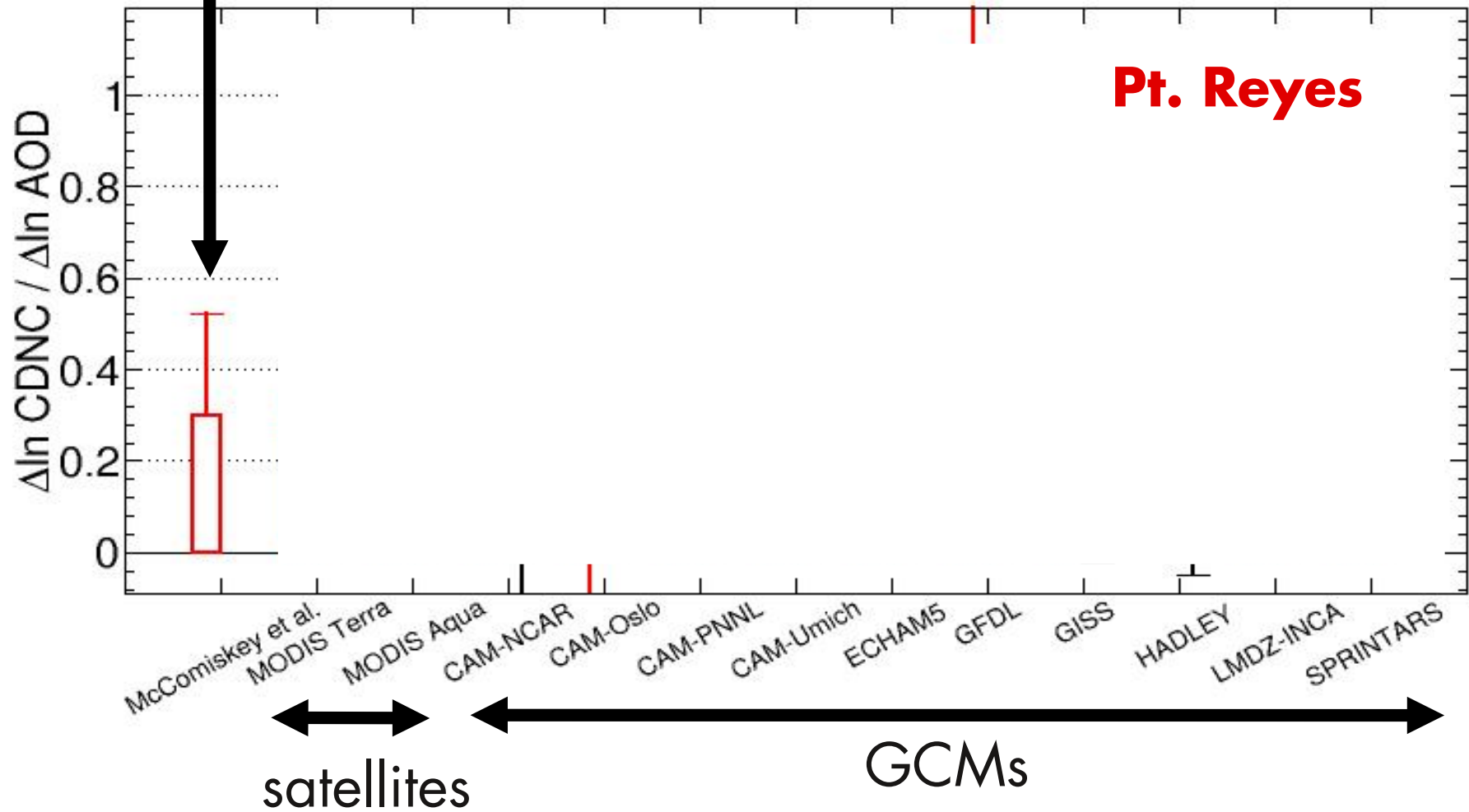
## 7.4 Model intercomparison and evaluation

→ relationship of droplet number concentration and aerosol optical depth



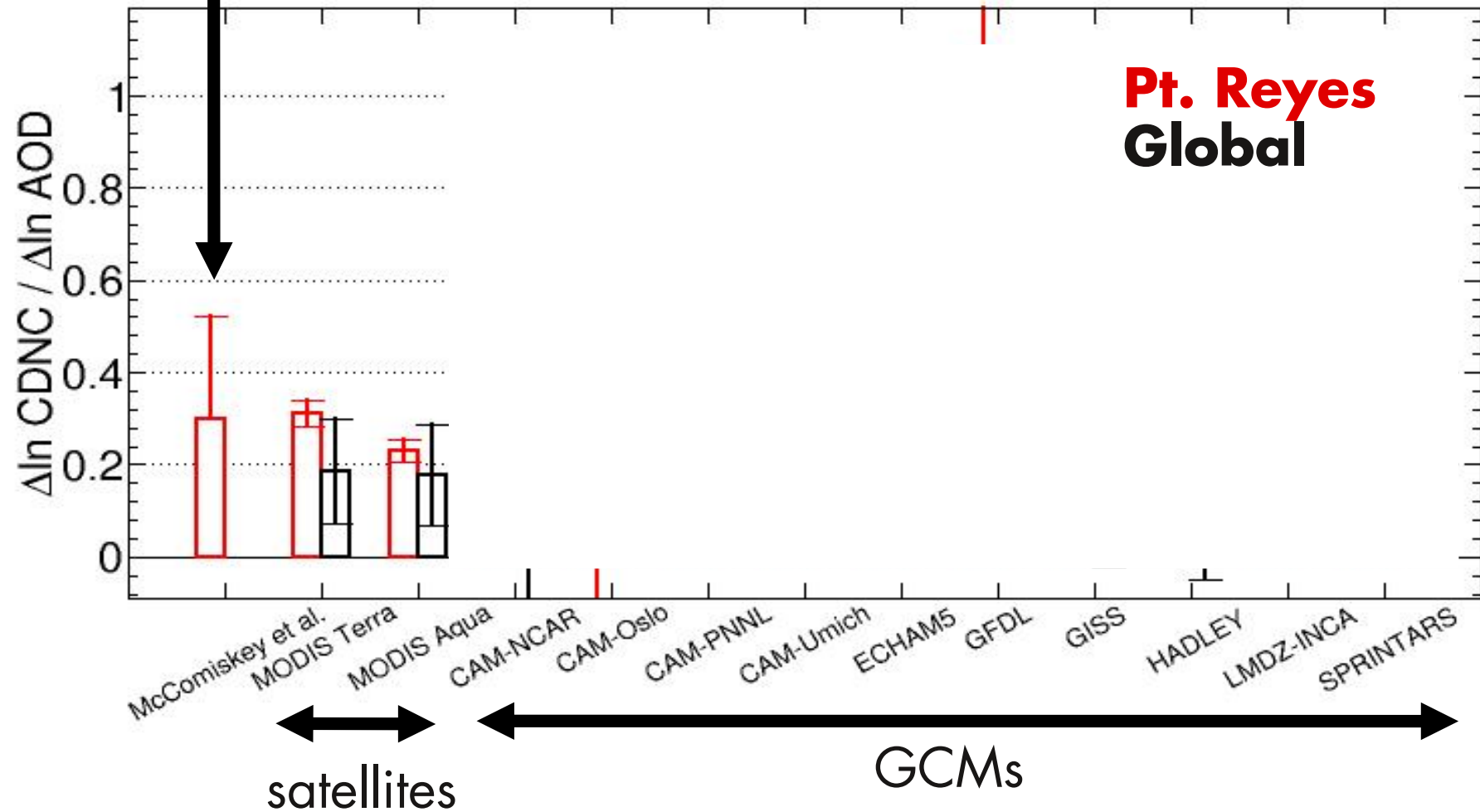
## 7.4 Model intercomparison and evaluation

- one season (JJA) of ground-based data
- coastal site in California (stratocumulus)



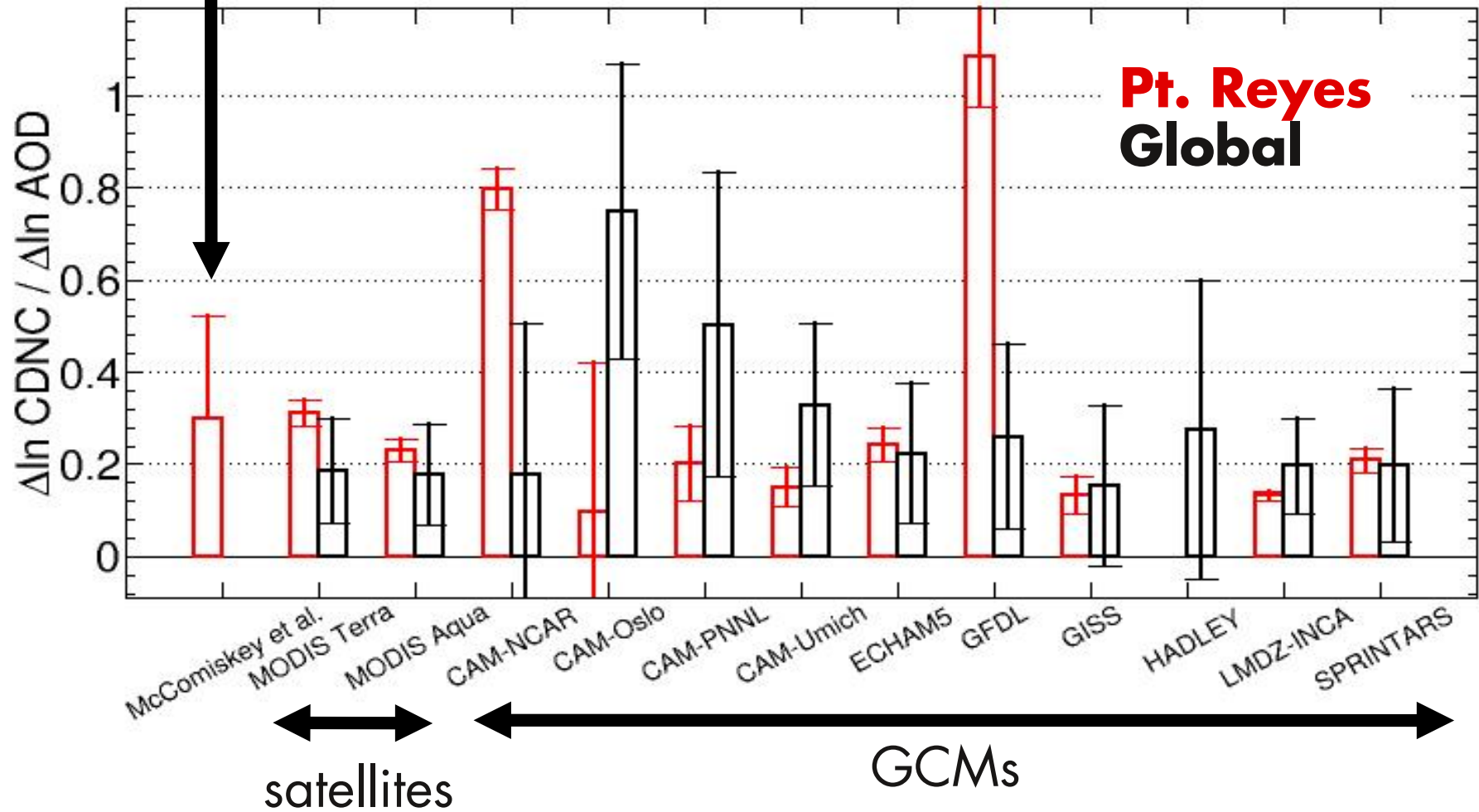
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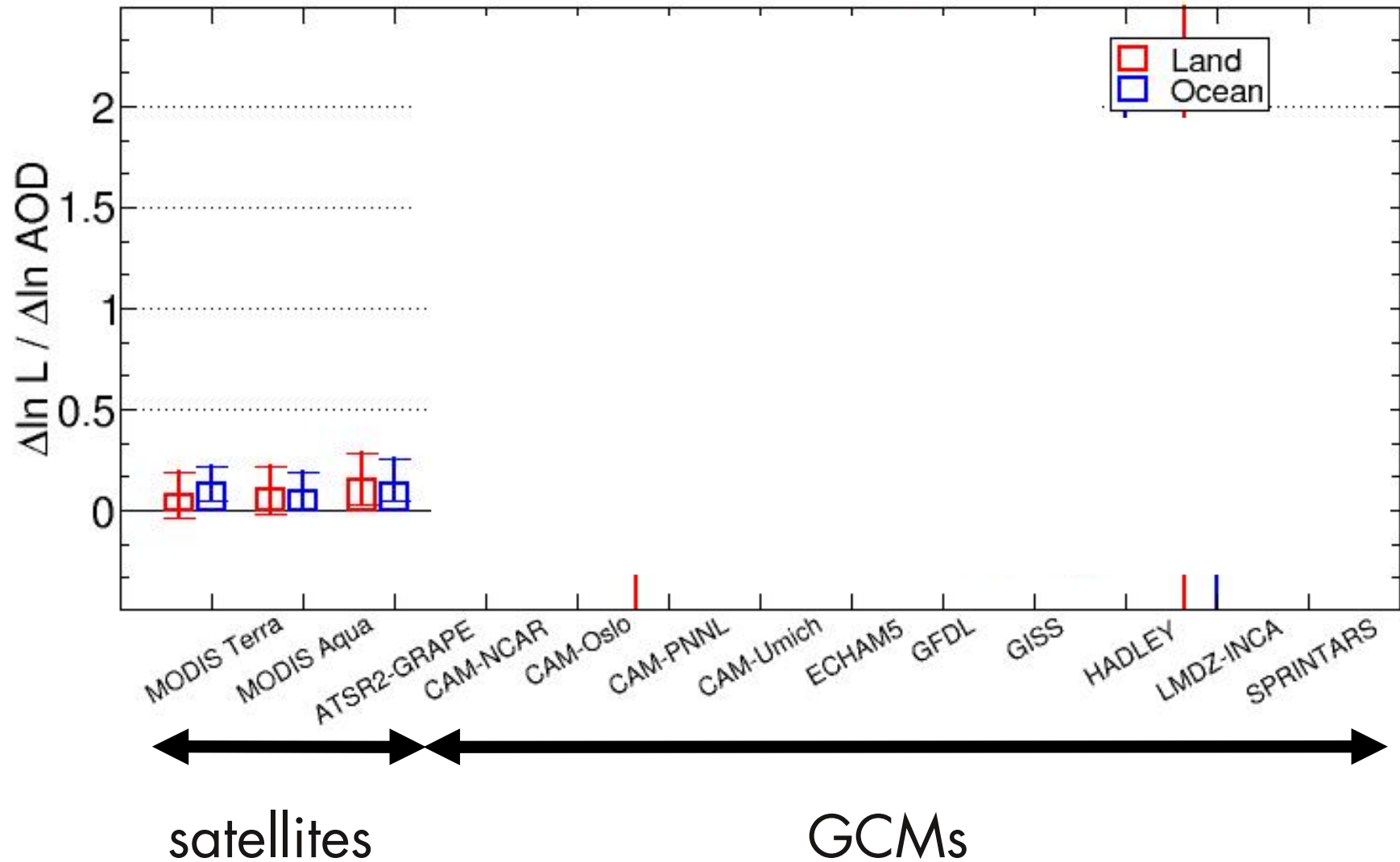
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## 7.4 Model intercomparison and evaluation

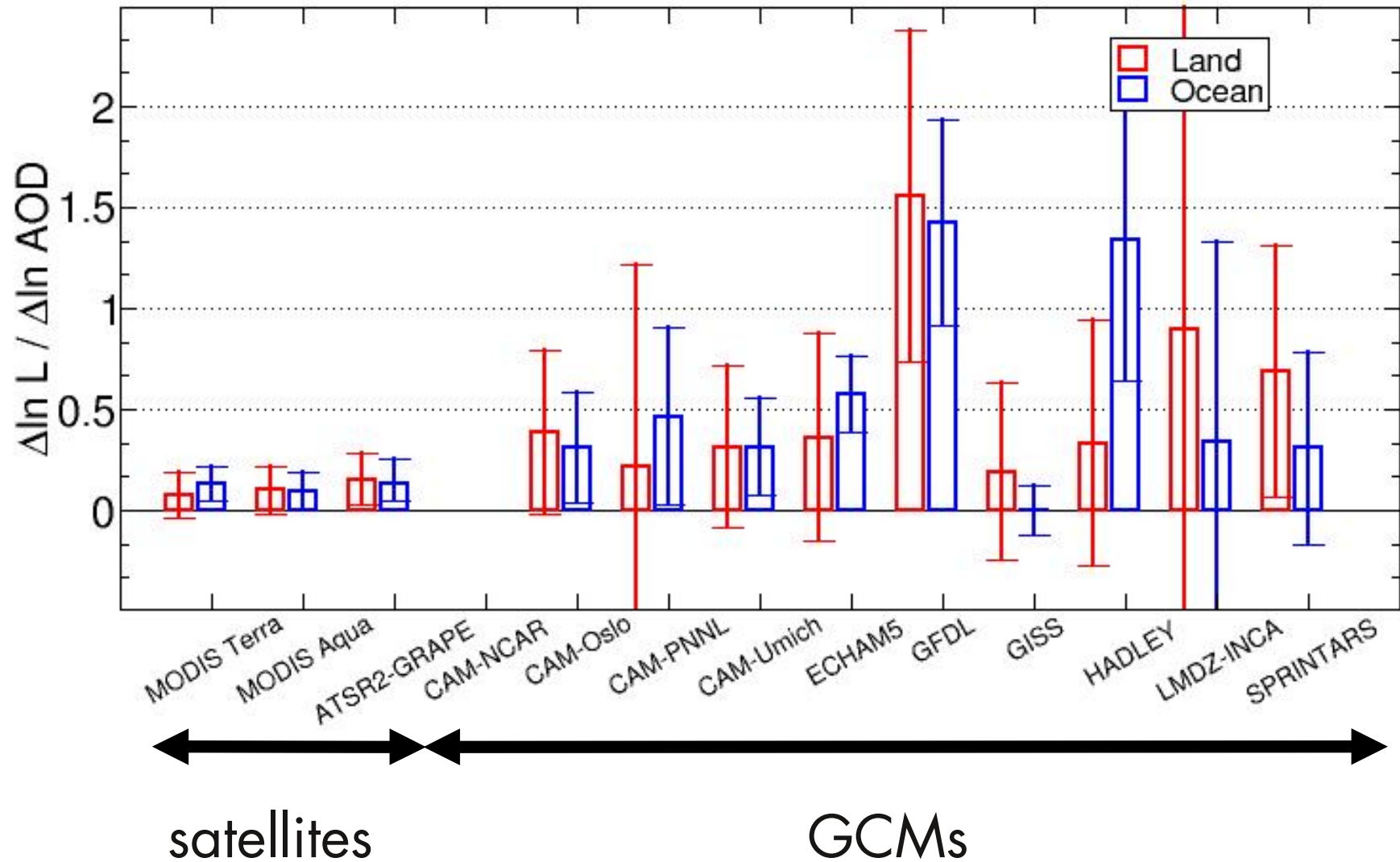
→ relationship of **cloud liquid water path** and aerosol optical depth





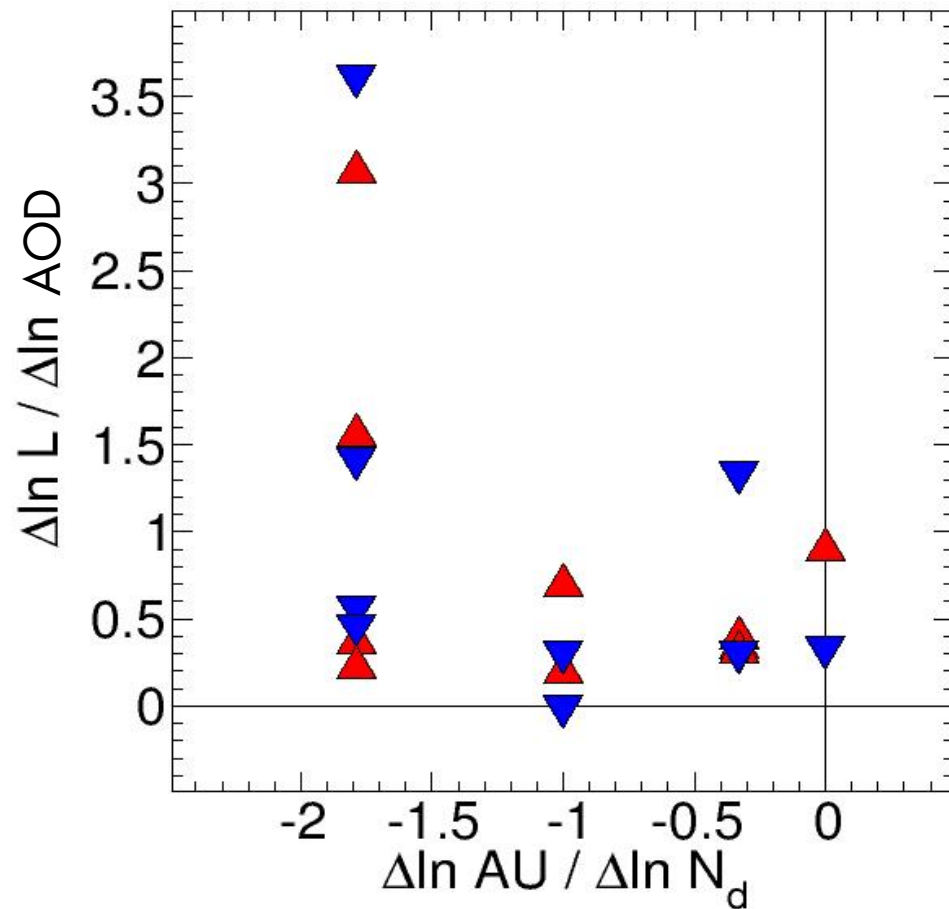
## 7.4 Model intercomparison and evaluation

→ relationship of **cloud liquid water path** and aerosol optical depth



## 7.4 Model intercomparison and evaluation

Second aerosol indirect effect implemented overly simplistic in GCMs



Precipitation by autoconversion (AU) depends on cloud droplet number concentration  $N_d$

$$AU \sim N_d^x$$

$$x \in \{-1.79, -1.0, -0.33, 0\}$$

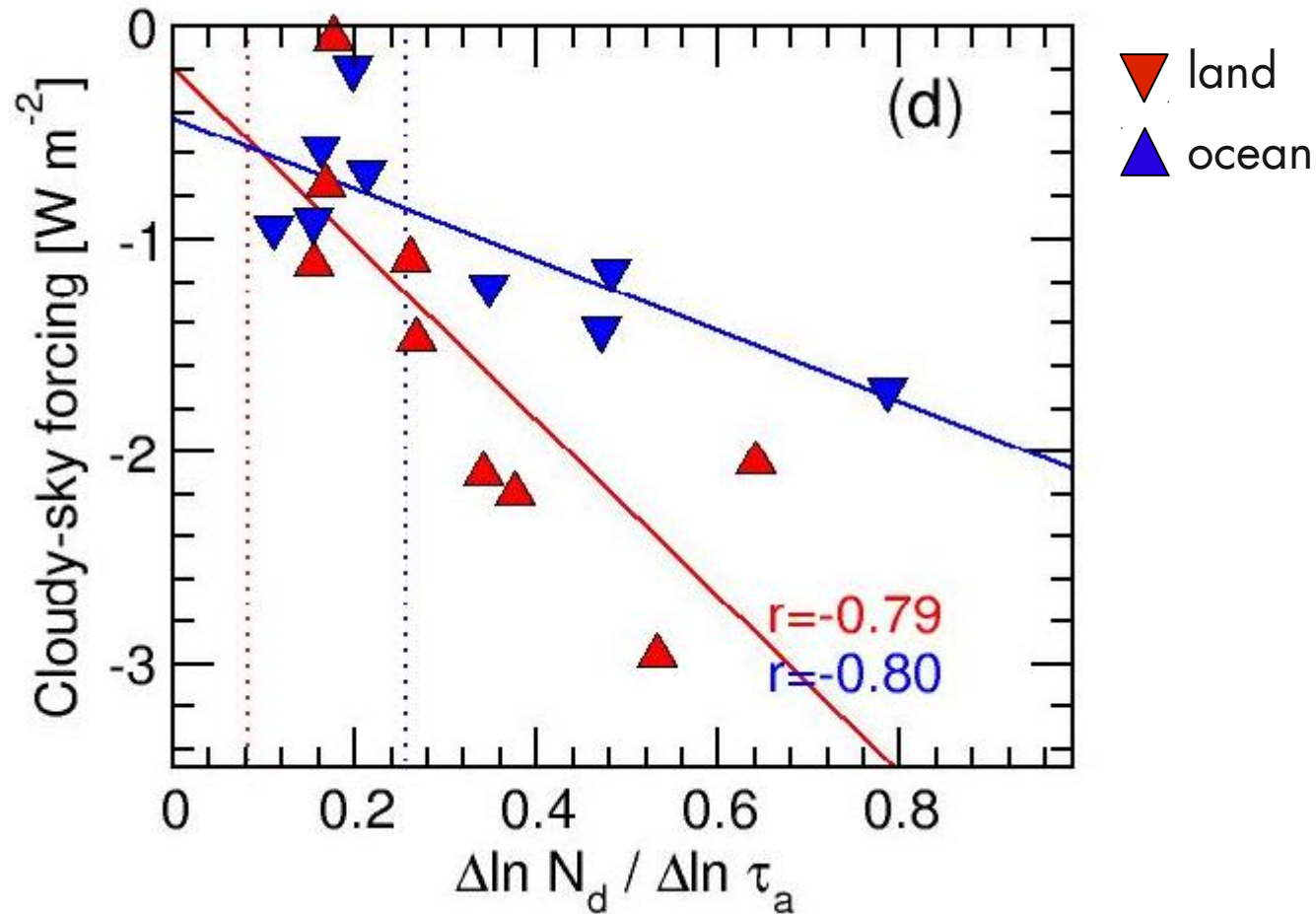
Khairoutdinov  
and Kogan 2000

Rotstajn & Liu 05  
Takemura et al. 05

Rasch and  
Kristjánsson 98  
Jones et al. 01

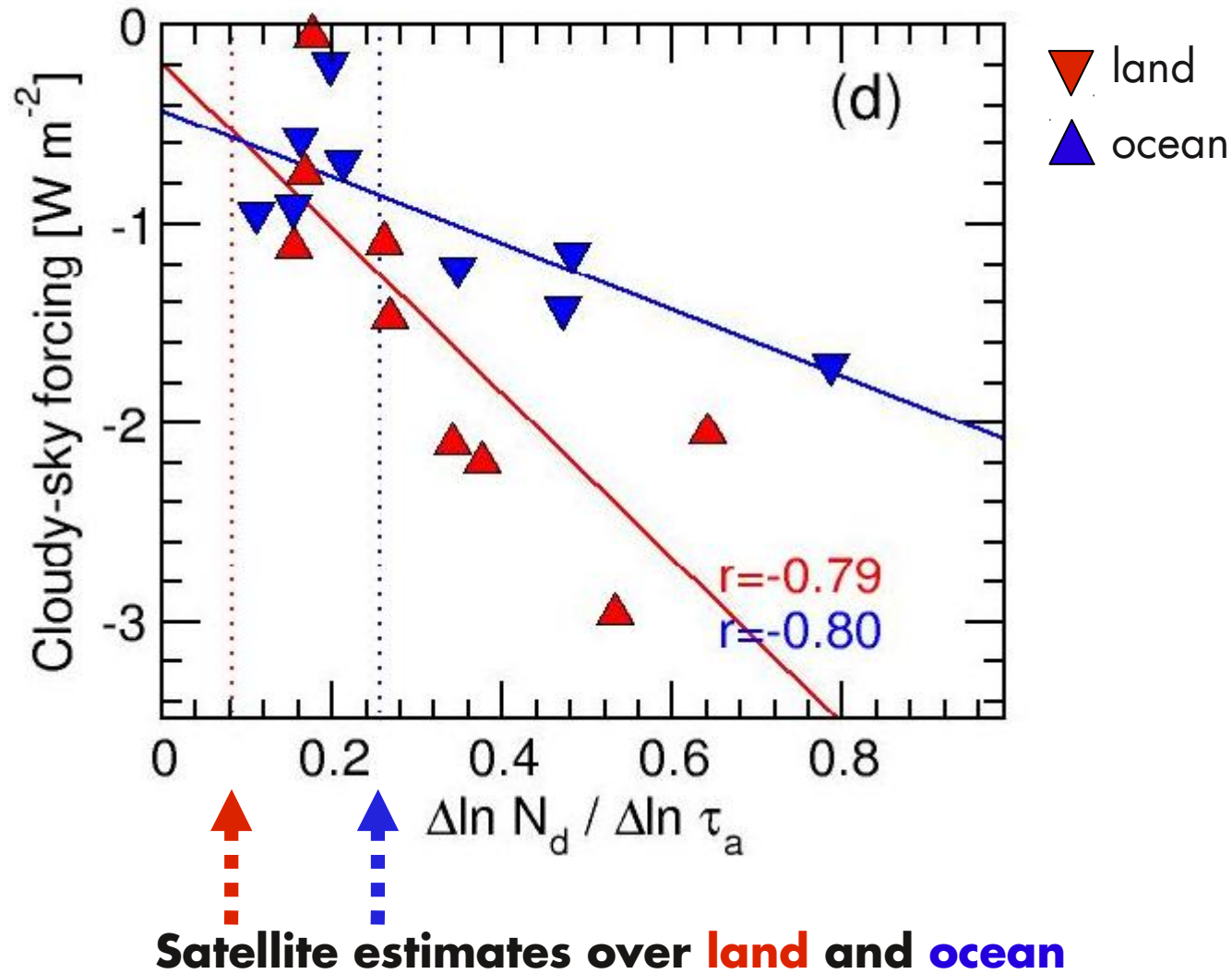
## 7.5 Constraint on forcing?

Constraint on aerosol indirect forcing

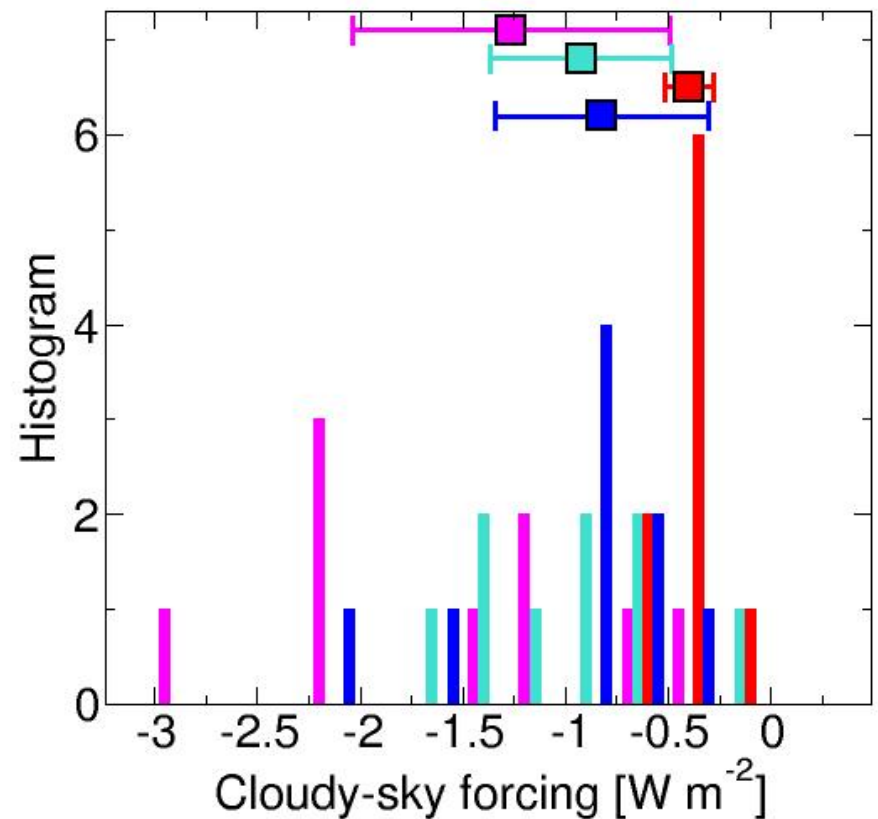
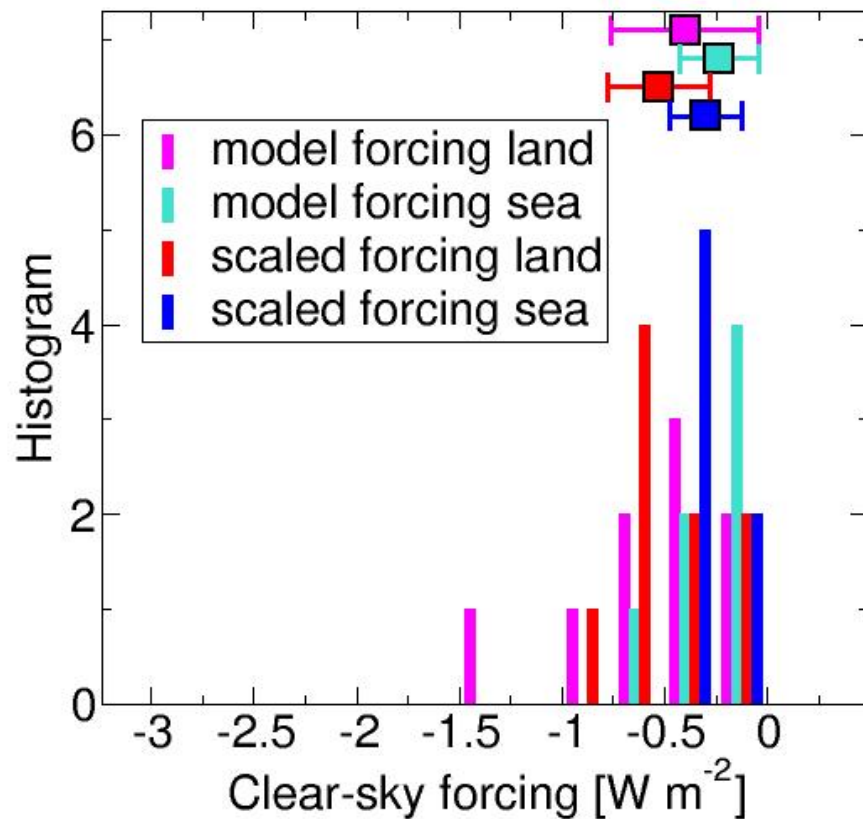


## 7.5 Constraint on forcing?

Constraint on aerosol indirect forcing



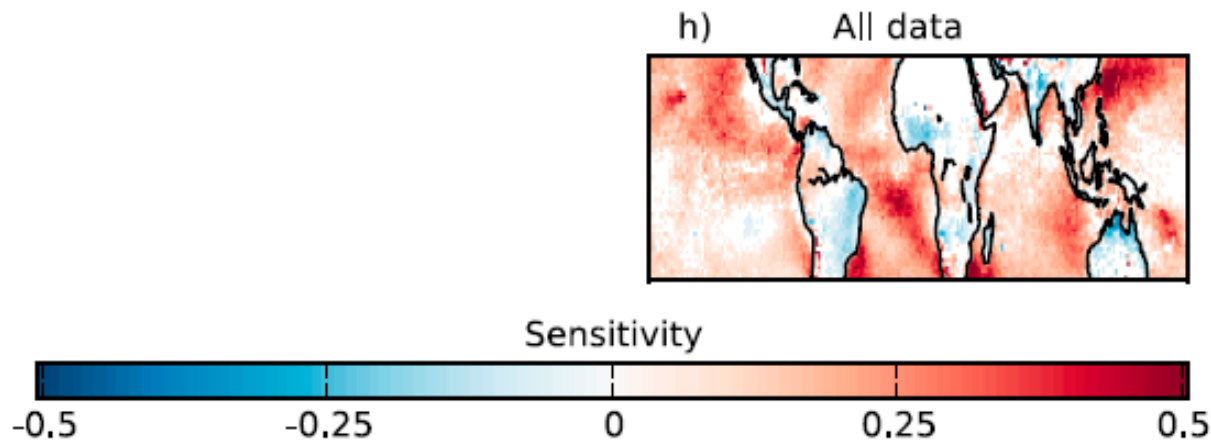
## 7.5 Constraint on forcing?



	estimate	modelled	scaled
clear		<b>-0.27±0.23</b>	<b>-0.38±0.19 Wm<sup>-2</sup></b>
cloudy		<b>-1.13±0.51</b>	<b>-0.70±0.37 Wm<sup>-2</sup></b>
total		<b>-1.53±0.60</b>	<b>-1.15±0.43 Wm<sup>-2</sup></b>

## 7.6 Relationships by cloud regime

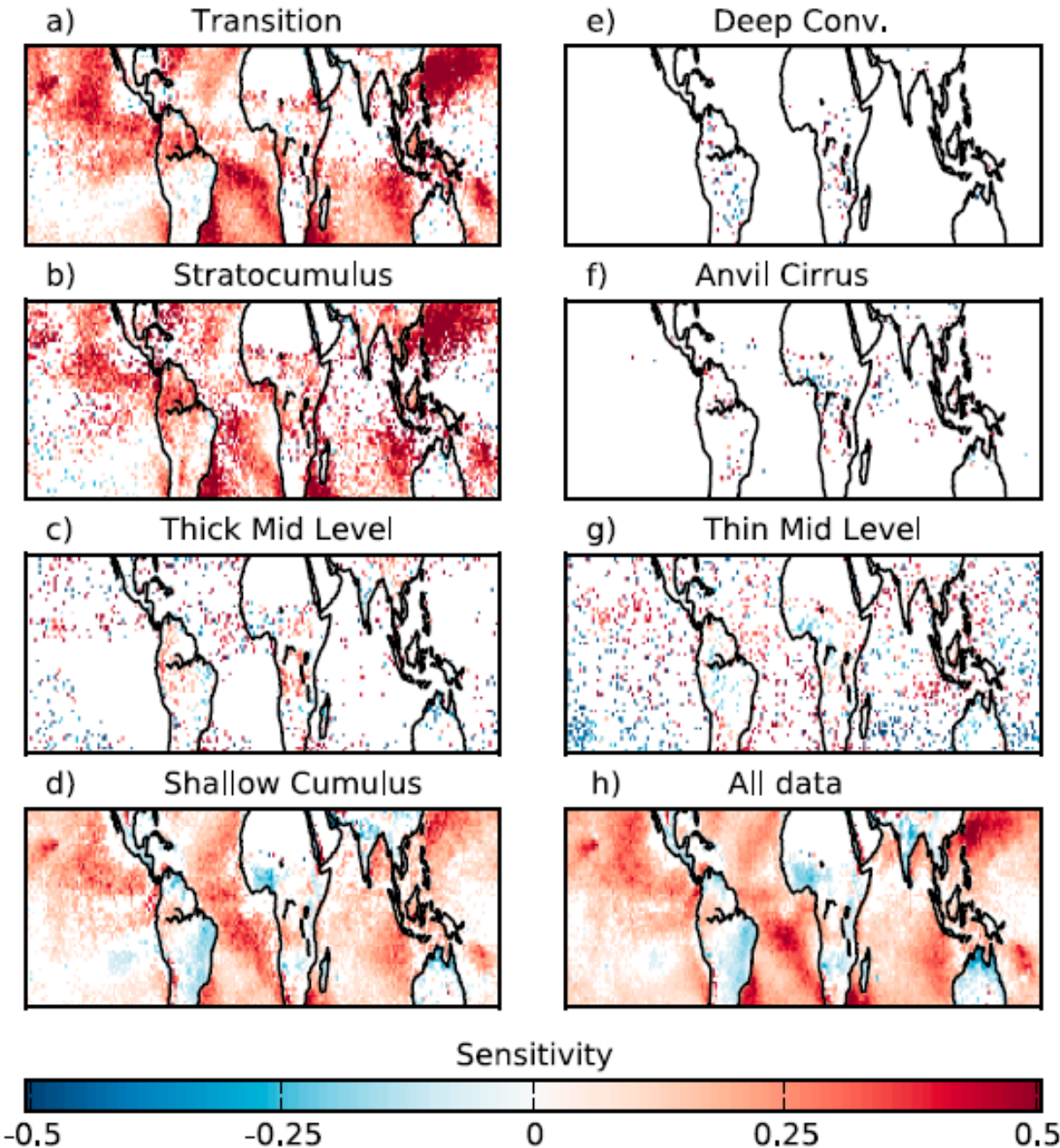
Regression  $\Delta \ln N_d / \Delta \ln \tau_a$  by  
ISCCP clusters (from MODIS data)



(See also Poster by Karoline Block)



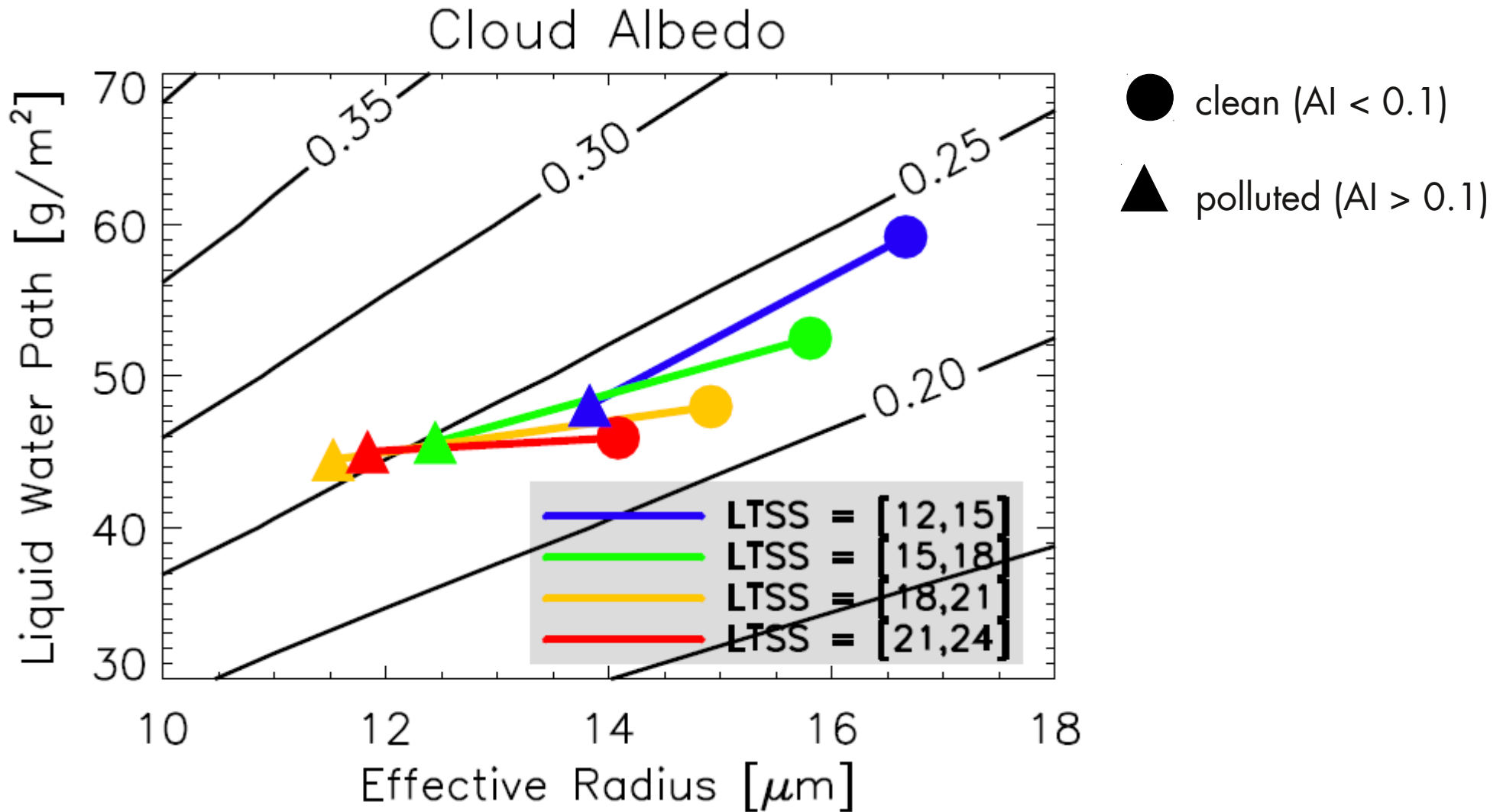
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Regression  $\Delta \ln N_d / \Delta \ln \tau_a$  by ISCCP clusters (from MODIS data)

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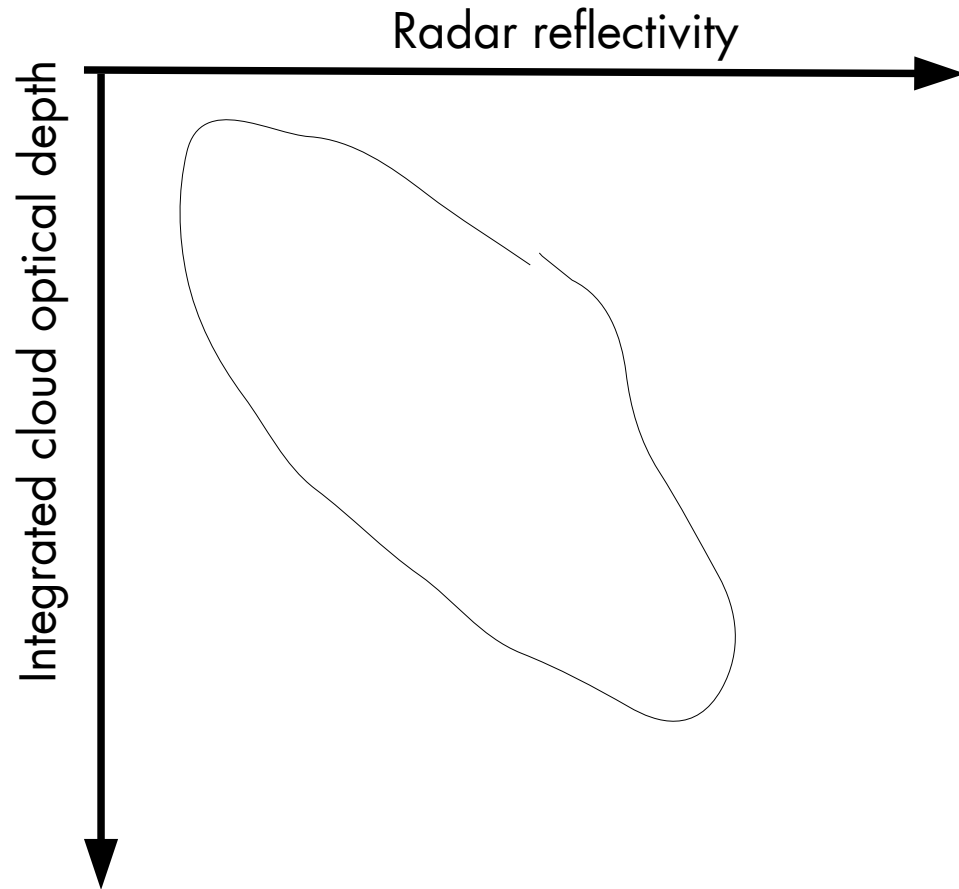
## 7.6 Regime-dependency



MODIS – AMSR-E – ECMWF data



## 7.7 Precipitation process evaluation



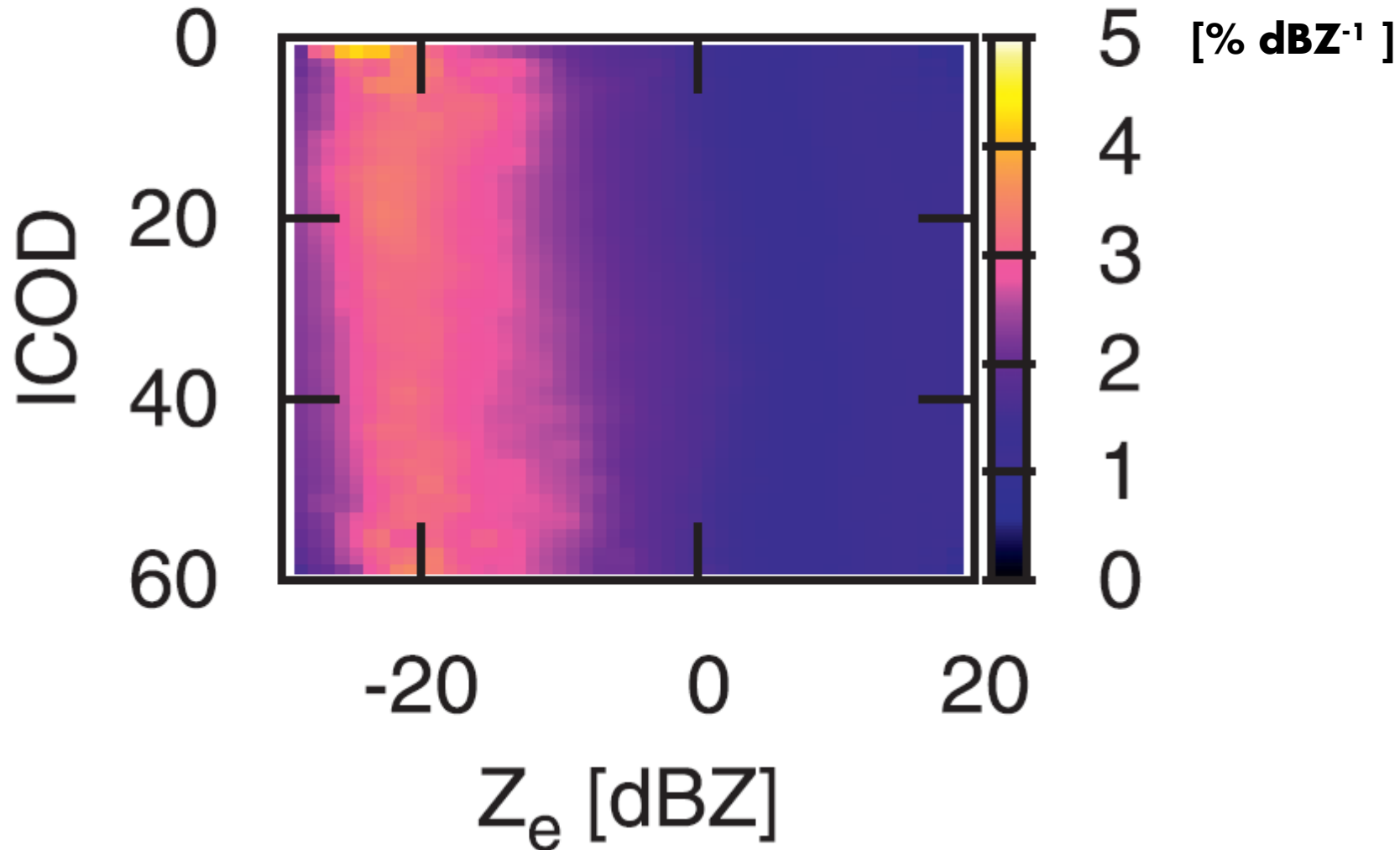
Contour: Frequency of occurrence  
(Joint histogram or: CFOOD)

For given cloud droplet effective radius

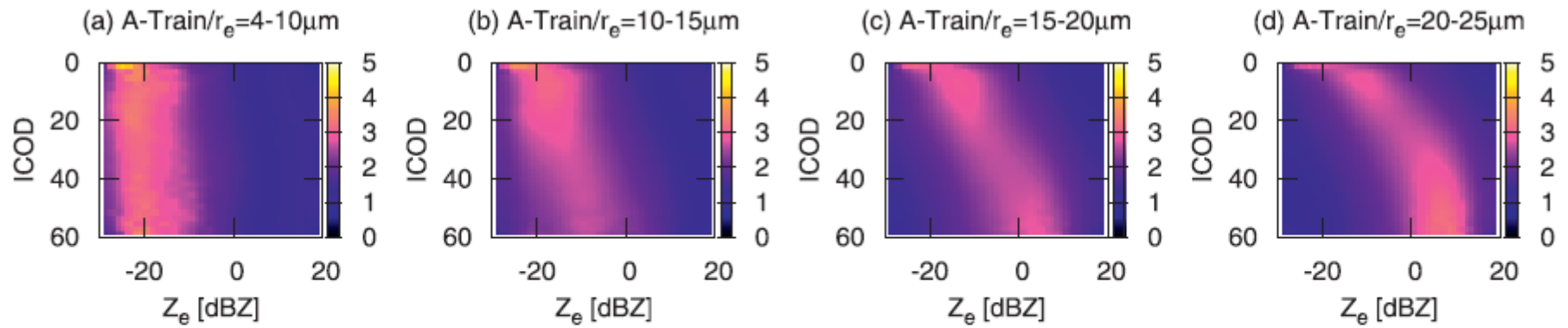
Data: MODIS (cloud-top droplet radii /  
cloud optical depth)  
CloudSat (reflectivity)

## 7.7 Precipitation process evaluation

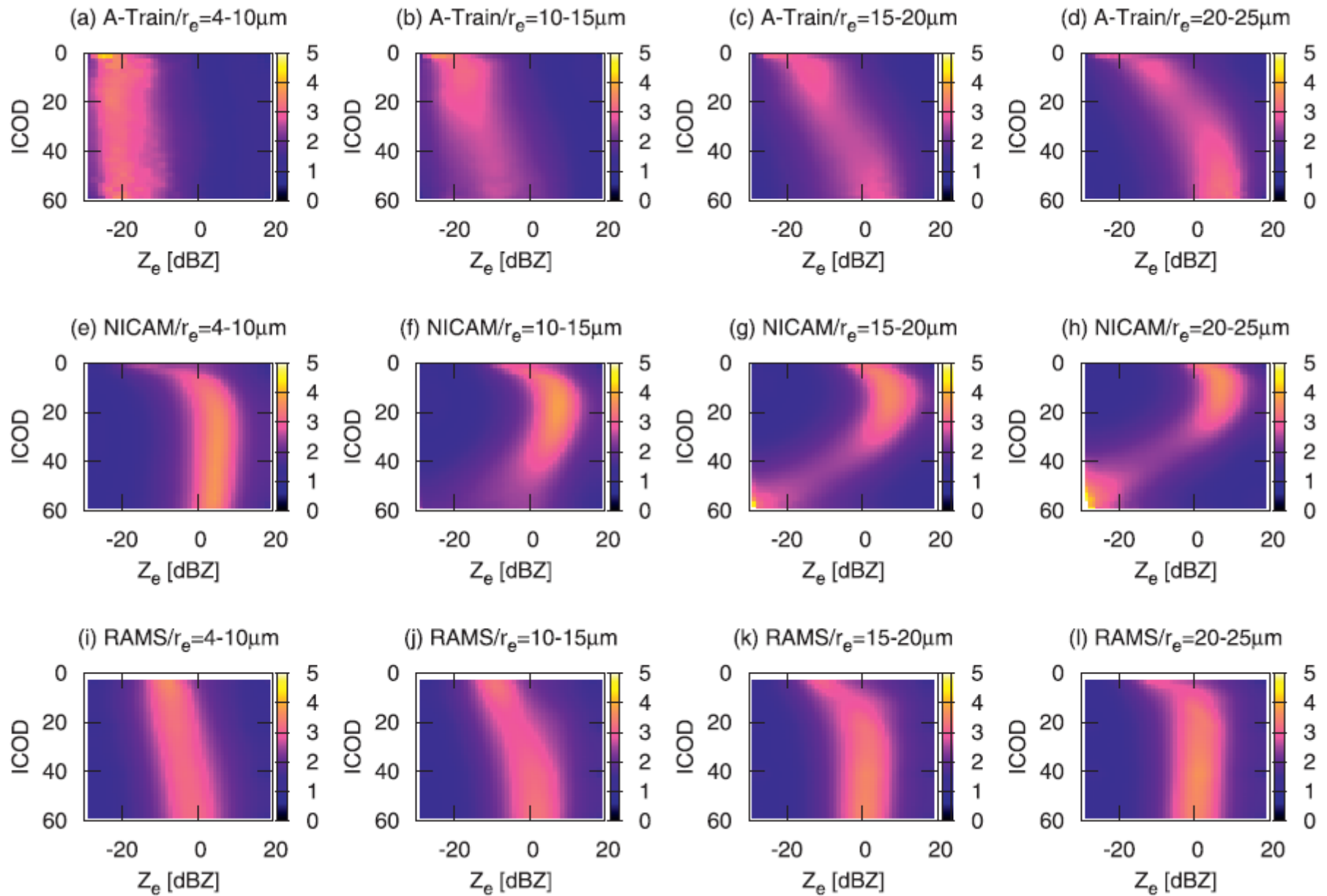
(a) A-Train/ $r_e=4-10\mu\text{m}$



## 7.7 Precipitation process evaluation

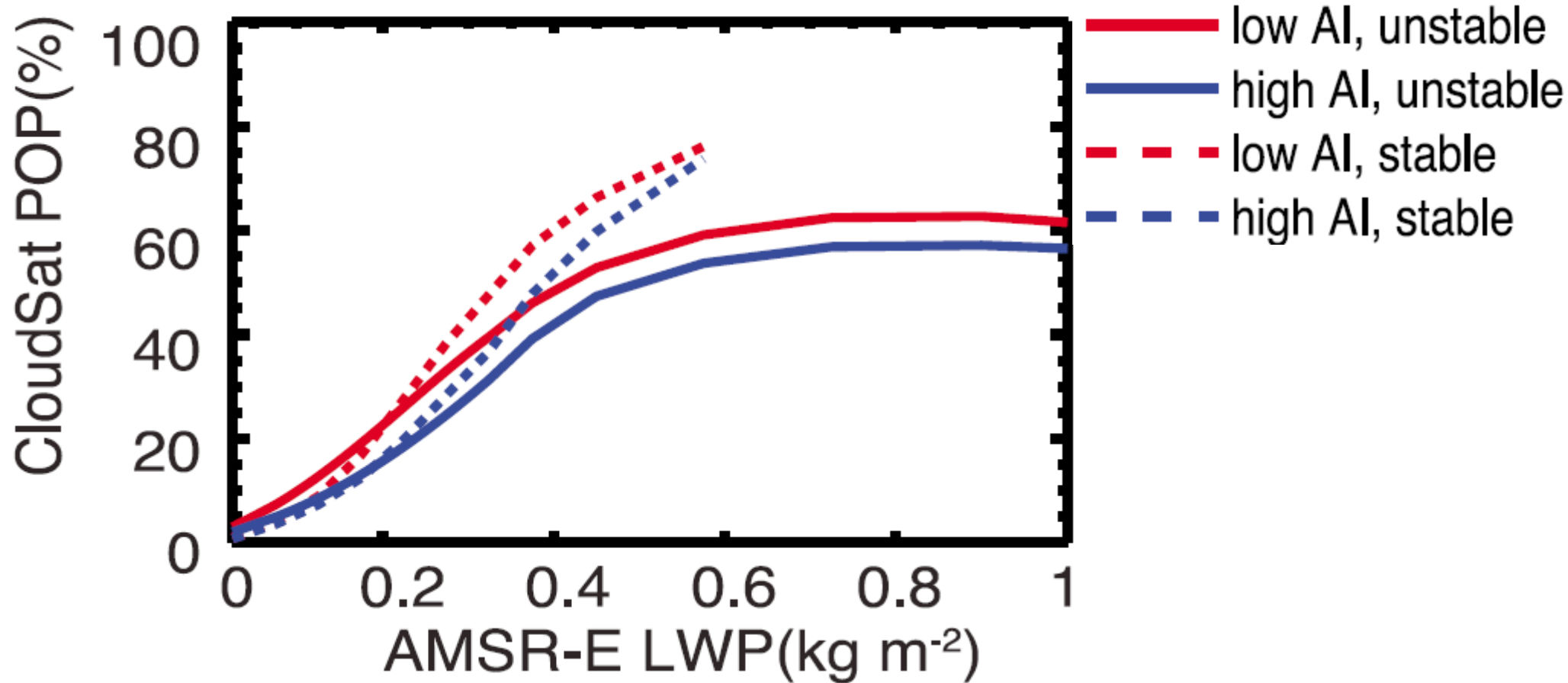


## 7.7 Precipitation process evaluation



## 7.8 Precipitation susceptibility

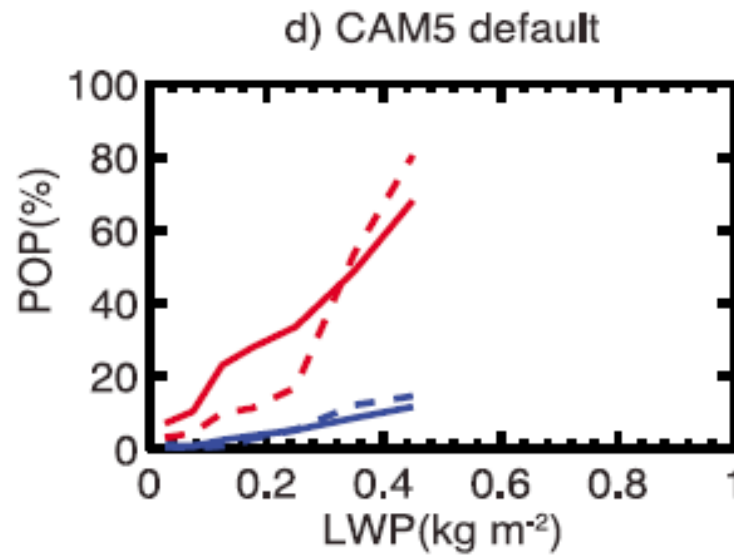
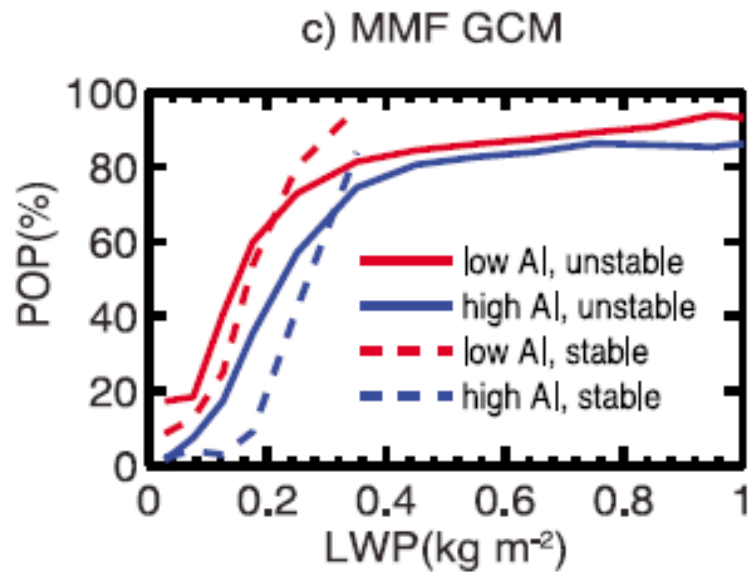
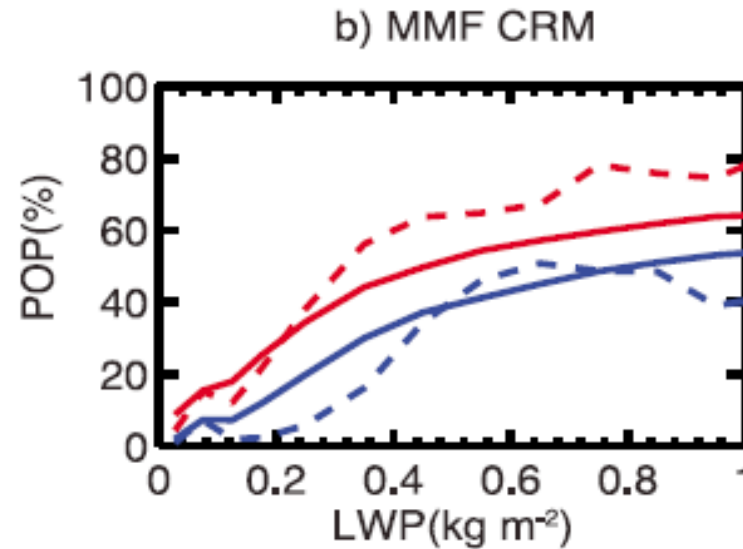
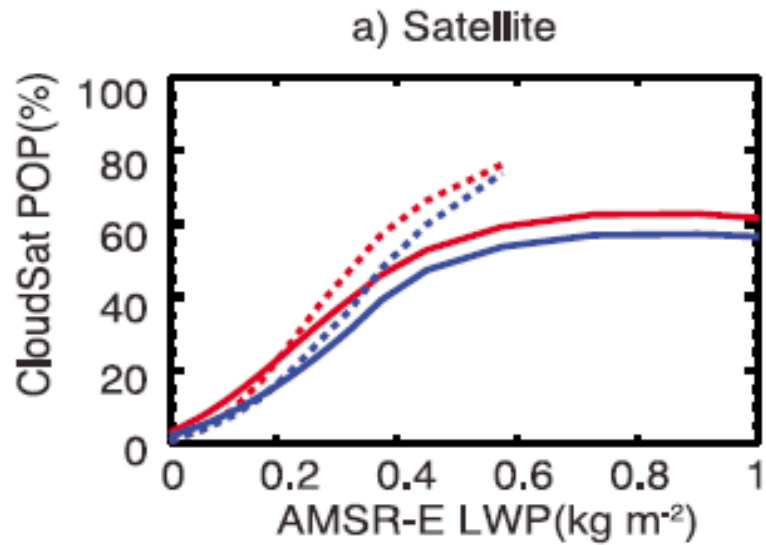
a) Satellite



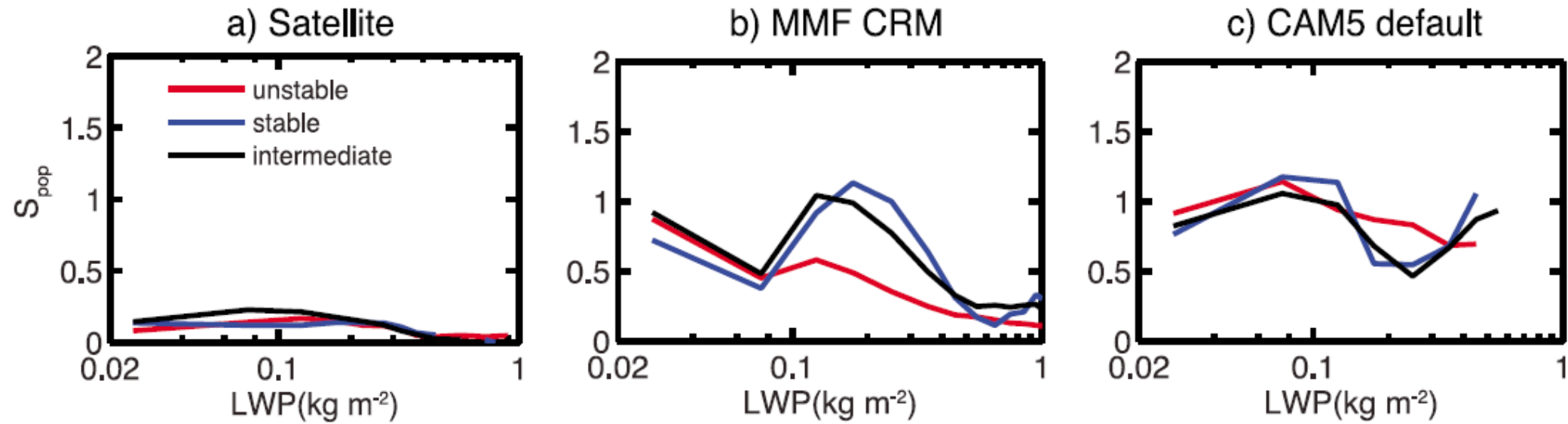
stable: LTS > 18 K (ECMWF data)  
unstable: LTS < 13.5 K

high aerosol index: upper quintile (MODIS  $\alpha\tau_g$ )  
low: lower quintile

## 7.8 Precipitation susceptibility



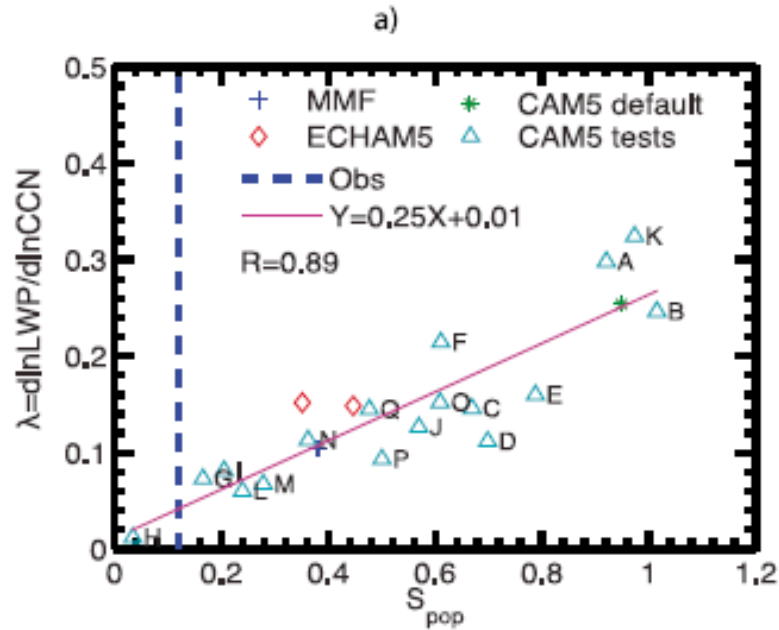
## 7.8 Precipitation susceptibility



Precipitation susceptibility from linear regression

$$S_{pop} = \Delta \ln \text{POP} / \Delta \ln (\alpha \tau_a)$$

## 7.8 Precipitation process evaluation: Probability of precipitation

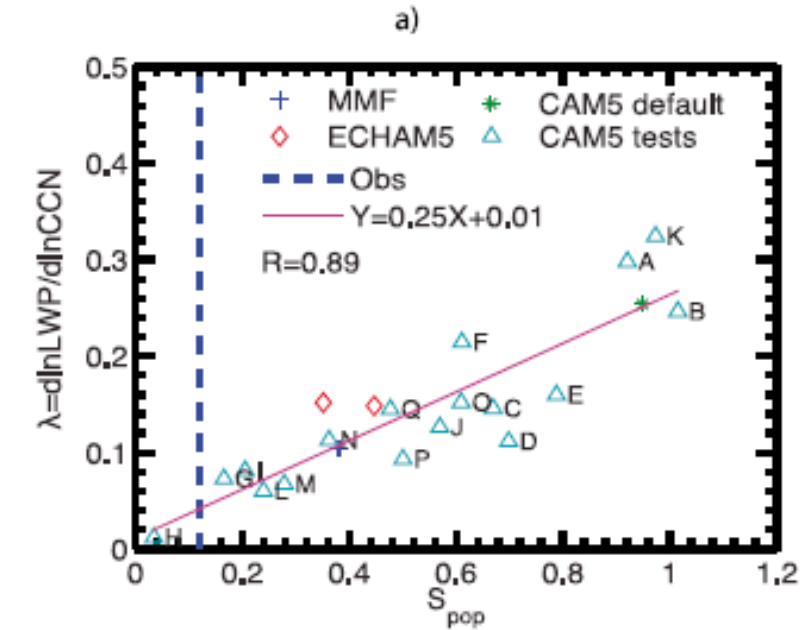


$\Delta \ln L / \Delta \ln CCN$  vs. precip susceptibility,  $S_{pop}$

→ good metric to constrain liquid water path susceptibility

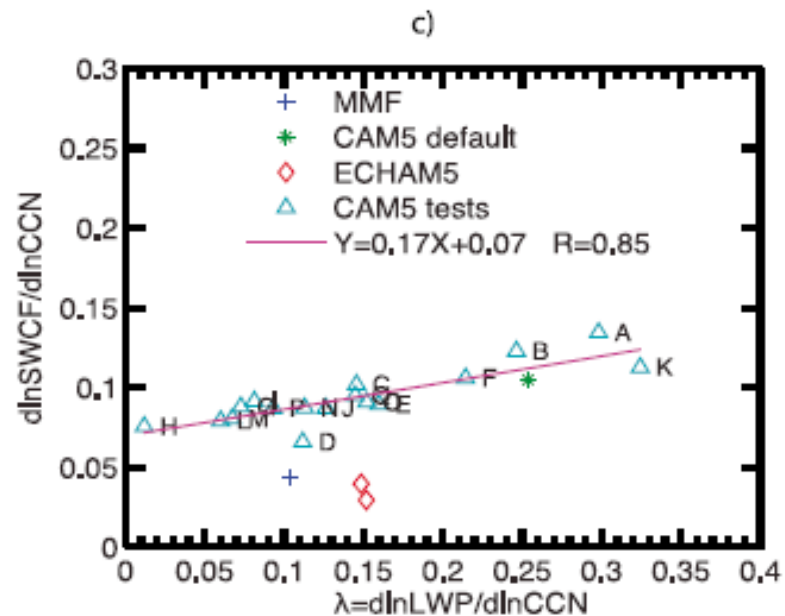


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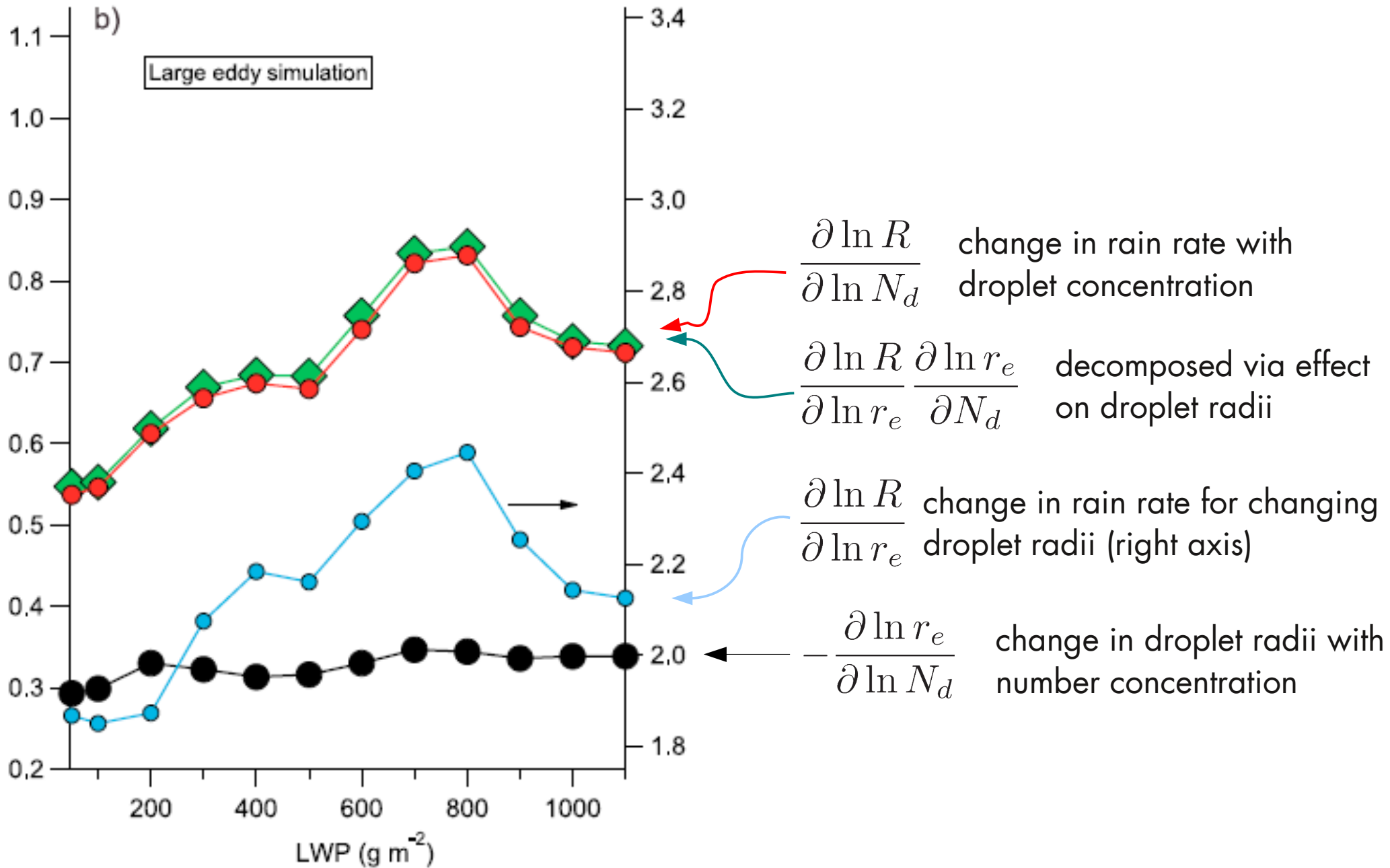
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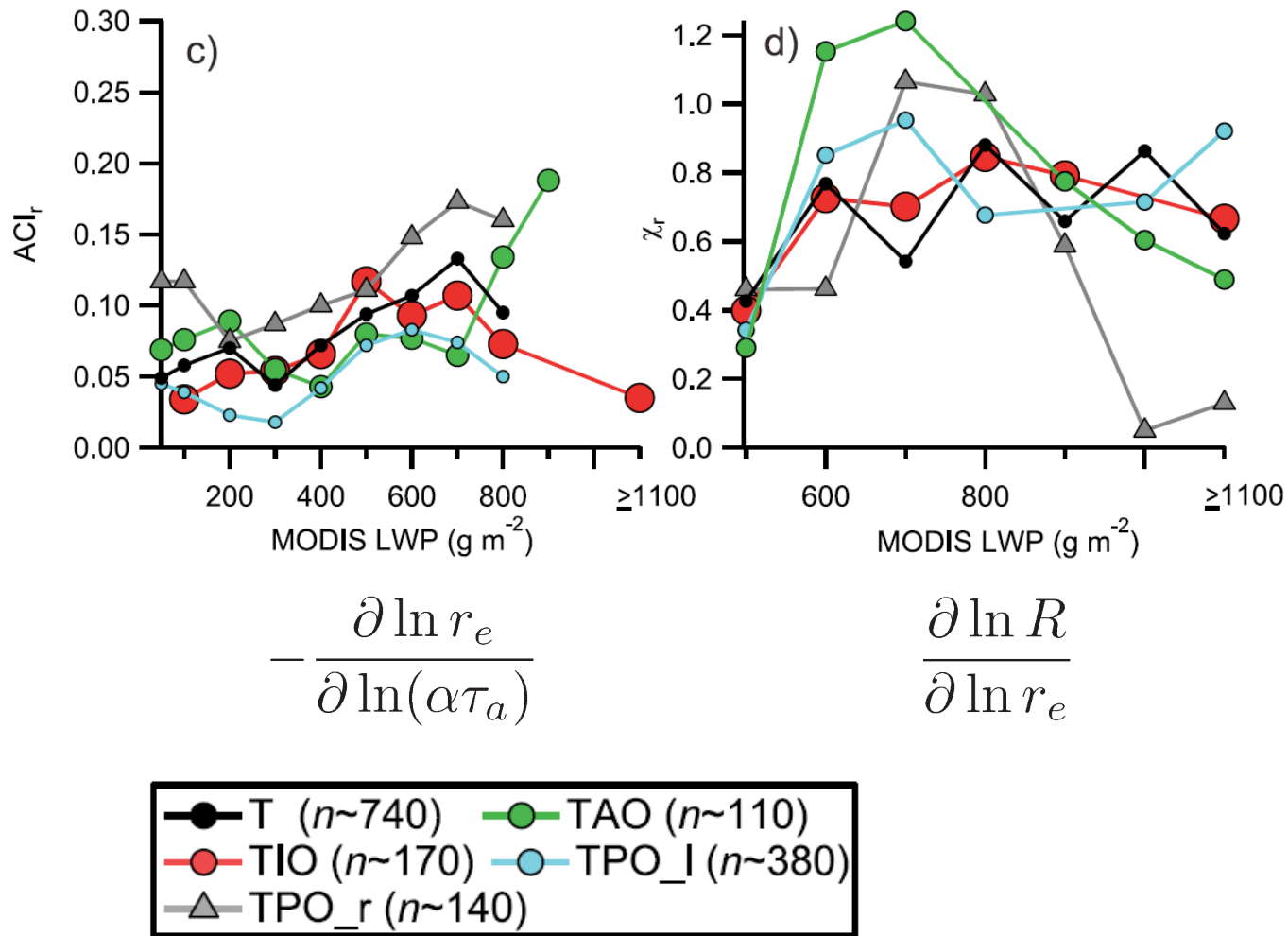
$\Delta \ln SWCF / \Delta \ln CCN$  vs.  $\Delta \ln L / \Delta \ln CCN$

→ good metric to constrain short wave cloud forcing response

## 7.9 Precipitation metric decomposed

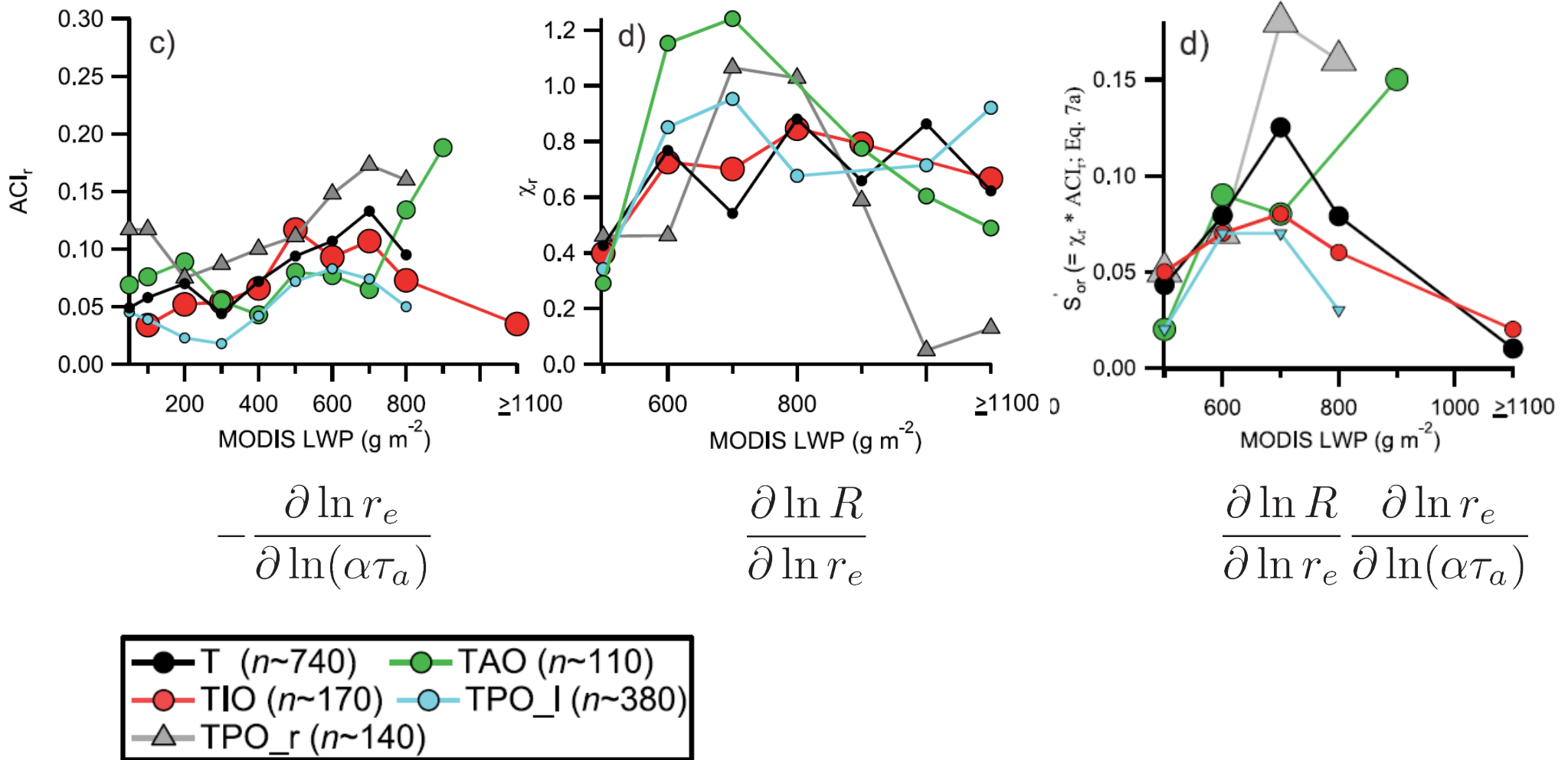


## 7.9 Precipitation metric decomposed



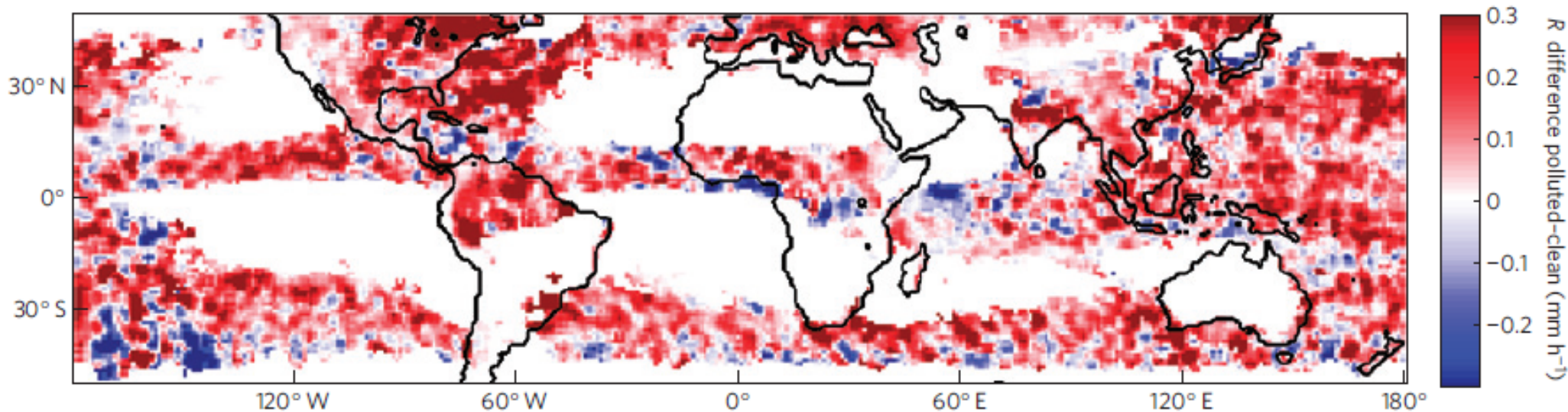
MODIS and CloudSat data for different tropical regions

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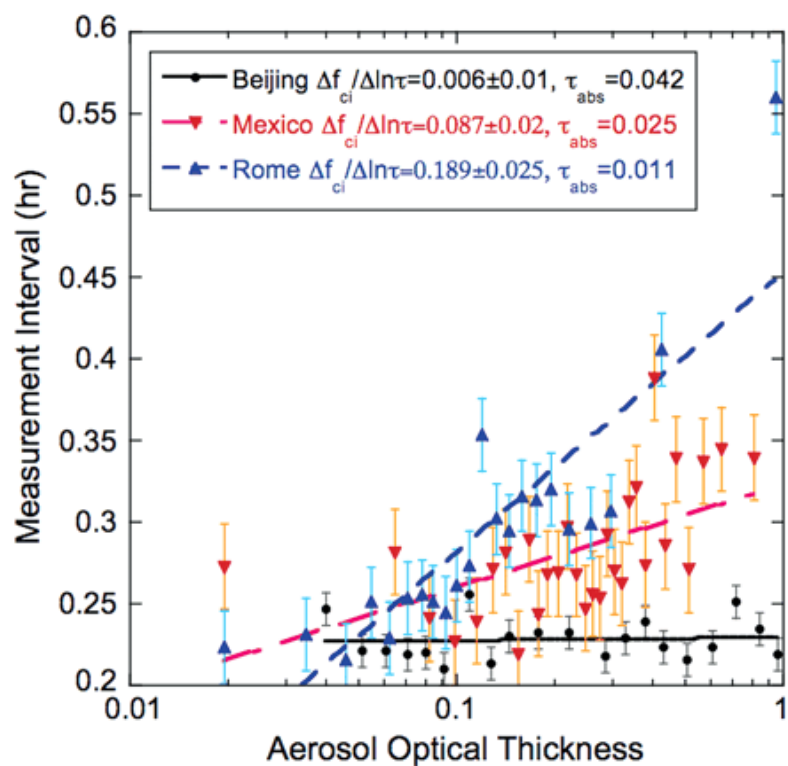


MODIS and CloudSat data for different tropical regions

## 7.10 Invigoration metric?

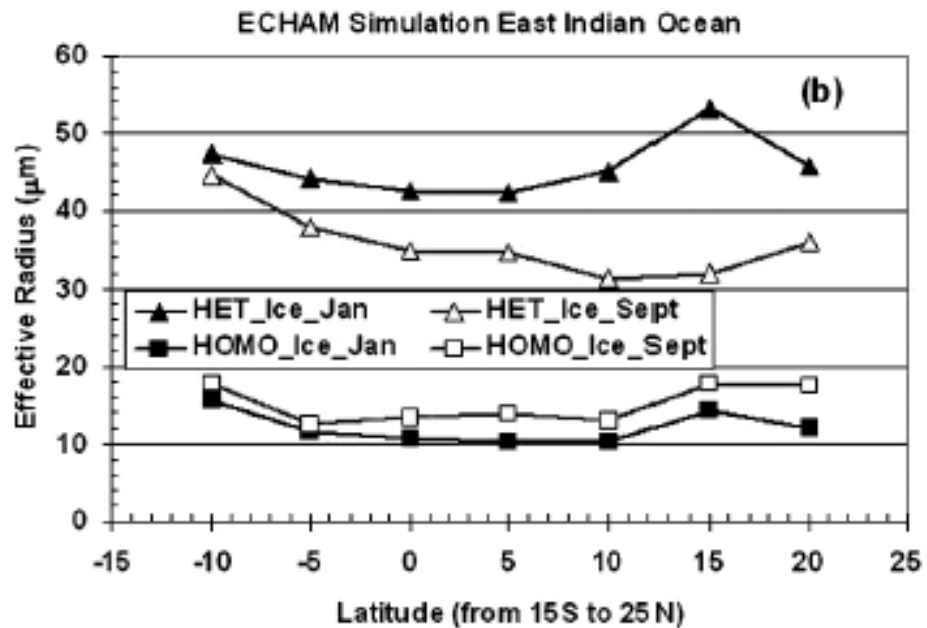
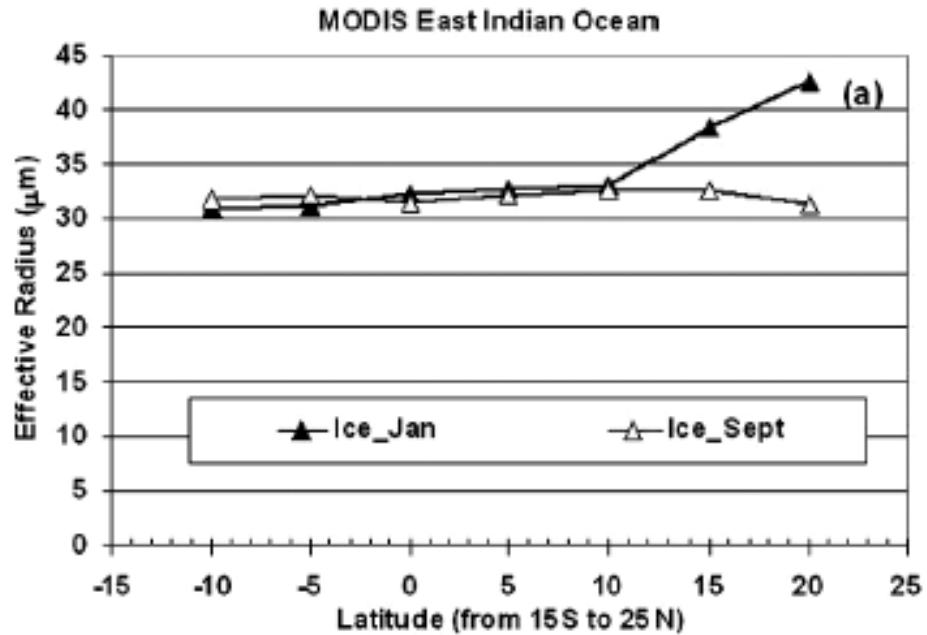


TRMM rain rate upper – lower AOD tercile



Aeronet AOD vs. cloud fraction

## 7.11 Ice cloud metric?



# Conclusions 1/2

## ■ **Small signal-to-noise ratio hampers detection of aerosol effects on clouds**

- no large-scale ship emission effect
- no hemispherical contrast
- no solar dimming/brightening
- no weekly cycle

## ■ **Modeling of aerosol-cloud-precipitation interactions**

- complexity and feedbacks vs. simplicity and reliability
- forcing unreliable without good reference and interactions

# Conclusions 1/2

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...yet

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# Conclusions 1/2

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...yet

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# Conclusions 2/2

## ■ Evaluation of processes: Observational metrics

- $d \ln N_d / d \ln \text{AOD}$  for first indirect effect
- results regime-dependent
- $d \ln \text{LWP} / d \ln \text{AOD}$  to highlight problems in second effect
- combined A-Train data allow for process evaluation
- probability of precipitation useful metric for second effect
- precipitation metric needs decomposition

## ■ Open issues

- Reliable forcing quantification for liquid-water clouds?
- Ice- and mixed-phase effects?
- Invigoration?
- Earth system feedbacks?

# Conclusions 2/2

## ■ Evaluation of processes: Observational metrics

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